
NEW YORK STATE **REGISTER**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on October 24, 2021
- the 45-day period expires on October 9, 2021
- the 30-day period expires on September 24, 2021

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GOVERNOR**

**ROSSANA ROSADO
SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

EMERGENCY RULE MAKING

Control of the European Cherry Fruit Fly

I.D. No. AAM-21-21-00021-E

Filing No. 894

Filing Date: 2021-08-09

Effective Date: 2021-08-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 128 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 164 and 167

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The European Cherry Fruit Fly (*Rhagoletis cerasi*) (hereinafter “ECFF”), an insect nonindigenous to the United States, is native to Europe. It was first detected in Ontario, Canada in 2015 and subsequently found in Niagara County in 2017. While ECFF infests both sweet and tart cherries, sweet cherries are ECFF’s preferred host material. ECFF also infests honeysuckle (*Lonicera* sp.), an invasive plant found throughout New York. Honeysuckle may serve as a reservoir for the ECFF to assist in its development. Left unchecked, ECFF has the potential to infest 100% of a cherry crop, rendering the cherries unmarketable.

This regulation amends Part 128 to address recent survey findings that indicate ECFF is spreading approximately 8 miles annually and new detec-

tions during the 2020 survey season. This amendment eliminates all regulated areas, re-defines the quarantine area as the counties of Erie, Monroe, Niagara, Orleans and Wayne in their entirety, and portions of Ontario county; and establishes a systems approach for the movement of regulated articles (i.e. cherries, and host material including soil beneath the dripline of the host material) out of the quarantine area.

Regulated articles may only be moved from the quarantine area if growers or handlers have a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreements which require a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties.

These proposed regulations are necessary to protect the general welfare. The effective control of ECFF in New York generally, and, specifically, within the quarantined areas is critical for the protection of New York’s \$4.5-million cherry industry, which includes growers with 450 acres of cherry production. The proposed regulation would help ensure that control measures are undertaken in the quarantine area, and that the ECFF will not spread beyond those areas via the movement of infested fruit, or through other host material.

Based on the facts and circumstances set forth above, the Department has determined that the immediate adoption of this rule is necessary for the preservation of the general welfare and that compliance with 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: Control of the European Cherry Fruit Fly.

Purpose: To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested.

Substance of emergency rule (Full text is posted at the following State website: <https://agriculture.ny.gov/plant-industry/express-terms-european-cherry-fruit-fly>): This regulation amends Part 128 to 1 NYCRR, adding additional controls to help prevent the spread in New York of the European Cherry Fruit Fly (ECFF), an insect nonindigenous to the United States, which infests cherries and renders them unmarketable.

The rule eliminates all regulated areas, re-defines the quarantine area as the counties of Erie, Monroe, Niagara, Orleans and Wayne in their entirety, and portions of Ontario county; and establishes a systems approach for the movement of regulated articles (i.e. cherries, and host material including soil beneath the dripline of the host material) out of the quarantine area. The proposed regulation would allow regulated articles to be moved from the quarantine area if growers or handlers have a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreements which require a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. AAM-21-21-00021-EP, Issue of May 26, 2021. The emergency rule will expire September 1, 2021.

Text of rule and any required statements and analyses may be obtained from: Christopher Logue, Director, Division of Plant Industry, Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-2087, email: christopher.logue@agriculture.ny.gov

Regulatory Impact Statement

1. Statutory authority:

Section 18 of the Agriculture and Markets Law provides, in part, that the Commissioner may enact, amend and repeal necessary rules which shall provide generally for the exercise of the powers and performance of

the duties of the Department as prescribed in the Agriculture and Markets Law and the laws of the State, and for the enforcement of their provisions and the provisions of the rules that have been adopted to implement these laws.

Section 164 of the Agriculture and Markets Law provides, in part, that the Commissioner shall take such action as he may deem necessary to control or eradicate any injurious insects, noxious weeds, or plant diseases existing within the State.

Section 167 of the Agriculture and Markets Law provides, in part, that the Commissioner is authorized to make, issue, promulgate and enforce such order, by way of quarantines or otherwise, as he may deem necessary or fitting to carry out the purposes of Article 14 of the Agriculture and Markets Law. Section 167 also provides that the Commissioner may adopt and promulgate such rules and regulations to supplement and give full effect to the provisions of Article 14.

2. Legislative objectives:

These proposed revised regulations are consistent with the public policy objectives the Legislature sought to advance when enacting the statutory authority, namely, preventing the spread within the State of an injurious insect, such as the European Cherry Fruit Fly (hereinafter "ECFF").

3. Needs and benefits:

The ECFF (*Rhagoletis cerasi*), an insect nonindigenous to the United States, is native to Europe. It was first detected in Ontario, Canada in 2015 and subsequently found in Niagara County in 2017. While ECFF infests both sweet and tart cherries, sweet cherries are ECFF's preferred host material. ECFF also infests honeysuckle (*Lonicera* sp.), an invasive plant found throughout New York State. Honeysuckle may serve as a reservoir for the ECFF to assist in its unchecked development.

The life cycle of ECFF begins with the emergence of the fruit flies during May and June. They have an average lifespan of two to four weeks. Females usually lay one egg beneath the skin of each piece of fruit. Once the eggs hatch, the larvae develop inside the fruit and feed on it for up to six weeks. As the larvae develop, they damage the fruit pulp. Mature larvae exit the fruit, drop to the ground, and burrow into the soil. Once in the soil, they pupate within a few days and overwinter in the soil underneath or near the host plant. After winter, adult flies emerge from the soil, and the life cycle begins anew.

Evidence of ECFF infestation of cherries includes puncture holes in the cherries. As the larvae develop in the fruit, the puncture hole becomes brown and soft. When cutting or breaking open infested cherries, the larvae and internal fruit damage can be readily seen. Infested cherries may shrivel, display soft spots, and decay. Infested fruit may also exhibit small holes formed when larvae exit the fruit to drop to the ground to pupate. Growers cannot market infested cherries as fresh fruit. Infested cherries, generally sweet cherries, intended for processing also have a high likelihood of being rejected since processors desire primarily tart cherries. Fresh cherries command at least six times the price of processing cherries making it an economic hardship to move fresh cherries to processing even if processors would accept them.

ECFF has been detected in portions of State parks and public lands. Over 1,500 ECFF were found in 2019 in traps in parks and public lands in Niagara, Erie and Orleans counties. In 2020, ECFF was found in 4 cherry orchards, in 19 separate locations, and within the quarantine area.

This regulation is being revised to address recent survey findings that indicate ECFF is spreading approximately 8 miles annually and new detections discovered during the 2020 survey season. This amendment eliminates all regulated areas; re-defines the quarantine area as the counties of Erie, Monroe, Niagara, Orleans and Wayne in their entirety, and portions of Ontario county; and establishes a systems approach for the movement of regulated articles (i.e. cherries, and host material including soil beneath the dripline of the host material) out of the quarantine area. Left unchecked, ECFF has the potential to infest 100% of a cherry crop, rendering the cherries unmarketable.

The proposed regulation would allow regulated articles to be moved from the quarantine area if growers or handlers have a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreements which require a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties.

There are now 24 growers for which restrictions would be relaxed and 61 growers for which restrictions would become more stringent due to this proposed rulemaking. There are also 180 registered nursery growers and 181 registered nursery dealers in the quarantine area. Those in possession of regulated articles would need a limited permit, certificate of inspection, or enter into a compliance agreement in order to move regulated articles outside the quarantine area to ensure that no plants bear any host fruit at the time of sale or movement out of the quarantine area.

These proposed regulations are necessary to protect the general welfare.

The effective control of ECFF in New York generally, and, specifically, within the quarantined areas is critical for the protection of New York's \$4.5-million cherry industry, which includes growers with 450 acres of cherry production. The proposed regulation would help ensure that control measures are undertaken in the quarantine area, and that the ECFF will not spread beyond those areas via the movement of infested fruit, or through other host material.

4. Costs:

(a) Costs to regulated parties for the implementation of and continuing compliance with the rule: Growers in the quarantine area currently use the required pesticides in their integrated pest management programs. Accordingly, growers would not incur any additional costs arising from the application of pesticides. Department staff have discussed with growers and held meetings in the counties forming the quarantine area to explain the proposed regulation and the compliance agreements. It is anticipated that each grower would have to spend a maximum of three hours to understand, sign, and comply with requirements of the compliance agreement. This would cost \$300.00, based on \$100.00 per hour. Growers would be able to self-issue limited permits and certificates of inspection upon complying with the requirements of the compliance agreement. This time is included in the \$300.00. Requiring all growers in the quarantine area to enter into a compliance agreement for the 61 newly impacted cherry growers would total \$18,300.00, based on the costs identified above.

(b) Costs to the agency, the state and local governments for the implementation and continuation of the rule: Department horticultural inspectors would be working with growers on the signing and enforcement of the compliance agreements, limited permits and certificates of inspection. This work would be shared among 2 to 7 people at a cost of approximately \$48,000 in staff time.

(c) The information, including the sources of such information and the methodology upon which the costs analysis is based: The costs analysis set forth above is based upon Department records and practices and observations of the industry.

5. Local government mandates:

There are no additional programs, services, duties or responsibilities imposed by this proposed rule upon any county, city, town, village, school district, fire district or any other special district.

6. Paperwork:

Growers would be issued compliance agreements, which would authorize the grower to self-issue limited permits and certificates of inspection to move regulated articles.

7. Duplication:

The USDA has issued a Federal Order that details a systems approach, which is being adopted in the proposed regulation. The USDA has issued a parallel quarantine which mirrors the proposed regulation.

8. Alternatives:

The Department considered alternatives consisting of continuing current control efforts directed at similar fruit flies in areas where infestation has been discovered without quarantine. Not establishing a quarantine was rejected since, to do so, could result in the USDA establishing a quarantine throughout New York State, preventing fresh cherries from New York being sold outside the State. The other alternatives considered were rejected due to the negative economic effect on affected growers, and the need to provide acceptable mitigation to comply with applicable USDA concerns related to interstate movement.

9. Federal standards:

The USDA has issued a Federal Order that details a systems approach, which will now be required for the entire quarantine area. The USDA has issued a parallel quarantine which mirrors the proposed regulation.

10. Compliance schedule:

This proposed rule would take effect immediately.

Regulatory Flexibility Analysis

1. Effect of rule:

This regulation is being revised to address recent survey findings that indicate ECFF is spreading approximately 8 miles annually and new detections discovered during the 2020 survey season. This amendment eliminates all regulated areas; re-defines the quarantine area as the counties of Erie, Monroe, Niagara, Orleans and Wayne in their entirety, and portions of Ontario county; and establishes a systems approach for the movement of regulated articles (i.e. cherries, and host material including soil beneath the dripline of the host material) out of the quarantine area. Left unchecked, ECFF has the potential to infest 100% of a cherry crop, rendering the cherries unmarketable.

Recent survey findings indicate that ECFF has been detected in portions of State parks and public lands. Over 1,500 ECFF were found in 2019 in traps in parks and public lands in Niagara, Erie and Orleans counties. In 2020, ECFF was found in 4 cherry orchards, in 19 separate locations, and within the quarantine area. The proposed regulation would allow regulated articles to be moved from the quarantine area if growers or handlers have

a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreement which requires a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties.

It is not anticipated that local governments would be involved in the shipment of cherries or other regulated articles from the quarantine area.

2. Compliance requirements:

Regulated articles are permitted to be moved from the quarantine area if growers or handlers have a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreement which requires a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties. Growers would be able to self-issue limited permits and certificates of inspection upon complying with the requirements of the compliance agreement.

It is not anticipated that local governments would be involved in the shipment of cherries or other regulated articles from the quarantine area.

3. Professional services:

Those moving regulated articles from the quarantine area would need have a limited permit or certificate of inspection issued by the Commissioner, or a compliance agreement which requires a systems approach, and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area.

It is not anticipated that local governments would be involved in the shipment of cherries or other regulated articles from the quarantine area.

4. Compliance costs:

(a) Initial capital costs that will be incurred by a regulated business or industry or local government in order to comply with the rule: It is anticipated that there would be no such costs.

(b) Annual cost for continuing compliance with the rule: Costs to regulated parties for the implementation of and continuing compliance with the rule: Growers in the quarantine area currently use the required pesticides in their integrated pest management programs. Accordingly, growers would not incur any additional costs arising from the application of pesticides. Department staff have discussed with growers and held meetings in the counties forming the quarantine area to explain the proposed regulation and the compliance agreements. It is anticipated that each grower would have to spend a maximum of three hours to understand, sign, and comply with requirements of the compliance agreement. This would cost \$300.00, based on \$100.00 per hour.

Growers would be able to self-issue limited permits and certificates of inspection upon complying with the requirements of the compliance agreement. This time is included in the \$300.00. Requiring all growers in the quarantine area to enter into a compliance agreement for the 61 newly impacted cherry growers would total \$18,300.00, based on the costs identified above.

It is not anticipated that local governments would be involved in the shipment of regulated articles from the quarantine area.

5. Economic and technological feasibility:

Regulated articles may be moved from the quarantine area if growers or handlers have a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreement which requires a systems approach and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area.

It is not anticipated that local governments would be involved in the shipment of cherries or other regulated articles from the quarantine area and as such, would incur no costs.

6. Minimizing adverse impact:

Approaches for minimizing adverse economic impact were considered. The Department has sought to minimize adverse impact of the ECFF quarantine by proposing the use of limited permits or certificates of inspection issued by the Commissioner, or a compliance agreement which requires a systems approach, and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties. These permits, certificates and agreements would allow the intrastate shipment of regulated articles without State or federal inspection. There is no charge for a limited permit, certificate of inspection or compliance agreement. Given all of the facts and circumstances, the proposed regulations minimize adverse economic impact as much as possible.

It is not anticipated that local governments would be involved in the shipment of cherries or other regulated articles from the quarantine area.

7. Small business and local government participation:

Most recently, on April 21, 2021, the Department participated in telephone conference meetings with various impacted growers and handlers, held by Commissioner Richard Ball, Deputy Commissioner Jacqueline Moody-Czub, Director Christopher Logue, and Assistant Director Margaret Kelly. On or about February 19, 2021, Assistant Director Kelly shared a summary of the proposed regulatory changes with a grower whose primary product is a processed cherry product, a grower whose primary product is the sale of fresh cherries, and a broker for at least two cherry processing plants. Concerns regarding the proposed regulatory changes were addressed, and each regulated party expressed an understanding of the proposed regulatory changes and did not note any further opposition to the proposed regulation. On February 2, 2021, the Department participated in a virtual meeting as part of the 2021 Cornell Cooperative Extension Winter Fruit School, with approximately 380 attendees, to present findings from the 2020 ECFF survey and inform growers of the anticipated increase of the quarantine area and imposition of more stringent requirements. The Department also shared the 2019 ECFF survey findings at meetings in Lockport and Farmington on February 3, 2020 and February 4, 2020, respectively.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

With the elimination of regulated areas, all 61 impacted growers are in the quarantine area, all of whom are in rural areas as defined by section 481(7) of the Executive Law.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

The proposed revised rule does not require any reporting or recordkeeping requirements. In terms of professional services, those growers intending to ship regulated articles outside the quarantine area would need a limited permit or certificate of inspection issued by the Commissioner, or have entered into a compliance agreement.

3. Costs:

Costs to regulated parties for the implementation of and continuing compliance with the rule: Growers in the quarantine area currently use the required pesticides in their integrated pest management programs. Accordingly, growers would not incur any additional costs arising from the application of pesticides. Department staff have discussed with growers and held meetings in the counties forming the quarantine area to explain the proposed regulation and the compliance agreements. It is anticipated that each grower would have to spend a maximum of three hours to understand, sign, and comply with requirements of the compliance agreement. This would cost \$300.00, based on \$100.00 per hour.

Growers would be able to self-issue limited permits and certificates of inspection upon complying with the requirements of the compliance agreement. This time is included in the \$300.00. Requiring all growers in the quarantine area to enter into a compliance agreement for the 61 newly impacted cherry growers would total \$18,300.00, based on the costs identified above.

4. Minimizing adverse impact:

In conformance with State Administrative Procedure Act section 202-bb(2), the Department has designed the proposed rule to minimize adverse economic impact on regulated parties in rural areas. By limiting the ECFF quarantine area to where infestation exists, and imposing certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area, the rule minimizes economic impacts without compromising efforts to slow the spread of ECFF.

Approaches for minimizing adverse economic impact were considered. The Department has sought to minimize adverse impact of the ECFF quarantine by proposing the use of limited permits or certificates of inspection issued by the Commissioner, or a compliance agreement which requires a systems approach, and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties. These permits, certificates and agreements would allow the intrastate shipment of regulated articles without State or federal inspection. There is no charge for a limited permit, certificate of inspection or compliance agreement. Given all of the facts and circumstances, the proposed regulations minimize adverse economic impact as much as possible.

5. Rural area participation:

Most recently, on April 21, 2021, the Department participated in telephone conference meetings with various impacted growers and handlers, held by Commissioner Richard Ball, Deputy Commissioner Jacqueline Moody-Czub, Director Christopher Logue, and Assistant Director Margaret Kelly. On or about February 19, 2021, Assistant Director Kelly shared a summary of the proposed regulatory changes with a grower whose primary product is a processed cherry product, a grower whose primary product is the sale of fresh cherries, and a broker for at

least two cherry processing plants. Concerns regarding the proposed regulatory changes were addressed, and each regulated party expressed an understanding of the proposed regulatory changes and did not note any further opposition to the proposed regulation. On February 2, 2021, the Department participated in a virtual meeting as part of the 2021 Cornell Cooperative Extension Winter Fruit School, with approximately 380 attendees, to present findings from the 2020 ECFF survey and inform growers of the anticipated increase of the quarantine area and imposition of more stringent requirements. The Department also shared the 2019 ECFF survey findings at meetings in Lockport and Farmington on February 3, 2020 and February 4, 2020, respectively.

Job Impact Statement

1. Nature of impact:

It is anticipated that the proposed rule will not have a negative impact on jobs and employment opportunities in New York, since regulated parties would incur no costs in complying with this proposal.

2. Categories and numbers affected:

It is anticipated that the proposed rule will not affect any jobs or employment opportunities in New York.

3. Regions of adverse impact:

There are no regions of adverse impact since the proposed rule will not affect any jobs or employment opportunities in New York.

4. Minimizing adverse impact:

Approaches for minimizing adverse economic impact to jobs and employment opportunities were considered. The Department has sought to minimize adverse impact of the ECFF quarantine by proposing the use of limited permits or certificates of inspection issued by the Commissioner, or a compliance agreement which requires a systems approach, and imposes certain testing and mitigative safeguards which minimize the risk of ECFF spread outside the quarantine area. If the growers or handlers meet these requirements, they would be able to move regulated articles anywhere within New York exclusive of Columbia and Ulster counties. These permits, certificates and agreements would allow the intrastate shipment of regulated articles without State or federal inspection. There is no charge for a limited permit, certificate of inspection or compliance agreement. Given all of the facts and circumstances, the proposed regulations minimize adverse economic impact as much as possible.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Control of the European Cherry Fruit Fly

I.D. No. AAM-21-21-00021-A

Filing No. 890

Filing Date: 2021-08-05

Effective Date: 2021-08-25

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 128 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 164 and 167

Subject: Control of the European Cherry Fruit Fly.

Purpose: To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested.

Substance of final rule: Changes to the previously published rule consist of only grammatical and technical revisions to allow for clarity in the text of the rule and correct inadvertent omission of words and punctuation. The previously published summary of text remains accurate, and therefore the change does not necessitate the substantive revision of the previously published Summary of Text. A description of the nonsubstantial changes in the revised rule are as follows:

Section 128.2, subdivision (a), paragraphs (4) and (5). Commas have been added for consistency and grammatical purposes.

Section 128.2, subdivision (a), paragraph (6): The words "that area" have been deleted for consistency within the same subdivision.

Section 128.6, subdivision (a), paragraph (1): the words "of the" have been added to correct the inadvertent omission in the previously published rule.

Final rule as compared with last published rule: Nonsubstantial changes were made in sections 128.2(a)(4), (5), (6) and 128.6(a)(1).

Text of rule and any required statements and analyses may be obtained from: Christopher Logue, Director, Division of Plant Industry, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, (518) 457-2087, email: christopher.logue@agriculture.ny.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Changes to the previously published rule are nonsubstantial in nature. Since the amendments merely consist of only grammatical revisions to allow for clarity in the text of the rule and correct inadvertent omission of words and punctuation, these changes do not materially alter the purpose, meaning, or effect of the text. Therefore, these changes do not necessitate revision to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

State Commission of Correction

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jail Staffing Requirements

I.D. No. CMC-34-21-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of sections 7003.7(c) and 7041.5; amendment of sections 7022.2(a)(17), 7040.7(b), 7041.1, 7041.2, 7041.3(c) and 7041.4 of Title 9 NYCRR.

Statutory authority: Correction Law, section 45(6) and (15)

Subject: Jail staffing requirements.

Purpose: To provide county governments and the City of New York an increased role and flexibility in determining officer staffing levels.

Text of proposed rule: A new subdivision (c) of section 7003.7 of Title 9 is added to read as follows:

(c) A written record pertaining to each incarcerated individual transported to or from the facility shall be maintained in a bound ledger in a manner consistent with the requirements of subdivisions (j), (k), (l) and (m) of section 7003.3 of this Part, and shall provide:

- (1) the name of the incarcerated individual(s) transported;
- (2) the name, rank/title, and badge number or other assigned identifier of every staff member conducting the transportation;
- (3) the intended destination;
- (4) the date and time of departure; and
- (5) the date and time of return.

Paragraph (17) of subdivision (a) of section 7022.2 of Title 9 is amended to read as follows:

(17) personnel deficiencies/group actions;
Subdivision (b) of section 7040.7 of Title 9 is amended to read as follows:

(b) In formulating the maximum facility capacity of a facility, the commission shall determine the maximum number of inmates that can be housed in each individual and multiple occupancy housing unit pursuant to the requirements of this Part. Such determination shall be based on the following:

- (1) the number of properly equipped individual occupancy housing units, including the number of properly equipped admissions, medical and mental health, and [any other] special housing units;
- (2) the number of properly equipped multiple occupancy housing units, including the number of properly equipped admissions, medical and mental health, and [any other] special housing units; and
- (3) the facility's ability to maintain the required post complement, as that term is defined in section 7041.1 of this Title, to provide required programs and services and to comply with other rules and regulations of this Chapter which are related to facility capacity.

Section 7041.1 of Title 9 is amended to read as follows:

Section 7041.1 Policy and definitions.

(a) Each local correctional facility shall employ that number of persons necessary to provide care, custody and control for all prisoners and to

perform all other necessary facility functions. In no case shall the number of such persons be less than the minimum facility staffing requirement as determined by the [State Commission of Correction] *chief executive officer, in consultation with the chief administrative officer*, in accordance with the provisions of this Part.

(b) As used in this Part, the term minimum facility staffing requirement shall mean the number of persons necessary to provide care, custody and control for all prisoners and to perform all other necessary facility functions.

(c) As used in this Part, the term *required post complement* shall mean the number of staff necessary to perform facility functions during each shift regularly scheduled within a 24-hour period.

(d) As used in this Part, the term *chief administrative officer* shall have the same meaning as that term is defined in subdivision (1) of section 500-b of the Correction Law.

(e) As used in this Part, the term *chief executive officer* shall have the same meaning as that term is defined in subdivision (5-a) of section 2.00 of the Local Finance Law.

Section 7041.2 of Title 9 is amended to read as follows:

(a) The State Commission of Correction shall, in determining the [minimum facility staffing requirement] *required post complement* for each local correctional facility, ascertain the functions to be performed by facility staff including, but not limited to:

- (1) general facility administration and management;
- (2) control room operation;
- (3) general housing area supervision;
- (4) special housing area supervision;
- (5) medical services;
- (6) visitation;
- (7) correspondence;
- (8) exercise;
- (9) facility maintenance;
- (10) library;
- (11) commissary;
- (12) religious services;
- (13) prisoner transportation; and
- (13[4]) any other facility program or service.

(b) The State Commission of Correction shall, in determining the [minimum facility staffing requirement] *required post complement* for each local correctional facility, consider the following factors, among others:

- (1) the physical plant of the facility;
- (2) the maximum prisoner capacity of such facility established pursuant to Part 7040 of this Subtitle; and
- (3) any other factors including those unique to a particular facility.

(c) The State Commission of Correction shall, upon compliance with subdivisions (a) and (b) of this section, determine the [number of man hours necessary to perform each facility function during each shift regularly scheduled within a 24-hour period] *required post complement*. Upon making such determination, the State Commission of Correction shall determine the total number of persons necessary to perform such functions during each such shift. *Such determination shall additionally identify any posts or functions that may be left unstaffed due to unpopulated housing areas or other specified criteria.*

Subdivision (c) of section 7041.3 of Title 9 is amended to read as follows:

(c) The [State Commission of Correction] *chief executive officer, in consultation with the chief administrative officer* shall, in determining the estimated on-duty days, subtract from 365 days the total estimated number of off-duty days. The total estimated number of off-duty days shall be determined by totaling the following for a 365-day period:

- (1) normal days off;
- (2) holidays;
- (3) personal leave;
- (4) vacation leave;
- (5) average sick leave; and
- (6) other [short-term] leave.

Section 7041.4 of Title 9 is amended to read as follows:

To determine the minimum facility staffing requirement, the [number of persons necessary to perform facility functions during each shift regularly scheduled within a 24-hour period] *required post complement*, as determined pursuant to section 7041.2 of this Part, shall be multiplied by the full coverage factor.

A new section 7041.5 of Title 9 is added to read as follows:

Section 7041.5 Facility shift roster

(a) *Each facility shall maintain, for every regularly scheduled shift, a roster of every staff assigned to perform facility functions. Such rosters shall be maintained in a centralized location, with the prisoner population count records required by section 7003.5 of the Title, and shall provide, for every individual staff assigned:*

- (1) *name;*

(2) *rank/title;*

(3) *badge number or other assigned identifier; and*

(4) *assigned post(s).*

(b) *Immediately following the completion of each total prisoner population count required by section 7003.5 of this Title, the highest-ranking facility official present shall ensure that the facility shift roster is complete and accurate, that each assigned staff has reported and is present, and shall verify such review by affixing, in writing upon the roster, the official's signature and the date and time of the review.*

(c) *Should the number of available staff fall below the required post complement, for any period of time during a regularly scheduled shift, the highest-ranking facility official present shall make a record of the facts and circumstances of the deficiency on the facility shift roster.*

(d) *Any posts or functions left unstaffed due to unpopulated housing areas or other specified criteria, as permitted by the required post complement pursuant to subdivision (c) of section 7041.2 of this Part, shall be recorded on the facility shift roster during the review required by subdivision (b) of this section.*

Text of proposed rule and any required statements and analyses may be obtained from: Deborah Slack-Bean, Associate Attorney, Commission of Correction, Alfred E. Smith State Office Building, 80 S. Swan St., 12th Fl., Albany, NY 12210, (518) 485-2346, email: Deborah.Slack-Bean@scoc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

The New York State Commission of Correction ("Commission") seeks to add a new subdivision (c) of section 7003.7, amend paragraph (17) of subdivision (a) of section 7022.2, amend subdivision (b) of section 7040.7, amend section 7041.1, amend section 7041.2, amend subdivision (c) of section 7041.3, amend section 7041.4, and add a new section 7041.5 of Title 9 NYCRR.

1) Statutory authority:

Subdivision (6) of section 45 of the Correction Law authorizes the Commission to promulgate rules and regulations establishing minimum standards for the care, custody, correction, treatment, supervision, discipline, and other correctional programs for all persons confined in the local correctional facilities of New York State. Subdivision (15) of section 45 of the Correction Law allows the Commission to adopt, amend or rescind such rules and regulations as may be necessary or convenient to the performance of its functions, powers and duties.

2) Legislative objectives:

By vesting the Commission with this rulemaking and oversight authority, the Legislature intended the Commission to enact regulations that establish minimum facility staffing requirements in local facilities.

3) Needs and benefits:

The safe, stable and humane operation of any correctional facility is dependent on a sufficient complement of correction officers and other security staff to provide for the care, custody and control of the incarcerated population and to perform all other necessary facility functions. Due to the need for 24-hour a day operation, jails are among the most staff-intensive endeavors faced by county governments.

Historically, Commission regulations have required the Commission to determine the number of staff necessary to perform facility functions during each regularly scheduled shift, and thereafter calculate the total number of staff the county would need to employ to continuously sustain operations for the entire facility. Recently, with incarcerated population reductions attributable to bail reform and Raise the Age legislation, numerous local jails have been able to shutter multiple housing units within the facility, eliminating the need for staff assigned to such units, and reducing the overall numbers of required staff.

Recognizing that individual counties are in a better position to assess the total number of employed staff necessary to continuously sustain jail operations, the proposed rulemaking amends the Commission's regulations to provide that authority to the county's chief executive officer, in consultation with the jail's chief administrative officer. It is anticipated that counties may realize fiscal savings by maintaining an optimal complement of jail staff, thereby avoiding inefficiencies associated with excessive staff or overtime costs associated with understaffing.

The Commission will retain the function of determining the number of staff necessary to perform facility functions during each shift regularly scheduled within a 24-hour period. So that the Commission may verify that all required posts and functions are continuously staffed, the proposed rulemaking requires that local correctional facilities maintain a facility shift roster and log of all incarcerated individual transportation.

4) Costs:

a. Costs to regulated parties for the implementation of and continuing compliance with the rule: None. As set forth above, the proposed rulemak-

ing is anticipated to result in fiscal savings by allowing counties to maintain an optimal complement of jail staff, thereby avoiding inefficiencies associated with excessive staff or overtime costs associated with understaffing.

b. Costs to the agency, the state and local governments for the implementation and continuation of the rule: None. The regulation does not apply to state agencies or governmental bodies. As set forth above in subdivision (a), there are no additional costs to local governments.

c. This statement detailing the projected costs of the rule is based upon the Commission's oversight and experience relative to the operation, function and staffing of a local correctional facility.

5) Local government mandates:

The regulation requires the county's chief executive officer, in consultation with the jail's chief administrative officer, to assess and determine the total number of staff necessary to provide care, custody and control for all incarcerated individuals and to perform all other necessary correctional facility functions. Additionally, each jail would be required to maintain a facility shift roster and log of all incarcerated individual transportation.

6) Paperwork:

As set forth above, each jail would be required to maintain a facility shift roster and log of all incarcerated individual transportation. Based on the Commission's experience, such records are already maintained in most, if not all, local correctional facilities.

7) Duplication:

The rule does not duplicate any existing State or Federal requirement.

8) Alternatives:

The alternative, maintaining the current regulations for the determination of appropriate jail staffing levels, was dismissed by the Commission due to the agency's belief that the counties are in a better position to assess the total number of employed staff necessary to continuously sustain jail operations, and that the proposed rulemaking is anticipated to result in fiscal savings by allowing counties to maintain an optimal complement of jail staff, thereby avoiding inefficiencies associated with excessive staff or overtime costs associated with understaffing.

9) Federal standards:

There are no applicable minimum standards of the federal government.

10) Compliance schedule:

Each local correctional facility is expected to be able to achieve compliance with the proposed rule immediately once effective, which shall occur upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not required pursuant to subdivision three of section 202-b of the State Administrative Procedure Act because the rule does not impose an adverse economic impact on small businesses or local governments. The proposed rule seeks only to provide county governments and the City of New York an increased role and flexibility in determining the total number of correction officers necessary to sufficiently staff local correctional facilities. Accordingly, it will not have an adverse impact on small businesses or local governments, nor impose any substantial reporting, record keeping, or other compliance requirements on small businesses or local governments.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not required pursuant to subdivision four of section 202-bb of the State Administrative Procedure Act because the rule does not impose an adverse impact on rural areas. The proposed rule seeks only to provide county governments and the City of New York an increased role and flexibility in determining the total number of correction officers necessary to sufficiently staff local correctional facilities. Accordingly, it will not impose an adverse economic impact on rural areas, nor impose any substantial record keeping, reporting, or other compliance requirements on private or public entities in rural areas.

Job Impact Statement

A job impact statement is not required pursuant to subdivision two of section 201-a of the State Administrative Procedure Act because the rule will not have a substantial adverse impact on jobs and employment opportunities, as apparent from its nature and purpose. The proposed rule seeks only to provide county governments and the City of New York an increased role and flexibility in determining the total number of correction officers necessary to sufficiently staff local correctional facilities. As such, there will be no substantial impact on jobs and employment opportunities.

Education Department

EMERGENCY RULE MAKING

Addressing the COVID-19 Crisis

I.D. No. EDU-20-20-00008-E

Filing No. 892

Filing Date: 2021-08-06

Effective Date: 2021-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 52.21, 60.6, 61.19, 80-1.2, 80-3.7, 100.1, 100.2, 100.4, 100.5, 100.6, 100.7, 100.19, 151-1.3; addition of section 80-5.27 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 209, 210, 211-f, 214, 215, 305, 3001, 3004, 3009, 3204, 3205, 3602, 3602-3, 3602-ee, 6525, 6611; Every Student Succeeds Act of 2015, sections 1111(b)(3), (c)(4), (d)(2); 20 U.S.C., section 6301 et seq. (Public Law 114-95, 129 STAT. 1802)

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools and directing nonessential work personnel to work from home. In response, the Department adopted emergency regulations to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. The Department first presented these proposed amendments to the Full Board for adoption as an emergency action at the May 2020 Regents meeting, effective May 5, 2020. At the June, July, September, October, and December 2020, and February, March, May, and June 2021 Board meetings, the Department revised the proposed amendments to provide clarification and additional regulatory flexibility.

The proposed amendments provide flexibility related to the following:

- Renewal of limited permits in medicine;
- In-person CPR course certification requirements for dentists and dental hygienists;
- The takeover and restructuring of struggling and persistently struggling schools;
- Teacher certification through the Individual Evaluation pathway;
- Expiration dates of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and Conditional Initial certificate for teacher candidates;
- Emergency COVID-19 teaching certificates;
- School district leader and school district business leader assessments;
- Annual monitoring and tracking of prekindergarten program effectiveness and the annual report of the percentage of prekindergarten children making significant gains;
- Application deadline for alternative high school equivalency preparation programs;
- Unit of study requirements;
- Regents examinations, pathway assessments, alternative assessments, technical assessments, work-readiness assessments, and locally developed test requirements for a diploma;
- NYS career and development and occupational studies commencement credential; and
- General comprehensive examination requirements for a high school equivalency diploma.

Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendments could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 45-day public comment period required in the State Administrative Procedure Act (SAPA) section 201(4-a), is the September 2021 Regents meeting. However, because the COVID-19 crisis is presently affecting the State of New York, emergency action is necessary for the preservation of public health and the general welfare in order to immediately provide flexibility for certain regulatory requirements in response to the COVID-19 crisis,

and to ensure that the emergency action taken at the June meeting remains continuously in effect.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the September 2021 Regents meeting, which is the first scheduled meeting after the 45-day public comment period prescribed in SAPA for State agency rule making.

Subject: Addressing the COVID-19 Crisis.

Purpose: To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis.

Substance of emergency rule (Full text is posted at the following State website: <http://www.counsel.nysed.gov/rules/full-text-indices>): On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools and directing nonessential work personnel to work from home. In response, the Department has adopted emergency regulations to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments as follows:

- Professions
 - o Section 60.6 is amended to permit the Department, at its discretion, to renew limited permits in medicine for one additional 24-month period.
 - o Section 61.19(b) is amended to permit the Department to accept alternative means to be used by dentists and dental hygienists to obtain and/or maintain the required certification in cardiopulmonary resuscitation other than through an in-person course during the COVID-19 crisis.
- Receivership
 - o Section 100.19 is amended to provide that: (1) the Commissioner shall not use 2019-20 school year results to newly identify any schools as struggling, place any schools under independent receivership, or remove the designation of any schools as struggling or persistently struggling; (2) all schools identified as persistently struggling or struggling schools for the 2019-20 school year shall remain so identified for the 2020-21 school year and all schools that operated under a school district superintendent receiver in the 2019-20 school year shall continue to operate under a school district superintendent receiver in the 2020-21 school year; and (3) the Commissioner may, upon a finding of good cause, modify for the 2019-20 through 2021-22 school years any timelines pertaining to notifications, plans, reports, or implementation of activities required by such section, except for any timelines prescribed by law.
- Higher Education
 - o Section 80-3.7 is amended to allow any undergraduate or graduate level course completed during the spring, summer, or fall 2020 terms with a passing grade, or its equivalent, to count toward the content core or pedagogical core semester hour requirements for certification through the Individual Evaluation pathway. The passing grade, or its equivalent, must be in accordance with the pass/fail grading policy, or its equivalent, at the institution of higher education (e.g., credit/no credit, pass/fail, satisfactory/unsatisfactory policy).
 - o Section 80-1.2(b) is amended to extend the expiration date of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and the extensions of the initial and Provisional certificates from August 31, 2020 to January 31, 2021 to provide candidates with the time needed to work in schools and complete the requirements for the Professional or Permanent certificate. Additionally, such section is amended to extend the expiration date of the Conditional Initial certificate from August 31, 2020 to August 31, 2021 to provide candidates with the time needed to complete the edTPA, which requires working with students. An additional year gives candidates the time to establish a relationship with students, teach lessons and assess student learning, and prepare the edTPA submission once classroom routines are more consistent after the COVID-19 crisis.
 - o Section 80-5.27 is added to create an Emergency COVID-19 certificate for candidates seeking certain certificates, extensions, and annotations because there is limited test center availability and schools have been closed pursuant to Executive Order(s) of the Governor due to the COVID-19 crisis. This certificate would be valid for two years. Candidates must apply for the certificate, extension, or annotation on or before September 1, 2021. They must also apply for the Emergency COVID-19 certificate, in the same certificate title as the certificate, extension, or annotation applied for, on or before September 1, 2021.
 - o Section 52.21(c) is amended to exempt school district leader (SDL) and school business leader (SDBL) candidates from taking and passing the SDL and SDBL assessment, respectively, for program completion and

for the institutional recommendation for the Professional certificate, if they completed all program requirements except the assessment requirement in the 2019-2020 or 2020-2021 academic year. The exemption enables these candidates to complete their program while there is limited test center availability due to the COVID-19 crisis and receive institutional recommendation for Professional certification, which would be needed to pursue the proposed Emergency COVID-19 certificate. The candidates would need to take and pass the SDL and SDBL assessment to earn Professional SDL and SDBL certification, respectively.

o Section 52.21(c) is also amended to exempt candidates admitted to Transitional D programs leading to school district leader certification from taking and passing the SDL assessment for the institutional recommendation for the Transitional D certificate, if they completed all requirements for admitted candidates except the assessment requirement on or before September 1, 2021. The exemption enables Transitional D candidates to receive institutional recommendation for Transitional D certification while there is limited test center availability due to the COVID-19 crisis, which would be needed to pursue the proposed Emergency COVID-19 certificate. The candidates would need to take and pass the SDL assessment to earn Transitional D certification.

- Early Learning
 - o Section 151-1.3(b) is amended to waive the requirement that school districts must annually monitor and track prekindergarten program effectiveness for the 2019-2020 school year and to waive the annual report of the percentage of prekindergarten children making significant gains for the 2019-2020 school year.

- Alternative High School Equivalency Program ("AHSEP")
 - o Section 100.7 is amended to provide an extension to the June 30 application deadline for alternative high school equivalency preparation programs to be operated during the 2020-2021 school year.

- Curriculum and Instruction
 - o Section 100.1 is amended to provide that a unit of credit may be earned where a student has not completed a unit of study due to the COVID-19 crisis but has otherwise achieved the standards assessed in the provided coursework.

- o Sections 100.2 and 100.5 are amended to provide an exemption to the diploma, credential, and endorsement requirements associated with the Regents examination, pathway assessment, alternative assessment, technical assessment, and locally developed test during the 2019-20 and 2020-21 school years due to the COVID-19 crisis so that students are still able to meet their diploma requirements.

- o Section 100.5(a)(5)(vi)(a) is amended to provide an exemption to the diploma, credential, and endorsement requirements related to the passing of the January 2022 Regents examination in United States History and Government so that students are still able to meet their diploma requirements since the January 2022 Regents examination in United States History and Government will not be available.

- o Section 100.4 is amended to make a technical citation correction.
- o Section 100.5 is amended to provide that for the 2019-2020 and 2020-2021 school years the 1,200 minutes of laboratory experience is not required for a student to qualify to take a Regents examination where such student is unable to meet the 1,200 minute requirement due to the COVID-19 crisis, and to provide that 1,200 minutes of lab experience is not required for a student to be exempted from a Regents examination.

- o Section 100.6 is amended to exempt students from the requirements for the career development and occupational studies commencement credential ("CDOS"), including the work readiness assessment, provided that the student is otherwise eligible to exit from high school and has otherwise demonstrated knowledge and skills relating to the CDOS learning standards.

- o Section 100.7 is amended to provide an exemption from the subtests of the general comprehensive examination requirements for a high school equivalency diploma where students meet certain criteria for the 2019-20 and 2020-21 school years.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-20-20-00008-EP, Issue of May 20, 2020. The emergency rule will expire October 4, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 210 empowers the Regents to register domestic and foreign institutions in terms of New York standards, and fix the value of degrees, diplomas and certificates issued by institutions of other states or countries and presented to entrance to schools, colleges and the professions in New York.

Education Law § 209 provides that the Regents shall establish, in secondary institutions, examinations in studies furnishing a suitable standard of graduation therefrom and of admission to colleges, and certificates or diplomas shall be conferred by the Regents to students who satisfactorily pass such examinations.

Education Law § 211-f provides for the procedures for the takeover and restructuring of failing schools.

Education Law § 214 provides that the institutions of the University of the State of New York shall include all secondary and higher educational institutions which are now or may hereafter be incorporated in this state, and such other libraries, museums, institutions, schools, organizations and agencies for education as may be admitted to or incorporated by the University.

Education Law § 215 provides the Regents, or the Commissioner, or their representatives, the power to visit, examine, and inspect any institution in the University of the State of New York and any school or institution under the educational supervision of the State.

Education Law § 305 establishes the general powers and duties of the Commissioner of Education.

Education Law § 3001 prescribes the qualifications of teachers.

Education Law § 3004 directs the Commissioner of Education to prescribe regulations governing the examination and certification of teachers employed in all public schools of the State.

Education Law § 3009 provides that unqualified teachers shall not be paid from school moneys.

Education Law § 3204 provides that a minor required to attend upon instruction may attend at a public school or elsewhere.

Education Law § 3205 provides that each minor from six to sixteen years of age in each school district or on an Indian reservation shall attend upon full time instruction.

Education Law § 3602 provides for the apportionment of public moneys to school districts employing eight or more teachers.

Education Law § 3602-e authorizes and directs the Commissioner of Education to award grants for the establishment and implementation of a prekindergarten program to serve eligible children.

Education Law § 3602-ee establishes a Statewide universal full-day pre-kindergarten program.

Education Law § 6525 permits the Department to issue limited permits in medicine to eligible applicants.

Education Law § 6611(10) requires each dentist and registered dental hygienist working for a hospital who practices in collaboration with a licensed dentist to become certified in cardiopulmonary resuscitation from an approved provider and thereafter maintain current certification.

Every Student Succeeds Act § 1111(b)(2) requires States to implement a set of high-quality student academic assessments in mathematics, reading or language arts, and science.

Every Student Succeeds Act § 1111(c)(4) requires all States to have a statewide accountability system based on challenging State academic standards for reading or language arts and mathematics to improve student academic achievement and school success.

Every Student Succeeds Act § 1111(d)(2) requires schools which receive notification under such Act that a subgroup of students is consistently underperforming to develop and implement a school-level targeted support and improvement plan to improve student outcomes based on the indicators in the statewide accountability system.

2. LEGISLATIVE OBJECTIVES:

The proposed amendments are consistent with the above statutory authority and are necessary to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. The purpose of the proposed amendment is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020 the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis including orders directing the closure of schools, directing non-essential work personnel to work from home, and directing non-essential gatherings of individuals of any size for any reason to be canceled or postponed. In response the Department adopted emergency regulations at the April 2020

Board of Regents Meeting to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Renewal of limited permits in medicine;
- In-person CPR course certification requirements for dentists and dental hygienists;
- The takeover and restructuring of struggling and persistently struggling schools;
- Teacher certification through the Individual Evaluation pathway;
- Expiration dates of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and Conditional Initial certificate for teacher candidates;
- Emergency COVID-19 teaching certificates;
- School district leader and school district business leader assessments;
- Annual monitoring and tracking of prekindergarten program effectiveness and the annual report of the percentage of prekindergarten children making significant gains;
- Application deadline for alternative high school equivalency preparation programs;
- Unit of study requirements;
- Regents examinations, pathway assessments, alternative assessments, technical assessments, and locally developed test requirements for a diploma;
- NYS career and development and occupational studies commencement credential; and
- General comprehensive examination requirements for a high school equivalency diploma.

4. COSTS:

- a. Costs to State government: The amendments do not impose any costs on State government.
- b. Costs to local government: The amendments do not impose any costs on local government.
- c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.
- d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule relates to providing flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments apply to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020 the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis including

orders directing the closure of schools, directing non-essential work personnel to work from home, and directing non-essential gatherings of individuals of any size for any reason to be canceled or postponed. In response the Department adopted emergency regulations at the April 2020 Board of Regents Meeting to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Renewal of limited permits in medicine;
- In-person CPR course certification requirements for dentists and dental hygienists;
- The takeover and restructuring of struggling and persistently struggling schools;
- Teacher certification through the Individual Evaluation pathway;
- Expiration dates of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and Conditional Initial certificate for teacher candidates;
- Emergency COVID-19 teaching certificates;
- School district leader and school district business leader assessments;
- School district leader and school business leader assessments;
- Annual monitoring and tracking of prekindergarten program effectiveness and the annual report of the percentage of prekindergarten children making significant gains;
- Application deadline for alternative high school equivalency preparation programs;
- Unit of study requirements;
- Regents examinations, pathway assessments, alternative assessments, technical assessments, and locally developed test requirements for a diploma;
- NYS career and development and occupational studies commencement credential; and
- General comprehensive examination requirements for a high school equivalency diploma.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools and directing nonessential work personnel to work from home. In response, the Department has adopted emergency regulations to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Renewal of limited permits in medicine;
- In-person CPR course certification requirements for dentists and dental hygienists;
- The takeover and restructuring of struggling and persistently struggling schools;
- Teacher certification through the Individual Evaluation pathway;
- Expiration dates of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and Conditional Initial certificate for teacher candidates;
- Emergency COVID-19 teaching certificates;
- School district leader and school district business leader assessments;
- Annual monitoring and tracking of prekindergarten program effective-

ness and the annual report of the percentage of prekindergarten children making significant gains;

- Application deadline for alternative high school equivalency preparation programs;
- Unit of study requirements;
- Regents examinations, pathway assessments, alternative assessments, technical assessments, and locally developed test requirements for a diploma;
- NYS career and development and occupational studies commencement credential; and
- General comprehensive examination requirements for a high school equivalency diploma.

The proposed amendment provides flexibility for certain regulatory requirements during the COVID-19 crisis. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed amendments is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendment provides flexibility related to the following:

- Renewal of limited permits in medicine;
- In-person CPR course certification requirements for dentists and dental hygienists;
- The takeover and restructuring of struggling and persistently struggling schools;
- Teacher certification through the Individual Evaluation pathway;
- Expiration dates of the Initial certificate, Initial Reissuance, Provisional certificate, Provisional Renewal, and Conditional Initial certificate for teacher candidates;
- Emergency COVID-19 teaching certificates;
- School district leader and school business leader assessments;
- Annual monitoring and tracking of prekindergarten program effectiveness and the annual report of the percentage of prekindergarten children making significant gains;
- Application deadline for alternative high school equivalency preparation programs;
- Unit of study requirements;
- Regents examinations, pathway assessments, alternative assessments, technical assessments, and locally developed test requirements for a diploma;
- NYS career and development and occupational studies commencement credential; and
- General comprehensive examination requirements for a high school equivalency diploma.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

Following publication of the Notice of Emergency Adoption and Revised Rule Making in the State Register on June 23, 2021, the State Education Department received the following comment on the proposed amendment:

1. COMMENT: A commenter writes that regents exams should no longer be required for graduation and the waivers "have been inequitable to student needs and abilities." The commenter stated that a "10th grader with a learning disability in reading should have just as much of a right to be exempt from the English Regents exam as the student before them, as they too lost out on instruction in ELA despite the grade level." The commenter requests that the Department eliminate Regents exams from graduation requirements.

DEPARTMENT RESPONSE: The proposed amendment allows exemptions to the diploma, credential, and endorsement requirements associated with the Regents examinations, as well as other assessments, so that students are still able to meet their diploma requirements. The purpose of these amendments is to provide regulatory flexibility to students as the COVID-19 crisis is still presently affecting the State of New York and students across New York have had varied levels of in-person instruction. Such exemption has been made available state-wide to all students. The comments regarding eliminating Regents exams from graduation requirements permanently is outside the scope of the proposed regulation. Therefore, no changes are necessary at this time.

EMERGENCY RULE MAKING

Providing Flexibility for Hands-Only CPR Instruction, the NYS Seal of Biliteracy, and Health Examinations and Certificates

I.D. No. EDU-21-21-00007-E

Filing No. 895

Filing Date: 2021-08-09

Effective Date: 2021-08-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.2(c), 100.5(h) and 136.3 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305, 308, 309, 804-c and 815

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

- Hands Only CPR
 - At its September 2020 meeting, the Board of Regents permanently adopted amendments to section 100.2(c)(11) to provide an exemption to the requirement that senior high schools provide an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression CPR for the 2019-2020 school year due to schools being closed in response to the COVID-19 crisis.
 - As schools have had varying levels of in-person instruction in the 2020-2021 school year, the Department is now proposing to extend such exemption to the 2020-2021 school year where schools were unable to provide such instruction as a result of providing remote or hybrid instruction during the COVID-19 crisis.
- Seal of Biliteracy
 - At its December 2020 meeting, the Board of Regents permanently adopted amendments to section 100.5(h)(4)(a) of the Commissioner's regulations to provide that students who were unable to take applicable Regents exams due to COVID-19-related cancellations could nevertheless satisfy criteria for the New York State Seal of Biliteracy (NYSSB) that would have been met by the required June 2020 or August 2020 Regents exam(s), provided that such students have been exempted from the applicable Regents examinations in accordance with section 100.5(a)(5)(iv) of the Commissioner's regulations.
 - Additionally, at its December 2020 and March 2021 meetings, the Board of Regents adopted emergency regulations extending the exemption from the diploma, credential, and endorsement requirements associated with Regents examinations to include the January, June, and August 2021 administration of the Regents examinations, respectively. Therefore, the Department is now proposing to amend section 100.5(h)(4)(a) of the Commissioner's regulations to extend the regulatory flexibility for the Regents exam requirements for the NYSSB to also include the January, June, and August 2021 administrations.
- Health Examination and Certificates
 - The Department proposes to amend section 136.3(b) and (c) of the Commissioner's regulations to provide that, for the 2020-21 school year, where a student is required to obtain a health examination/health certificate in the requisite grade, but has been unable to do so due to the COVID-19 crisis, such student shall submit such health examination/health certificate by September 1, 2021.

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full Board for adoption as an emergency action at the May 2021 meeting of the Board of Regents, effective May 11, 2021. Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the September 2021 Regents meeting. Further-

more, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the September meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on August 8, 2021. Therefore, a second emergency action is necessary at the July 2021 meeting for the presentation of the public health and general welfare order to immediately provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to ensure the emergency action taken at the May meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the September 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making.

Subject: Providing flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates.

Purpose: To provide regulatory flexibility in response to the COVID-19 crisis.

Text of emergency rule: 1. Subparagraph (v) of paragraph (11) of subdivision (c) of section 100.2 of the Regulations of the Commissioner of Education is amended to read as follows:

(v) Notwithstanding the provisions of this subdivision, senior high schools shall be exempted from providing an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression cardiopulmonary resuscitation required by this paragraph:

(a) for the 2019-2020 school year where such schools were unable to provide such opportunity due to school closures ordered pursuant to an Executive Order of the Governor pursuant to a State of emergency for the COVID-19 crisis; and

(b) for the 2020-2021 school year where such schools were unable to provide such opportunity as a result of providing remote or hybrid instruction during the COVID-19 crisis.

2. Clause (a) of subparagraph (ii) of paragraph (4) of subdivision (h) of section 100.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) Area 1: Criteria for Demonstrating Proficiency in English.

(1) Students shall earn one point per item for achieving the following items:

(i) Score 75 or higher on the NYS Comprehensive English Regents Examination, or score 80 or higher on the NYS Regents Examination in English Language Arts (however, students in schools with an alternate pathway for graduation approved by the Commissioner will be held to those schools' criteria), or students who have been exempted from the applicable Regents Examination in English Language Arts in the June 2020 or August 2020 Regents examination administration pursuant to subparagraph (vi) of paragraph (5) of subdivision (a) of this section, or English Language Learners (ELLs) score 75 or above on two Regents exams other than English, without translation, or ELLs have been exempted from two of the applicable Regents examinations in the June 2020[or], August 2020, January 2021, June 2021 or August 2021 Regents examination [administration as] *administrations* pursuant to subparagraph (vi) of paragraph (5) of subdivision (a) of this section[.];

(ii) ELLs earn an overall score of 290 on the New York State English as a Second Language Achievement Test (NYSESLAT) or who were identified in the 2019-2020 school year at the Transitioning or Expanding English Language Proficiency Level by the statewide English language proficiency identification assessment or the annual English language proficiency assessment and who earned credit in a course of study for English as a New Language or Bilingual Education in the 2019-2020 school year.

3. Paragraph (1) of subdivisions (b) and (c) of section 136.3 of the Regulations of the Commissioner of Education are amended to read as follows:

(1) Except in the city school district of the city of New York, it shall be the duty of the trustees and boards of education to require each student enrolled in the public school to have a satisfactory health examination conducted by a duly licensed physician, physician assistant or nurse practitioner, upon the student's entrance in such school at any grade level and for each student entering pre-kindergarten or kindergarten and in the 1st, 3rd, 5th, 7th, 9th and 11th grades. Such examination shall be acceptable for purposes of this section if it is administered not more than 12 months prior to the commencement of the school year in which the examination is required, *provided however, that for the 2020-2021 school year where a student is unable to obtain a health examination in the required grade pursuant to this paragraph due to the COVID-19 crisis, such student shall obtain such health examination by September 1, 2021.*

(1) Health certificates. It shall be the duty of the trustees and boards of education to require that each student, within 30 days after his or her entrance into school and within 30 days after his or her entry into pre-

kindergarten or kindergarten, 1st, 3rd, 5th, 7th, 9th and 11th grades, submit to the principal or the principal's designee a health certificate that meets the requirements of this paragraph, provided that no health certificate shall be required of a student for which an accommodation for religious beliefs is made pursuant to subdivision (f) of this section, *provided further, however, that for the 2020-2021 school year where a student is unable to obtain a health certificate in the required grade pursuant to this paragraph due to the COVID-19 crisis, such student shall submit such health examination by September 1, 2021.*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-21-21-00007-EP, Issue of May 26, 2021. The emergency rule will expire October 7, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 208 empowers the Regents to confer by diploma honorary degrees as they deem proper, establish examinations and award and confer suitable certificates, diplomas and degrees on persons who meet the prescribed requirements for such.

Education Law § 209 provides that the Regents shall establish, in secondary institutions, examinations in studies furnishing a suitable standard of graduation therefrom and of admission to colleges, and certificates or diplomas shall be conferred by the Regents to students who satisfactorily pass such examinations.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 308 empowers the commissioner to cause to be instituted such proceedings and processes as may be necessary to properly enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the state or any part thereof or to any school district or city and to enforce any rule or direction of the regents.

Education Law § 309 provides that the schools of every union free school district and of every city in all their departments are subject to the visitation of the commissioner and charges the commissioner with the general supervision of their board of education and their management and conduct of all departments of instruction.

Education Law § 804-c(2) permits the Commissioner to prescribe regulations governing health education course which shall include instruction in CPR.

Education Law § 815 establishes the New York State Seal of Biliteracy program to recognize high school graduates who have attained a "high level of proficiency in listening, speaking, reading, and writing in one or more languages, in addition to English." Subdivision (2)(b) of section 815 directs the Board of Regents to promulgate regulations as may be necessary to establish the criteria that students must achieve to earn a State Seal of Biliteracy.

2. LEGISLATIVE OBJECTIVES:

The proposed amendments are consistent with the above statutory authority and are necessary to provide regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates in response to the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

Hands Only CPR

- At its September 2020 meeting, the Board of Regents permanently adopted amendments to section 100.2(c)(11) to provide an exemption to the requirement that senior high schools provide an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression CPR for the 2019-2020 school year due to schools being closed in response to the COVID-19 crisis.

- As schools have had varying levels of in-person instruction in the 2020-2021 school year, the Department is now proposing to extend such exemption to the 2020-2021 school year where schools were unable to provide such instruction as a result of providing remote or hybrid instruction during the COVID-19 crisis.

Seal of Biliteracy

- At its December 2020 meeting, the Board of Regents permanently adopted amendments to section 100.5(h)(4)(a) of the Commissioner's regulations to provide that students who were unable to take certain Regents exams due to COVID-19-related cancellations could nevertheless satisfy criteria for the New York State Seal of Biliteracy (NYSSB), provided that such students were exempted from the applicable (June or August 2020) Regents examinations in accordance with section 100.5(a)(5)(iv) of the Commissioner's regulations.

- Additionally, at its December 2020 and March 2021 meetings, the Board of Regents adopted emergency regulations extending the exemption from the diploma, credential, and endorsement requirements associated with the June and August 2020 administrations of Regents examinations to include the January, June, and August 2021 administrations of the Regents examinations. Therefore, the Department is now proposing to amend section 100.5(h)(4)(a) of the Commissioner's regulations to extend the regulatory flexibility for the Regents exam requirements for the NYSSB to also include the January, June, and August 2021 administrations.

Health Examination and Certificates

- The Department proposes to amend section 136.3(b) and (c) of the Commissioner's regulations to provide that for the 2020-21 school year, where a student is required to obtain a health examination/health certificate in the requisite grade, but has been unable to do so due to the COVID-19 crisis, such student shall submit such health examination/health certificate by September 1, 2021.

4. COSTS:

- a. Costs to State government: The amendments do not impose any costs on State government.
- b. Costs to local government: The amendments do not impose any costs on local government.
- c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.
- d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendments and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective May 11, 2021. It is anticipated that the proposed amendment will be presented for permanent adoption at the September 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the September Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the July meeting. If adopted at the September meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule provides regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and cer-

tificates in response to the COVID-19 crisis. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to provide regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates in response to the COVID-19 crisis. The proposed amendments apply to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

Hands Only CPR

- At its September 2020 meeting, the Board of Regents permanently adopted amendments to section 100.2(c)(11) to provide an exemption to the requirement that senior high schools provide an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression CPR for the 2019-2020 school year due to schools being closed in response to the COVID-19 crisis.

- As schools have had varying levels of in-person instruction in the 2020-2021 school year, the Department is now proposing to extend such exemption to the 2020-2021 school year where schools were unable to provide such instruction as a result of providing remote or hybrid instruction during the COVID-19 crisis.

Seal of Biliteracy

- At its December 2020 meeting, the Board of Regents permanently adopted amendments to section 100.5(h)(4)(a) of the Commissioner's regulations to provide that students who were unable to take certain Regents exams due to COVID-19-related cancellations could nevertheless satisfy criteria for the New York State Seal of Biliteracy (NYSSB), provided that such students were exempted from the applicable (June or August 2020) Regents examinations in accordance with section 100.5(a)(5)(iv) of the Commissioner's regulations.

- Additionally, at its December 2020 and March 2021 meetings, the Board of Regents adopted emergency regulations extending the exemption from the diploma, credential, and endorsement requirements associated with the June and August 2020 administrations of Regents examinations to include the January, June, and August 2021 administrations of the Regents examinations. Therefore, the Department is now proposing to amend section 100.5(h)(4)(a) of the Commissioner's regulations to extend the regulatory flexibility for the Regents exam requirements for the NYSSB to also include the January, June, and August 2021 administrations.

Health Examination and Certificates

- The Department proposes to amend section 136.3(b) and (c) of the Commissioner's regulations to provide that for the 2020-21 school year, where a student is required to obtain a health examination/health certificate in the requisite grade, but has been unable to do so due to the COVID-19 crisis, such student shall submit such health examination/health certificate by September 1, 2021.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional costs on local governments beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory

district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

Hands Only CPR

- At its September 2020 meeting, the Board of Regents permanently adopted amendments to section 100.2(c)(11) to provide an exemption to the requirement that senior high schools provide an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression CPR for the 2019-2020 school year due to schools being closed in response to the COVID-19 crisis.

- As schools have had varying levels of in-person instruction in the 2020-2021 school year, the Department is now proposing to extend such exemption to the 2020-2021 school year where schools were unable to provide such instruction as a result of providing remote or hybrid instruction during the COVID-19 crisis.

Seal of Biliteracy

- At its December 2020 meeting, the Board of Regents permanently adopted amendments to section 100.5(h)(4)(a) of the Commissioner's regulations to provide that students who were unable to take certain Regents exams due to COVID-19-related cancellations could nevertheless satisfy criteria for the New York State Seal of Biliteracy (NYSSB), provided that such students were exempted from the applicable (June or August 2020) Regents examinations in accordance with section 100.5(a)(5)(iv) of the Commissioner's regulations.

- Additionally, at its December 2020 and March 2021 meetings, the Board of Regents adopted emergency regulations extending the exemption from the diploma, credential, and endorsement requirements associated with the June and August 2020 administrations of Regents examinations to include the January, June, and August 2021 administrations of the Regents examinations. Therefore, the Department is now proposing to amend section 100.5(h)(4)(a) of the Commissioner's regulations to extend the regulatory flexibility for the Regents exam requirements for the NYSSB to also include the January, June, and August 2021 administrations.

Health Examination and Certificates

- The Department proposes to amend section 136.3(b) and (c) of the Commissioner's regulations to provide that for the 2020-21 school year, where a student is required to obtain a health examination/health certificate in the requisite grade, but has been unable to do so due to the COVID-19 crisis, such student shall submit such health examination/health certificate by September 1, 2021.

The proposed amendment provides flexibility for certain regulatory requirements during the COVID-19 crisis. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed amendments is to provide regulatory flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates in response to the COVID-19 crisis. The proposed amendment provides flexibility related to the following:

Hands Only CPR

- At its September 2020 meeting, the Board of Regents permanently adopted amendments to section 100.2(c)(11) to provide an exemption to the requirement that senior high schools provide an opportunity to students to demonstrate the psychomotor skills necessary to perform hands-only compression CPR for the 2019-2020 school year due to schools being closed in response to the COVID-19 crisis.

- As schools have had varying levels of in-person instruction in the 2020-2021 school year, the Department is now proposing to extend such exemption to the 2020-2021 school year where schools were unable to provide such instruction as a result of providing remote or hybrid instruction during the COVID-19 crisis.

Seal of Bilingual

At its December 2020 meeting, the Board of Regents permanently adopted amendments to section 100.5(h)(4)(a) of the Commissioner's regulations to provide that students who were unable to take certain Regents exams due to COVID-19-related cancellations could nevertheless satisfy criteria for the New York State Seal of Bilingual (NYSSB), provided that such students were exempted from the applicable (June or August 2020) Regents examinations in accordance with section 100.5(a)(5)(iv) of the Commissioner's regulations.

Additionally, at its December 2020 and March 2021 meetings, the Board of Regents adopted emergency regulations extending the exemption from the diploma, credential, and endorsement requirements associated with the June and August 2020 administrations of Regents examinations to include the January, June, and August 2021 administrations of the Regents examinations. Therefore, the Department is now proposing to amend section 100.5(h)(4)(a) of the Commissioner's regulations to extend the regulatory flexibility for the Regents exam requirements for the NYSSB to also include the January, June, and August 2021 administrations.

Health Examination and Certificates

The Department proposes to amend section 136.3(b) and (c) of the Commissioner's regulations to provide that for the 2020-21 school year, where a student is required to obtain a health examination/health certificate in the requisite grade, but has been unable to do so due to the COVID-19 crisis, such student shall submit such health examination/health certificate by September 1, 2021.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

Department of Environmental Conservation

EMERGENCY RULE MAKING

Peekamoose Valley Riparian Corridor

I.D. No. ENV-22-21-00001-E

Filing No. 896

Filing Date: 2021-08-09

Effective Date: 2021-08-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 190.35 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101(3)(b), 3-0301(1)(b), (d), (2)(m), 9-0105(1) and (3)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This emergency rulemaking is necessary because it will address an immediate public health and safety situation resulting from a dramatic rise in public use of state forest preserve lands in the Peekamoose Valley Riparian Corridor. Expanding the permit requirement to seven days per week from May 15th through September 15th will ensure social distancing and other guidelines can be followed for the preservation of public health, safety and general welfare as well as prevent further degradation to the natural resources within the Peekamoose Valley Riparian Corridor. Compliance with the normal rule making process would delay implementation beyond the 2021 summer season, and would thus be contrary to the public interest of providing a safe setting for outdoor recreation during the most popular months of the year. The Department has the statutory authority to promulgate regulations under Environmental Conservation Law ("ECL") section 3-0301.

Subject: Peekamoose Valley Riparian Corridor.

Purpose: To protect public health, safety and general welfare and natural resources on the Peekamoose Valley Riparian Corridor.

Text of emergency rule: Subdivision (i) of section 190.35 is amended as follows:

(i) From May 15th through [October 15th] *September 15th* of each year [on Saturdays, Sundays and State and Federal holidays,] no person shall enter the Peekamoose Valley Riparian Corridor [east of the County Route 42 bridge crossing the Rondout Creek], an area that includes that portion of the Rondout Creek known as the Blue Hole, *Buttermilk Falls and the lower, middle and upper field camping areas*, except under permit from the department.

Section 190.35 is amended by adding two new subdivisions (j) and (k) as follows:

(j) *No person shall possess alcoholic beverages within the Peekamoose Valley Riparian Corridor except those camping at designated campsites.*

(k) *No person shall possess coolers larger than 12" (any dimension) within the Peekamoose Valley Riparian Corridor except those camping at designated campsites.*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. ENV-22-21-00001-EP, Issue of June 2, 2021. The emergency rule will expire October 7, 2021.

Text of rule and any required statements and analyses may be obtained from: William Rudge, Natural Resource Supervisor, NYS DEC, 21 South Putt Corners Road, New Paltz, NY 12561-1696, (845) 256-3092, email: bill.rudge@dec.ny.gov

Additional matter required by statute: A Short EAF was prepared in compliance with Article 8 of the ECL.

Regulatory Impact Statement

1. Statutory Authority:

Article XIV, Section 1 of the New York State Constitution provides that the lands of the Forest Preserve "shall be forever kept as wild forest lands."

Environmental Conservation Law ("ECL") § 1-0101(3)(b) directs the Department of Environmental Conservation (Department) to guarantee "that the widest range of beneficial uses of the environment is attained without risk to health or safety, unnecessary degradation or other undesirable or unintentional consequences."

ECL § 3-0301(1)(b) gives the Department the responsibility to "promote and coordinate management of...land resources to assure their protection, enhancement, provision, allocation, and balanced utilization...and take into account the cumulative impact upon all such resources in promulgating any rule or regulation."

ECL § 3-0301(1)(d) authorizes the Department to "provide for the care, custody and control of the Forest Preserve."

ECL § 9-0105(1) authorizes the Department to "[e]xercise care, custody, and control of the several preserves, parks and other State lands described in [Article 9 of the ECL]," which includes Forest Preserve lands.

ECL § 3-0301(2)(m) authorizes the Department to adopt rules and regulations "as may be necessary, convenient or desirable to effectuate the purposes of [the ECL]."

ECL § 9-0105(3) authorizes the Department to "[m]ake necessary rules and regulations to secure proper enforcement of [ECL Article 9]."

2. Legislative Objectives:

Paragraph 1 of section 3 of Article XIV of the New York State Constitution provides that "forest and wildlife conservation are... policies of the State." Article XIV, section 1 of the New York State Constitution provides that the lands of the Forest Preserve "shall be forever kept as wild forest lands," and ECL §§ 3-0301(1)(b) and 9-0105(1) give the Department jurisdiction to manage Forest Preserve lands. The Department is also authorized to promulgate rules and regulations for the use of such lands (see ECL §§ 3-0301(2)(m) and 9-0105(3)). Consistent with this authority, the proposed regulations are crafted to protect natural resources and the health, safety and general welfare of those who engage in recreational activities within the Peekamoose Valley Riparian Corridor of the Forest Preserve in the Catskill Park.

3. Needs and Benefits:

The Peekamoose Valley Riparian Corridor is an area encompassing more than 270 acres of Forest Preserve lands straddling the upper Rondout Creek along Peekamoose Road (Ulster County 42) in the Town of Denning in Ulster County. The Valley is a remote area in the heart of the Catskill Park and New York City's Catskill/Delaware watershed. The upper Rondout Creek flows into the Rondout Reservoir, an important and high-quality drinking water supply for New York City. In recent years there has been a dramatic increase in public use of the Peekamoose Valley Riparian Corridor, resulting in unacceptable impacts to natural resources as well as serious public health and safety issues.

In 2015, the Department attempted to address the problems associated with overuse by implementing a number of strategies, including: clearly defining parking lots and limiting parking to those lots; prohibiting parking along the road (as posted by the Town of Denning); performing weekly garbage pick-ups; and recommending alternative swimming/picnicking areas. These alternative recommendations included Department camp-

grounds, which have appropriate facilities and are more suited to withstanding more intense public use. Despite these efforts, in 2016 the Department determined that it was necessary to promulgate special regulations for the Peekamoose Valley Riparian Corridor, which encompasses approximately 270 acres of Forest Preserve lands in the Sundown Wild Forest and Slide Mountain Wilderness Area.

The regulation includes several prohibitions designed to protect water quality, protect the area from overuse and address activities which impact public health and safety. Again, public use of the area continued to increase and in June of 2018 the Department amended the regulation to create a day-use permit system for the western portion of the Corridor which included the Blue Hole. The regulation requires that all visitors to the Blue Hole must obtain a permit on weekends and holidays from May 15th thru October 15th of each year. Permits could only be obtained through Reserve America, similar to the reservation system for DEC's campgrounds. While DEC incurred an administrative cost for each permit, permits were issued free of charge to the user. Permits were issued for up to 6 individuals and a maximum of 40 permits were issued on any day that permits were required – allowing for a maximum of 240 people/day.

Overall, the permit system was a success, greatly reducing the number of visitors on weekends and holidays when use had historically surpassed the carrying capacity for the area. While our stewards interacted with over 15,000 visitors during the course of the 2018 and 2019 seasons, use of the Blue Hole was reduced to 6,500 visitors - 1,700 under permit on weekends and holidays and 4,800 on weekdays (no permit limitation). This compares to some Sundays in previous years where over 1,000 visitors were encountered in a single day. Over 6,000 people that arrived without a permit were educated and, after providing suggestions for alternative locations, were turned away. Reserve America collected data on the individuals who got a permit, and from that data we found that nearly 70% of those who got a permit were from the NY/NJ metropolitan area. Key to success of the permit system was the presence of the Blue Hole Stewards and Forest Rangers, who educated the visitors and ensured they understood and followed the rules.

The Peekamoose Valley Riparian Corridor, and specifically the Blue Hole, experienced a dramatic increase in visitation during the 2020 season. This increase can partially be attributed to the pandemic and an increased desire for individuals to recreate locally. Blue Hole Stewards interacted with 24,281 visitors over the course of the season. Under the permit system (weekends and holidays) visitor use decreased from 3,101 visitors in the 2019 season to 2,021 in 2020. This decrease is likely due to Department efforts to reduce the number of permits allowed on weekends to meet social distancing guidelines designed to combat the COVID-19 pandemic. However, weekday visitation increased from 2,509 to 10,369 (no permit limitation). Overall, in 2020 visitation increased 225% (2019 total visitation: 10,796).

The most dramatic change we observed in 2020 was an increase in weekday use. In past years, weekday use was nominal. This season, weekday use ranged from 300-700 people/day. We also observed a tremendous amount of trash, litter and human waste as well as illegal parking and congestion at the Blue Hole. DEC Forest Rangers issued nearly 400 tickets during the 2020 season (228 tickets for permit violations and 170 for parking violations), compared to less than 100 tickets in 2019.

Despite the Department's significant efforts to address the area's problems, public use continues to exceed the area's carrying capacity. Such overuse has resulted in unsanitary conditions, threats to water quality, trampled vegetation and a dramatic degradation of the wild character of the area. Because of the immediate threat to the public health, safety and general welfare, combined with the surge in the number of people recreating in the Peekamoose Valley Corridor mid-week, it is essential to expand the permit requirement to all days of the week from May 15th through September 15th. In addition, it is necessary to expand the area where a permit is required to encompass the entire riparian (Rondout Creek) corridor. The expanded permit system will prevent those visitors who arrive without a permit from going downstream and congregating in an unrestricted area, causing natural resource impacts and making social distancing difficult. The area within the Corridor requiring a permit will also encompass a traditional camping area, requiring campers to obtain a permit prior to camping.

While the permit system is intended to ease congestion and overuse, additional measures are necessary to reduce the amount of trash and human waste impacting the site. As a result, the amendment proposes to expand the prohibited activities to prohibit alcohol and large coolers. These prohibitions are intended to mitigate impacts to the natural resource and protect public health from trash and human waste. As a result, it is necessary to amend the regulation on an emergency basis prior to the anticipated heavy public use of the 2021 season to protect public health, safety and general welfare, and to maintain the natural character of the area so that it will be available for sustained public use and enjoyment. Given the significant threats to public health, safety and the environment and the inability

of the normal rulemaking process to result in the promulgation of regulations in time for this summer's busy season, it is appropriate to adopt these regulations now on an emergency basis.

The Department has received initial public input on the proposed actions through meetings and interaction with local government officials, including the Town of Denning Supervisor, and in meetings with stakeholder organizations, including the Catskill Park Advisory Committee.

4. Costs:

It is anticipated that any administrative costs associated with the administration of the expanded permit system will be offset by a permit fee of \$10 per permit.

5. Local Government Mandates:

This proposal will not impose any program, service, duty or responsibility upon any county, city, town, village, school district or fire district.

6. Paperwork:

The proposed regulations will not impose any reporting requirements or other paperwork on any private or public entity.

7. Duplication:

There is no duplication, conflict, or overlap with State or Federal regulations.

8. Alternatives:

The no-action alternative is not feasible since the existing regulation does not adequately protect the Peekamoose Valley Riparian Corridor from overuse and abuse and does not protect the public health, safety, and general welfare.

Closing the area to public use is also not an acceptable alternative. Forest Preserve land is acquired for the use of and enjoyment by the public. ECL section 9-0301(1) provides that "all lands in the Catskill Park. . . shall be forever reserved and maintained for the free use of all the people. . ." The closure of Forest Preserve land to public use should not occur except when absolutely necessary to protect public health or the resource.

9. Federal Standards:

There is no relevant federal standard governing the use of State lands.

10. Compliance Schedule:

Once the regulations are adopted, they will be effective immediately, and all persons will be expected to comply with them upon the anticipated effective date of May 14, 2021. The Department will educate the public about the regulations through information posted on the Departments' web site, signage posted on the property, social media, at the Catskill Visitor Center and by working with user groups and other stakeholders to help disseminate information regarding the regulations.

Regulatory Flexibility Analysis

The proposed rulemaking will address overuse and protect public health, safety and general welfare on the Peekamoose Valley Riparian Corridor by implementing a permit system from May 15th through September 15th of each year while still providing a quality outdoor experience for users. The proposed regulation relates solely to protecting public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor and does not impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments. As a result, a Regulatory Flexibility Analysis for Small Businesses and Local Governments is not necessary and is not submitted with these regulations.

Rural Area Flexibility Analysis

The proposed rulemaking will address overuse and protect public health, safety and general welfare on the Peekamoose Valley Riparian Corridor by implementing a permit system from May 15th through September 15th of each year while still providing a quality outdoor experience for users.

A Rural Area Flexibility Analysis is not submitted and is not required because the proposal will not impose any reporting, recordkeeping or other compliance requirements on rural areas. The proposed regulation relates solely to protecting public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor.

Job Impact Statement

The proposed rulemaking will address overuse and protect public health, safety and general welfare on the Peekamoose Valley Riparian Corridor by implementing a permit system from May 15th through September 15th of each year while still providing a quality outdoor experience for users.

A Job Impact Statement is not submitted and is not required because the proposal will have no substantial adverse impact on existing or future jobs and employment opportunities. The proposed regulation relates solely to protecting public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor.

Assessment of Public Comment

Assessment of Public Comment for the expanded day use permitting system for visitors to the Peekamoose Valley Riparian Corridor (Blue Hole), requiring permits every day of the week May 15 through September

15, implementing a fee for the permit, and prohibiting alcoholic beverages and coolers larger than 12" in any dimension.

The 60-day public comment period, from June 2nd through August 1st, 2021, resulted in 8 written comments in response to the proposed expanded permitting system for visitors to the Peekamoose Valley Riparian Corridor. Out of the 8 comments, most voiced support for a permit system, some suggested changes to the permit system. Comments were reviewed, categorized, and summarized. A responsiveness summary was then prepared.

Comment:

Are provisions being made for the people who live in this corridor to be issued exemption passes, like a resident pass?

Response:

No. State lands are open to the public on an equal basis regardless of area of residence.

Comment:

Supports the expanded permit system.

Response:

Thank you for your support.

Comment:

Supports the expanded permit system, but not the fee.

Response:

The minimal fee is necessary to support the administrative costs of the permit system. The Department will reevaluate the permit system at the end of the season to determine effectiveness and will identify opportunities for improvement.

Comment: Commenter supports the intent of the permit system but suggests an exemption for individuals with a valid fishing license.

Response:

The Department will consider ways in which individuals with valid licenses issued by the Department may be exempt from the additional permit requirement.

Comment:

Supports the expanded permit system, with added infrastructure, clearer communication to improve the system.

Response:

The Department agrees that infrastructure improvements are necessary, as proposed in the Sundown Wild Forest Unit Management Plan. The Department will undertake those improvements as budget and staffing allow. The Department will also review signage and other communications to ensure clear instructions.

Department of Health

REVISED RULE MAKING NO HEARING(S) SCHEDULED

Medicaid Transportation Program

I.D. No. HLT-38-20-00006-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of section 505.10 of Title 18 NYCRR.

Statutory authority: Social Services Law, section 365-h; Public Health Law, section 201(1)(v)

Subject: Medicaid Transportation Program.

Purpose: Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat and Transport (ET3) model.

Substance of revised rule (Full text is posted at the following State website: <https://regs.health.ny.gov/regulations/proposed-rule-making>): These proposed regulatory amendments implement and incorporate specific aspects of two Medicaid Redesign Team (MRT) II recommendations: (1) Medicaid participation in an Emergency Triage, Treat and Transport (ET3) model Medicare demonstration program, which provides an alternative to hospital emergency department care for Medicaid beneficiaries otherwise transported to the hospital; and (2) contracting with a transportation management broker to provide cost effective nonemergency transportation to Medicaid beneficiaries. In addition, these proposed amendments include transportation network companies in the list of transportation services authorized in the Medicaid program. Specifically, the amendments provide and incorporate:

1. Payment standards for emergency ambulance services provided by ET3 model participants that either treat a Medicaid beneficiary in place,

and/or transport a Medicaid beneficiary to an alternative nonhospital destination such as a primary care physician office or urgent care clinic;

2. Changes in the Medicaid transportation program which will occur when nonemergency transportation services are provided and managed by one or more transportation management brokers;

3. Maintenance of certain aspects of the current prior authorization and payment standards that are needed during the transition to one or more transportation management brokers, including forms required from ordering practitioners which are currently set forth in the Medicaid Transportation Manual;

4. Removal of out-of-date references to the old transportation program operated in conjunction with local social services districts; and

5. An amended definition of transportation services and conforming amendment in the payment section of the regulation.

Revised rule compared with proposed rule: Substantial revisions were made in sections 505.10(b)(12), (15), (17), (19), (23), (25)(i), (c), (d), (e) and (f).

Text of revised proposed rule and any required statements and analyses may be obtained from Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Statutory Authority:

Social Services Law § 365-h broadly governs the provision and reimbursement of transportation costs in the Medicaid program. The Commissioner of Health (Commissioner) is responsible for prior authorization of transportation provided to Medicaid beneficiaries and for limiting coverage to transportation that is medically necessary and appropriate to obtain Medicaid covered services.

Social Services Law § 365-h(2) requires the Commissioner to use appropriate and economical resources in meeting the demand for transportation. Under this authority, the Commissioner may implement Medicaid participation in an Emergency Triage, Treat and Transport (ET3) model Medicare demonstration program and add transportation network companies (TNCs) as resources to meet demand for high quality transportation services.

Social Services Law § 365-h(4)(b) authorizes the Commissioner to contract with one or more transportation management brokers to provide cost-effective nonemergency transportation to Medicaid beneficiaries for periods on and after April 1, 2021. Under this contract, the transportation management broker will be responsible for establishing fees to reimburse enrolled Medicaid transportation providers.

Public Health Law § 201(1)(v) requires the Department of Health (Department) to act as the single State agency for Medicaid with the responsibility to supervise the plan required by Title XIX of the federal Social Security Act and to adopt regulations as may be necessary to implement this plan.

Legislative Objectives:

The legislative objective is to provide a statutory framework under which the Commissioner is authorized to establish and implement standards for the provision and payment of Medicaid transportation, including (1) the ET3 model, which provides cost-effective emergency ambulance services to Medicaid beneficiaries by reimbursing treatment in place and/or transport to an alternative nonhospital destination, (2) authority to contract with a transportation management broker to implement the cost-effective delivery of nonemergency transportation to Medicaid beneficiaries, and (3) additional transportation resources, such as TNCs, to meet demand for high quality transportation services.

Needs and Benefits:

These regulatory amendments are needed to add TNCs to the list of transportation services authorized in the Medicaid program and implement and incorporate specific aspects of two Medicaid Redesign Team (MRT) II recommendations: (1) Medicaid participation in an ET3 model Medicare demonstration program, which provides an alternative to hospital emergency department care for Medicaid beneficiaries otherwise transported to the hospital, and (2) contracting with a transportation management broker to provide cost effective nonemergency transportation to Medicaid beneficiaries.

These amendments authorize Medicaid transportation services to be provided by licensed TNCs; provide payment standards for emergency ambulance services provided by ET3 model participants that either treat a Medicaid beneficiary in place and/or transport a Medicaid beneficiary to an alternative nonhospital destination such as a primary care physician office or urgent care clinic; and incorporate changes in the Medicaid transportation program which will occur when nonemergency transportation services are provided and managed by one or more transportation

management brokers while maintaining the provisions applicable to the current system.

Costs to Regulated Parties:

There are no costs imposed on regulated parties by these regulations because the amendments simply add TNCs to the list of authorized transportation services in the Medicaid program, provide Medicaid payment standards for emergency ambulance service providers that participate in an ET3 model, and incorporate Medicaid transportation program changes related to implementation of the transportation management broker.

Costs to the Administering Agencies, the State, and Local Governments:

To administer agencies and the State associated with these amendments will be covered by existing State budget appropriations and anticipated federal financial participation. There are no costs imposed on local governments by these regulations because the amendments simply add TNCs to the list of authorized transportation services in the Medicaid program, provide Medicaid payment standards for emergency ambulance service providers that participate in an ET3 model and incorporate Medicaid transportation program changes related to implementation of the transportation management broker.

Local Government Mandates:

The proposed regulations do not impose any new programs, services, duties or responsibilities upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

The proposed regulations impose minimal paperwork requirements on regulated parties that participate in an ET3 model demonstration program by requiring copies of applications and reports submitted to CMMI for Medicare purposes.

Duplication:

There are no other State or Federal requirements that duplicate, overlap, or conflict with the statute and the proposed regulations.

Alternatives:

As part of the MRT II deliberation process in making its recommendations to the Legislature regarding cost effective improvements to the Medicaid transportation program, the Department considered the alternatives of neither contracting with a transportation management broker to provide nonemergency transportation to Medicaid beneficiaries, nor participating in an ET3 model Medicare demonstration program. However, since both initiatives had the potential to improve quality of care to Medicaid beneficiaries while creating a more efficient system for providing transportation, the Department supported the MRT II recommendations, and therefore, decided to propose the necessary amendments to 18 NYCRR § 505.10 to implement each of them. With regard to adding transportation network companies (TNCs) to the list of providers set forth in the definition of “transportation services” at 10 NYCRR section 505.10(b)(25), the Department considered adding these providers without a regulatory amendment under the current taxi/livery category of provider. However, TNC services are authorized under Article 44-B of the Vehicle and Traffic law and are not, in fact, the same as taxi/livery services. Therefore, for clarity and accuracy, the Department proposes this amendment to add TNCs to the definition of “transportation services.”

Federal Standards:

There are no minimum Federal standards regarding this subject.

Compliance Schedule:

These amendments shall be effective October 1, 2020.

Revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Changes made to the last published rule do not necessitate revision to the previously published Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Assessment of Public Comment

The Department of Health (“DOH” or the “Department”) received comments from the United Ambulette Coalition and Lyft regarding amendments to section 505.10 of Title 18 (Social Services) of the Official Compilation of Codes, Rules and Regulations of the State, governing transportation for medical care and services. The comments and responses are summarized below:

COMMENT:

United Ambulette Coalition commented on a regulatory provision that requires New York City ambulette providers that have been granted contract carrier permits by the New York State Department of Transportation be licensed by the New York City Taxi and Limousine Commissioner and requested the regulation be updated to eliminate this requirement.

RESPONSE:

The Department recognized this change in the New York State Medicaid Program, Transportation Manual Policy Guidelines effective January 28, 2016, but did not incorporate the revision into 18 NYCRR section 505.10 at that time. The Department agrees the language should be removed and revised section 505.10(e)(6)(ii) accordingly.

COMMENT:

Lyft commented on the need to add transportation network companies (TNCs) to the list of modes of non-emergency medical transportation (NEMT) set forth in the definition of “transportation services” at 18 NYCRR section 505.10(b)(25) and cited multiple reasons in support of its position.

RESPONSE:

While TNCs have yet to be added to New York’s NEMT program, the Department acknowledges plans to do so in the future and has revised the proposed amendments as follows:

1. TNCs are listed in the definition of transportation services in 505.10(b)(25); and
2. Two conforming amendments are included to reflect the addition of TNCs in 505.10(c)(2)(v) and 505.10(e)(6).

COMMENT:

Lyft also requested clarity on transportation provider billing options that may be implemented pursuant to language in 18 NYCRR 505.10(b)(28), which authorizes direct billing by providers to eMedNY, or billing by the transportation management broker in accordance with a network service agreement, as applicable.

RESPONSE:

This regulatory amendment is intended to provide authority for either billing option, or a combination of billing options. After the procurement of the transportation management broker is complete, the Department will provide additional guidance.

Department of Labor

EMERGENCY/PROPOSED RULE MAKING HEARING(S) SCHEDULED

New York Health and Essential Rights Act (NY HERO Act)

I.D. No. LAB-34-21-00002-EP

Filing No. 891

Filing Date: 2021-08-05

Effective Date: 2021-08-05

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 840.1 to Title 12 NYCRR.

Statutory authority: State Administrative Procedure Act, section 202(6); Labor Law, sections 218-b and 21(11)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This emergency regulation is necessary to implement the NY HERO Act and put necessary preparatory protections in place in the event of the NY Health Commissioner designation of an airborne infectious disease as a highly contagious communicable disease that presents a serious risk of harm to the public health. The Legislature, in adopting the HERO Act, required that the Department of Labor publish this standard in early July, and that employers adopt plans that comply with such requirements within 30 days of publication. To effectuate this legislative purpose, and to provide the protections of the HERO Act without delay, this emergency adoption is necessary to immediately enact these important rules and is necessary to public health, safety, and the general welfare, and provides protections to the employees in New York State and avoid future loss of life.

Subject: New York Health and Essential Rights Act (NY HERO Act).

Purpose: Airborne Infectious Disease Exposure Prevention Standard.

Public hearing(s) will be held at: 10:00 a.m., Nov. 17, 2021, location to be announced on Department of Labor website.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of emergency/proposed rule (Full text is posted at the following State website: <https://dol.ny.gov/ny-hero-act>): The rule creates a new section of regulations designated as 12 NYCRR 840.1 entitled “Airborne

Infectious Disease Exposure Prevention Standard.” This section is summarized as follows:

- Section 840.1(a), “General Provisions,” sets forth the general provisions including applicability of the regulation and definitions.
- Section 840.1(b), “Exposure Prevention Plan,” sets forth the requirements for an employer adopted Exposure Prevention Plan to eliminate or minimize employee exposure to airborne infectious disease agents designated by the Commissioner of Health as a highly contagious communicable disease that presents a serious risk of harm to the public health.
- Section 840.1(c), “Exposure Controls,” sets forth requirements for employers to select and obtain exposure controls appropriate for the exposure risks and requires that such controls be included in the employer’s Exposure Prevention Plan.
- Section 840.1(d), “Anti-Retaliation,” prohibits employers from retaliating against employees for exercising their rights under this regulation or an employer’s Exposure Prevention Plan.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire November 2, 2021.

Text of rule and any required statements and analyses may be obtained from: Michael Paglialonga, Department of Labor, Building 12, State Office Campus, Room 509, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: Five days after the last scheduled public hearing.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

Statutory Authority: State Administrative Procedure Act (SAPA) § 202(6); Labor Law §§ 218-b, and 21(11).

Legislative Objectives: On May 5, 2021, Governor Andrew Cuomo signed the New York Health and Essential Rights Act (NY HERO Act) into law. The purpose of Section 1 of the NY HERO Act is to protect employees against exposure and disease during a future airborne infectious disease outbreak. The law mandates extensive new workplace health and safety protections in response to the COVID-19 pandemic, including the requirement that all private industry employers adopt an airborne infectious disease prevention plan, and to put such plans into effect if/when an airborne infectious disease is designated by the New York State Commissioner of Health as a highly contagious communicable disease that presents a serious risk of harm to the public health. The Act further directs the Department of Labor to develop, with the consultation of the Department of Health, a model standard for the prevention of airborne infectious diseases.

Needs and Benefits: This regulation is required by the NY HERO Act, and is both necessary and required for the implantation of that law. This regulation sets forth standards governing airborne infectious disease prevention plans, which employers are required to adopt. In addition to this regulation, in consultation with the NYS Department of Health, the Department has developed a new Model Airborne Infectious Disease Exposure Prevention Plan (template), and various industry-specific model plans (templates) for the prevention of airborne infectious disease. Employers can choose to adopt the applicable policy template/plan provided by NYS DOL or establish an alternative plan that meets or exceeds the standard’s minimum requirements. Templates which meet the requirements of the standard/regulation were posted on the Department’s website contemporaneously to the publication of the standard on July 6, 2021. While employers that choose to develop and adopt their own plan must closely review the Hero Act and the standard/regulation to ensure compliance with its requirements, employers may comply with these requirements through the adoption of a plan using a template developed by the Department of Labor.

Costs: While it is anticipated that employers may incur costs as a result of the requirements of the NY HERO Act, the present rulemaking does not impose any additional or further costs on the regulated community than those imposed by the HERO Act itself. The Department, in taking action in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Local Government Mandates: This regulation does not apply to governmental entities.

Paperwork: This regulation is necessary to implement the NY HERO Act and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute.

Duplication: This rulemaking does not duplicate, overlap, or conflict with any other state or federal requirements, and specifically exempts employment covered by a temporary or permanent standard adopted by the Occupational Safety and Health Administration setting forth applicable standards regarding COVID-19 and/or airborne infectious agents and diseases.

Alternatives: There were no significant alternatives considered.

Federal Standards: This rulemaking does not duplicate, overlap, or conflict with any other state or federal requirements, and specifically exempts employment covered by a temporary or permanent standard adopted by the Occupational Safety and Health Administration setting forth applicable standards regarding COVID-19 and/or airborne infectious agents and diseases.

Compliance Schedule: This emergency rulemaking shall become effective upon the date specified within the filing with the Department of State, and the rulemaking will be effective upon the publication of a notice of adoption in the State Register.

Regulatory Flexibility Analysis

Effect of Rule: The purpose and intent of this regulation is to implement Section 1 of the NY Hero Act through the adoption an Airborne Infectious Disease Prevention Standard.

Compliance Requirements: This regulation does not impose any requirements in addition to those imposed by the NY HERO Act, which requires employers to adopt an airborne infectious disease prevention plan. The regulation does not apply to governmental entities.

Professional Services: No professional services are required to effectuate the purposes of this regulation.

Compliance Costs: While it is anticipated that employers may incur costs as a result of the requirements of the NY HERO Act, the present rulemaking does not impose any additional or further costs on the regulated community than those imposed by the HERO Act itself. The Department, in taking action in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Economic and Technological Feasibility: The regulation does not require any use of technology to comply.

Minimizing Adverse Impact: The Department does not anticipate that this regulation will adversely impact small businesses or local governments. The Department, in taking action in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Small Business and Local Government Participation: The Department has met with various stakeholders, including those representing small businesses, and has sought feedback to the regulation from stakeholders both on its website and through this rulemaking’s comment period.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Rural Area Flexibility Analysis

Types and estimated numbers of rural areas: The Department anticipates that this regulation will have a positive or neutral impact upon all areas of the state; there is no adverse impact anticipated upon any rural area of the state resulting from adoption of this regulation.

Reporting, recordkeeping and other compliance requirements: The Department, in taking action in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Professional services: No professional services will be required to comply with this regulation.

Costs: While it is anticipated that employers may incur costs as a result of the requirements of the NY HERO Act, the present rulemaking does not impose any additional or further costs on the regulated community than those imposed by the HERO Act itself. The Department, in taking action

in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Minimizing adverse impact: The Department does not anticipate that this regulation will adversely impact small businesses or local governments. The Department, in taking action in the manner described above, is affording employers the ability to comply through the adoption of a template published by the Department thereby minimizing or eliminating such costs. As no other affirmative actions are required unless and until the Commissioner of Health makes a designation under the HERO Act, the Department estimates that there will be no significant costs to the regulated community as a direct result of this regulation, to the Department of Labor, or to state and local governments to implement this regulation.

Rural area participation: The Department does not anticipate that the regulation will have an adverse economic impact upon rural areas nor will it impose new reporting, recordkeeping, or other compliance requirements.

Job Impact Statement

Nature of impact: The Department of Labor (hereinafter "Department") projects there will be no adverse impact on jobs or employment opportunities in the State of New York as a result of this regulation.

Categories and numbers affected: The Department does not anticipate that this regulation will have an adverse impact on jobs or employment opportunities in any category of employment.

Regions of adverse impact: The Department does not anticipate that this regulation will have an adverse impact upon jobs or employment opportunities statewide or in any particular region of the state.

Minimizing adverse impact: Since the Department does not anticipate any adverse impact upon jobs or employment opportunities resulting from this regulation, no measures to minimize any unnecessary adverse impact on existing jobs or to promote the development of new employment opportunities are required.

Self-employment opportunities: The Department does not foresee a measurable impact upon opportunities for self-employment resulting from adoption of this regulation.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

CDG Subscriber Eligibility Requirements

I.D. No. PSC-34-21-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc. (the Companies) proposing a limited expansion of eligibility for Community Distributed Generation (CDG) subscribers.

Statutory authority: Public Service Law, sections 5(1)(b), (2), 65(1), (2), (3), 66(2), (5), 66-j, 66-l and 66-p

Subject: CDG subscriber eligibility requirements.

Purpose: To consider modifications to the CDG program eligibility requirements for certain Standby Service customers.

Substance of proposed rule: The Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc. (collectively, the Companies) filed on April 12, 2021, proposing a limited expansion of eligibility for Community Distributed Generation (CDG) subscribers to include those customers on Standby Service rates that are otherwise exempt from paying Standby Service rates due to their minimal amount of on-site generation.

Specifically, the Companies propose to make Standby Service Customers with minimal on-site generation, which is both less than 15 percent of the customer's peak load and is not eligible for net energy metering (NEM), eligible to participate in CDG.

Currently, the Companies explain, a demand billed customer with on-site generating equipment having a nameplate rating that is no more than 15 percent of the maximum potential demand served from all sources is considered a Standby Service customer but is exempt from paying Standby Service rates. The Companies propose to continue to exclude customers with non-NEM eligible on-site generation greater than 15 percent of the customers' peak load from participating in the CDG program.

This proposed narrow exemption to the general rule that customers with on-site generation may not participate in CDG would allow those customers with on-site generation less than 15 percent of their peak load to participate as CDG subscribers. This would enable master-metered multi-unit residential buildings, including those with low and moderate income residents, to benefit from distributed generation by participating as a CDG satellite. By utilizing the same 15 percent threshold applicable to exemptions from Standby Service the Companies assert that the proposed exemption would be relatively easy for them to implement and for customers to understand.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0082SP7)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Request for Waiver

I.D. No. PSC-34-21-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of Carrera RS, LLC to submeter electricity at 515 East 86th Street, New York, NY and request for waiver of the requirement of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and request for waiver.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by Carrera RS, LLC on September 1, 2020, to submeter electricity at a new market rate and income-based rental building located at 515 East 86th Street, New York, NY 10028, located in the service territory of Consolidated Edison Company of New York Inc. (Con Edison). On May 28, 2021, the petitioner requested waiver of 16 NYCRR § 96.5(k)(3), the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance.

In the notice of intent, Carrera RS, LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and

power systems and therefore, an energy audit is not appropriate in this case.

The full text of the notice of intent, waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0433SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Staff Recommendations to Address the Financial Impacts of the COVID-19 Pandemic

I.D. No. PSC-34-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a Whitepaper filed on February 4, 2021 by Department of Public Service Staff (Staff) proposing targeted relief to those customers financially impacted by the COVID-19 pandemic.

Statutory authority: Public Service Law, sections 4(1) and 66(1)

Subject: Staff recommendations to address the financial impacts of the COVID-19 pandemic.

Purpose: To consider measures to provide relief to those financially impacted by the COVID-19 pandemic.

Substance of proposed rule: The Public Service Commission (Commission) is considering a Whitepaper filed on February 4, 2021 by Department of Public Service Staff (Staff) proposing, among other things, to provide targeted relief to those customers financially impacted by the COVID-19 pandemic (Whitepaper). Staff recommendations 18-21 are designed to address the financial impacts of the COVID-19 pandemic.

More specifically, Staff recommends: (1) that commercial customers be able to self-certify a change in financial circumstance due to the COVID-19 pandemic and be allowed to enter into differed payment agreements (DPAs) with no down payments, have existing DPAs renegotiated due to changes in the commercial customers' financial circumstances, and late payment charges be waived; (2) residential and commercial late payment fees should continue to be waived for the two-year time period starting on the expiration of the moratorium on terminations and disconnections of residential electricity, gas, steam, telephone, and water customers during the COVID-19 state of emergency; (3) that deferred payment arrangements should not accrue interest on customer residential and commercial arrearages for the two-year time period after the conclusion of the moratorium on terminations and disconnections of residential electricity, gas, steam, telephone, and water customers during the COVID-19 state of emergency; and, (4) that the Commission adopt an arrears management program at each major utility.

The full text of the Staff Whitepaper and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed by the Staff Whitepaper and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Sec-

retary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(14-M-0565SP16)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Authorization to Extend the Maturity Date of Certain Short-Term Indebtedness and Total Debt

I.D. No. PSC-34-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Bluestone Wind, LLC and Ball Hill Wind Energy, LLC requesting total debt authorization up to \$400 million and to extend the maturity date of its \$381,177,543.80 short-term indebtedness.

Statutory authority: Public Service Law, sections 2(12), (13), (22), 5(1)(b), 64-69, 69-a, 70-72, 72-a, 78, 79, 105-114, 114-a, 115, 117, 118, 119-b and 119-c

Subject: Authorization to extend the maturity date of certain short-term indebtedness and total debt.

Purpose: To consider the request for authorization to enter into indebtedness.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by Bluestone Wind, LLC and Ball Hill Wind Energy, LLC (collectively, the Petitioners) on July 23, 2021, requesting authorization to extend the maturity date of certain short-term indebtedness of up to \$382,177,543 and the flexibility to modify or refinance, without prior Commission approval, this indebtedness up to \$400,000,000.

This requested flexibility would include the ability to modify or refinance this indebtedness including the identity of the financing entities and payment terms. Petitioners explain that they are requesting authorization under Public Service Law (PSL) § 69 to strengthen their creditworthiness by allowing them and their affiliates to cross-collateralize debt.

As lightly regulated entities pursuant to PSL § 69 Petitioners explain that the Commission has approved similar proposed financings and should approve this request because captive New York ratepayers cannot be harmed. According to the filing, Petitioners, their affiliates and investors would bear all the financial risk associated with these financing arrangements.

Specifically, Petitioners request authorization to enter into indebtedness of up to \$381,177,543.80 million payable over more than 12 months, including the authority to guarantee and pledge ownership interests in, and the jurisdictional facilities owned by Petitioners as collateral security for the repayment of up to \$400,000,000 in debt; and 2) a negative declaration of environmental significance under the State Environmental Quality Review Act.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0399SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Issuance of Securities and Other Forms of Indebtedness

I.D. No. PSC-34-21-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. requesting permission to issue and sell unsecured debt obligations.

Statutory authority: Public Service Law, section 69

Subject: Issuance of securities and other forms of indebtedness.

Purpose: To consider the Con Edison's request to issue and sell unsecured debt obligations.

Substance of proposed rule: The Public Service Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. (the Company) on July 26, 2021, seeking authority to issue and sell unsecured debt obligations having a maturity of more than one year.

The requested authority would allow the Company to: (i) issue and sell up to \$4.025 billion aggregate principal amount of unsecured debt obligations having a maturity of more than one year for purposes of reimbursement of the Company's treasury for moneys expended for capital purposes through December 31, 2025; (ii) enter into or continue one or more revolving credit agreements and issue and sell up to \$2.25 billion aggregate principal amount at any time outstanding of unsecured debt obligations having a maturity of more than one year pursuant to such agreement(s), such issuance and sale to be for the purposes of reimbursement of the Company's treasury for moneys expended for capital purposes through December 31, 2025; and, (iii) issue and sell up to \$2.5 billion aggregate principal amount of unsecured debt obligations having a maturity of more than one year for the purposes of refunding in advance of maturity outstanding debt securities of the Company through December 31, 2025.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify, or reject, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-M-0403SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Authorization to Continue the PRIME-WNY

I.D. No. PSC-34-21-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by National Fuel Gas Distribution Corporation to modify its Gas Tariff Schedule P.S.C. No. 9—Gas, to continue the PRIME-WNY as a pilot for an additional three years.

Statutory authority: Public Service Law, sections 65 and 66(12)

Subject: Authorization to continue the PRIME-WNY.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Public Service Commission (Commis-

sion) is considering a proposal filed by the National Fuel Gas Distribution Corporation (NFG or the Company) on July 30, 2021, to amend its gas tariff schedule, P.S.C. No. 9 – Gas, seeking authorization to continue the Partnership to Revitalize the Industrial Manufacturing Economy of Western New York (PRIME-WNY) as a pilot through November 30, 2024. NFG asserts that, if approved, the proposal would allow the Company to continue its efforts to revitalize the industrial manufacturing economy in western New York and provide meaningful long-term benefits to all ratepayers.

Under PRIME-WNY, the Company utilizes shareholder funds to incent large commercial and industrial customers to install incremental natural gas fired equipment at their existing facilities. PRIME-WNY is designed to help manufacturers in the Company's service territory take advantage of low-cost energy supplies and economic opportunities. The proposed amendments have an effective date of November 1, 2021.

The full text of the proposal and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-G-0423SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Clean Energy Standard Programs

I.D. No. PSC-34-21-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by NYSEERDA seeking authorization of Clean Energy Standard administrative costs for the 2022 compliance year and proposing an administrative adder for the Zero Emissions Credit Requirement program.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 66(2); Energy Law, section 6-104(5)(b)

Subject: Clean Energy Standard Programs.

Purpose: Continued implementation of the Clean Energy Standard and the Zero Energy Credit Requirements Programs.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition (Petition), filed on July 30, 2021 by the New York State Energy Research and Development Authority (NYSEERDA), seeking authorization of the funds to be used to cover NYSEERDA's costs and fees needed to administer the Clean Energy Standard (CES), including the Renewable Energy Standard (RES) Tier 1, Tier 2, Tier 4, Offshore Wind (OSW) programs, and the Zero-Emission Credit Requirements (ZECR) program for the 2022 Compliance Year. Additionally, the Petition proposes an administrative adder for Compliance Year 2022-2023 of the ZECR program.

Specifically, NYSEERDA is proposing an administrative budget of \$30,209,000 for the CES 2022 Compliance Year, which would include staff direct and indirect salaries, fringe benefits, and other direct program operating costs and general administrative expenses. The proposed budget represents an overall increase of approximately 6% over the approved 2021 budget, and includes two new full-time employee positions to manage the increased workload of CES projects in active development.

NYSEERDA proposes to fund its administration of the Tier 1, Tier 2, Tier 4, and OSW programs with revenues received in previous years including bid fees, forfeited contract security funds, alternative compliance payments (ACP), and interest income. It proposes to fund the ZECR program with an adder charge. NYSEERDA proposes the use of ACP funds to offset the costs of achieving the RES goals, including mitigating any

impact to customers related to the financial backstop, as previously approved by the Commission. NYSDRDA forecasts collecting an additional \$43.6 million in ACP collections when settling the 2020 compliance year, which would manifest as revenue in the 2021 calendar year.

For the ZECR program, NYSDRDA proposes to continue to fund its administration of the ZECR program through a ZEC adder. Further, NYSDRDA proposes to use the adder to cover the shortfall of funding of \$1.2 million for 2020, which is primarily due to the ZECR NYS Cost Recovery Fee detailed in the petition. NYSDRDA also proposes that any funds collected through the ZEC adder, if unspent, be returned to the load serving entities who purchased ZECs, in a proportionate amount.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0302SP48)

Department of Taxation and Finance

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-21-21-00005-A

Filing No. 893

Filing Date: 2021-08-09

Effective Date: 2021-08-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period July 1, 2021 through September 30, 2021.

Text or summary was published in the May 26, 2021 issue of the Register, I.D. No. TAF-21-21-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-34-21-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period October 1, 2021 through December 31, 2021.

Text of proposed rule: Pursuant to the authority contained in subdivision First of section 171, subdivision (c) of section 301-h, subdivision 7 of section 509, subdivision (b) of section 523 and subdivision (a) of section 528 of the Tax Law, the Acting Commissioner of Taxation and Finance, being duly authorized to act due to the vacancy in the office of the Commissioner of Taxation and Finance, hereby proposes to make and adopt the following amendments to the Fuel Use Tax Regulations, as published in Article 3 of Subchapter C of Chapter III of Title 20 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

Section 1. Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (civ) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(ciii) July – Sept. 2021					
15.6	23.6	40.2	16.0	24.0	38.85
(civ) Oct. – Dec. 2021					
16.0	24.0	40.6	16.0	24.0	38.85

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-31-21-00014-P	Regulated Commodity Labeling, Packaging and Method of Sale Requirements	WebEx, 518-549-0500, Access: 161 509 994—September 15, 2021, 11:00 a.m.
Environmental Conservation, Department of		
ENV-26-21-00003-P	Product Stewardship and Product Labeling	Electronic webinar—September 8, 2021, 10:00 a.m. and 2:00 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Part 368 by June 30, 2021. The proposed regulations webpage for 6 NYCRR Part 368 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 368 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than Wednesday, August 18, 2021. The written request must be addressed to ALJ Olivieri, DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Fl., Albany, NY 12233-1550 or emailed to ALJ Olivieri at ohms@dec.ny.gov
Labor, Department of		
LAB-34-21-00002-EP	New York Health and Essential Rights Act (NY HERO Act)	Location to be announced on Department of Labor website—November 17, 2021, 10:00 a.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-23-21-00001-P	07/07/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards
AAM-31-21-00014-P	09/15/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

*ASA-28-20-00013-RP	10/22/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
*ASA-28-20-00016-RP	10/22/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation
ASA-27-21-00009-P	07/07/22	General provisions applicable to all OASAS programs	To identify those provisions that are required of all OASAS certified, funded or otherwise authorized programs

CHILDREN AND FAMILY SERVICES, OFFICE OF

*CFS-04-20-00009-RP	12/13/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-49-20-00006-EP	12/09/21	Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.	Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.
CFS-27-21-00001-EP	07/07/22	To clarify the authorization and payment requirements of Part 415; to revise the sliding fee scale for families	To clarify the authorization and payment requirements of Part 415; to revise the sliding fee scale for families

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-27-21-00003-P	07/07/22	For licensed and registered child care programs to comply with the anaphylaxis policy issued by NYS Department of Health	For licensed and registered child care programs to comply with the anaphylaxis policy issued by NYS Department of Health
CFS-31-21-00013-P	08/04/22	Implements statutory requirements pursuant to the Federal Child Care & Development Block Grant Act of 2014 & the NYS 2022 Budget	Implements statutory requirements pursuant to the Federal Child Care & Development Block Grant Act of 2014 & the NYS 2022 Budget
CIVIL SERVICE, DEPARTMENT OF			
*CVS-13-20-00015-P	09/22/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-13-20-00016-P	09/22/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-24-20-00008-P	09/22/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-28-20-00005-P	09/22/21	Jurisdictional Classification	To classify a position in the exempt class
*CVS-32-20-00005-P	09/22/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00005-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00005-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00006-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00007-P	03/31/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-21-00008-P	03/31/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-21-00001-P	04/07/22	Juneteenth Holiday	To add Juneteenth to the listing of holidays in the Attendance Rules
CVS-14-21-00002-P	04/07/22	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2021
CVS-19-21-00003-P	05/12/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-19-21-00004-P	05/12/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-19-21-00005-P	05/12/22	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-23-21-00006-P	06/09/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-23-21-00007-P	06/09/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-23-21-00008-P	06/09/22	Jurisdictional Classification	To delete positions from the exempt class
CVS-23-21-00009-P	06/09/22	Jurisdictional Classification	To classify a subheading and positions in the exempt class
CVS-27-21-00004-P	07/07/22	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-27-21-00005-P	07/07/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-27-21-00006-P	07/07/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-27-21-00007-P	07/07/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-27-21-00008-P	07/07/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-31-21-00002-P	08/04/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-31-21-00003-P	08/04/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-31-21-00004-P	08/04/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-31-21-00005-P	08/04/22	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-31-21-00006-P	08/04/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-31-21-00007-P	08/04/22	Jurisdictional Classification	To classify positions in the non-competitive class
COMMISSIONER OF PILOTS, BOARD OF			
COP-24-21-00011-P	exempt	Rate increases for pilot services	To offset costs being incurred by pilots by failure of users to properly assess assistance needed from pilots
CORRECTION, STATE COMMISSION OF			
CMC-34-21-00001-P	08/25/22	Jail staffing requirements	To provide county governments and the City of New York an increased role and flexibility in determining officer staffing levels
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-48-20-00001-P	12/02/21	Employee Training Incentive Program	To update the administrative processes for the ETIP program
EDV-30-21-00002-EP	07/28/22	New York City Musical and Theatrical Production Tax Credit program	To create the administrative processes for the New York City Musical and Theatrical Production Tax Credit program
EDV-32-21-00004-EP	08/11/22	Restaurant Return-to-Work Tax Credit program	To create the administrative processes for the Restaurant Return-to-Work Tax Credit program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	09/22/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population

Action Pending Index

NYS Register/August 25, 2021

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
*EDU-20-20-00008-ERP	11/16/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-08-21-00002-P	02/24/22	The Definition of the Term "University"	To clarify and broaden the definition of the term "university"
EDU-17-21-00011-P	04/28/22	Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers	To make technical changes and other clarifying amendments to section 310 appeal procedures and requirements
EDU-21-21-00006-P	05/26/22	Civic Readiness Pathway to Graduation and the New York State Seal of Civic Readiness	To establish the civic readiness pathway to graduation and the New York State Seal of Civic Readiness
EDU-21-21-00007-EP	05/26/22	Providing flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates	To provide regulatory flexibility in response to the COVID-19 crisis
EDU-21-21-00008-P	05/26/22	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform the Commissioner's regulations to national education standards for postsecondary education
EDU-21-21-00009-P	05/26/22	School Counselor Bilingual & Supplementary Bilingual Education Extension & Registration Requirements	To create the bilingual education extension, supplementary bilingual education extension, and registration requirements for programs leading to the bilingual education extension for initial and professional school counselor certificates
EDU-21-21-00010-P	05/26/22	Adding the Doctor of Business Administration (D.B.A.) Degree and Master of Theological Studies (M.T.S.) Degree in New York State	To add the D.B.A. degree and M.T.S. degree in New York State
EDU-25-21-00014-P	06/23/22	Permanent School Counselor Certificate Requirements	To allow candidates who have an expired Provisional School Counselor certificate and apply for a Permanent School Counselor certificate prior to February 2, 2023
EDU-25-21-00015-P	06/23/22	Extending the Instructional Hour COVID-19 Waiver to the 2021-22 School Year	To provide additional regulatory flexibility by extending the instructional hour COVID-19 waiver to the 2021-22 school year
EDU-25-21-00016-P	06/23/22	Mandatory Peer Review Program in the Profession of Public Accountancy	To conform to the national peer review program standards to enhance enforcement efforts to help ensure the quality of attest services provided by New York public accounting firms
EDU-25-21-00017-P	06/23/22	Financial Viability, Accountability, and Evaluating Academic Progress in Licensed Private Career Schools & Certified ESL Schools	To establish standards of financial viability, accountability, and evaluating academic progress in licensed private career schools and certified English as a second language schools
EDU-25-21-00018-EP	06/23/22	Permitting the Dignity for All Students Act (DASA) Training to be Provided Entirely Online Due to the COVID-19 Crisis	To permit DASA training to be provided entirely online through December 31, 2021 due to the COVID-19 crisis
EDU-25-21-00019-EP	06/23/22	Two-Step Identification Process for Academic Services (AIS) for Students Who Will Be Enrolled in Grades 3-8 in 2021-22	To provide flexibility for the 2-step AIS identification process for students enrolled in grades 3-8 in the 2021-22 school year

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-30-21-00003-EP	07/28/22	Addressing the COVID-19 crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-30-21-00004-EP	07/28/22	Relates to term limits for members of the advisory committee on long-term clinical clerkships	To make a technical amendment relating to term limits for members of the advisory committee on long-term clinical clerkships
ELECTIONS, STATE BOARD OF			
SBE-33-21-00010-P	08/18/22	Public Campaign Finance Program	Implementation of the Public Campaign Finance Program
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-03-21-00010-P	03/23/22	Application of Site-Specific Criteria to Class I and Class SD Waters	Add site-specific criteria to Class I and SD waters to provide additional water quality protection of the existing best uses
ENV-12-21-00004-P	03/24/22	Public use of Wildlife Management Areas, and areas with special regulations	To ensure that public use of WMAs and other sites does not interfere or conflict with intended purposes of those areas
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-19-21-00001-P	07/20/22	Set monitoring, operational and reporting requirements for the oil and natural gas sector	Reduce emissions of methane and volatile organic compounds from the oil and natural gas sector
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-23-21-00011-P	06/09/22	Deer and Bear Hunting	Strategically increase antlerless deer harvest, expand hunting hours, simplify bear hunting seasons, and enhance hunter safety
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598, 599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-26-21-00003-P	09/08/22	Product Stewardship and Product Labeling	Expand, strengthen and clarify existing regulations to establish consistency with federal and state requirements
ENV-31-21-00001-EP	08/04/22	Zoar Valley Multiple Use Area including Zoar Valley Unique Area and Onondaga Escarpment Unique Area	To protect public health, safety, general welfare and natural resources on the Zoar Valley MUA/UA and the Onondaga Escarpment UA
ENV-33-21-00004-P	08/18/22	Amendments to permit requirements for trapping fisher and marten in New York State.	To remove the requirement for a special fisher trapping permit, and to simplify marten trapping requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	 exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	 exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-49-20-00011-P	 12/09/21	Credit for Reinsurance	To conform to covered agreements entered into between the US and EU and the US and UK, and implement NAIC models.
DFS-27-21-00018-P	 07/07/22	Brokers, Agents and Certain Other Licensees - General	To set forth classes licensees must complete to fulfill part of the 15 hour credit hours required by Ins Law Art. 21
GAMING COMMISSION, NEW YORK STATE			
SGC-34-20-00009-P	 08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-50-20-00007-P	 12/16/21	Contactless payment methods for chances in charitable gaming	To promote public health and support of organizations authorized to operate games of chance
SGC-09-21-00014-P	 03/03/22	Lasix administrations on race day	To enhance the safety and integrity of pari-mutuel racing
SGC-29-21-00010-P	 07/21/22	Amendment of video lottery gaming regulations	To amend the video lottery gaming regulations to reflect amendments to Tax Law 1612
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	 exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	 09/22/21	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
*HLT-46-19-00003-P	 09/22/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-47-19-00008-P	 09/22/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
*HLT-51-19-00001-P	 09/22/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
*HLT-04-20-00003-RP	 10/22/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
*HLT-11-20-00003-P	 09/22/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
*HLT-27-20-00006-P	09/22/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
*HLT-28-20-00019-RP	09/22/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
*HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-RP	12/22/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-45-20-00002-RP	11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-09-21-00009-EP	03/03/22	Surrogacy Programs and Assisted Reproduction Service Providers	To license and regulate surrogacy programs
HLT-19-21-00002-EP	05/12/22	Meeting Space in Transitional Adult Homes	Establish criteria for suitable meeting space to ensure privacy in conversations and submit a compliance plan to the Department
HLT-22-21-00003-P	06/02/22	Reducing Biannual Testing of Adult Care Facility Staff	To remove the requirement for biannual testing of adult care workers
HLT-22-21-00004-P	06/02/22	Hospice Residence Rates	To authorize Medicaid rate of payment to increase the Hospice Residence reimbursement rates by 10 percent
HLT-22-21-00005-P	06/02/22	Stroke Services	Amend transition period for existing stroke centers to allow the Dept. to extend the three year transition period, if necessary
HLT-22-21-00009-P	06/02/22	Managed Care Organizations (MCOs)	To maintain the contingent reserve requirement at 7.25% through 2022 applied to Medicaid Managed Care, HIV SNP & HARP programs
HLT-22-21-00010-P	06/02/22	Labeling Requirements Concerning Vent-Free Gas Space Heating Appliances	To adjust the current labeling requirements for unvented gas space heating appliances
HLT-28-21-00018-P	07/14/22	Public Water Systems	To correct typographic & minor technical errors to obtain primacy for the implementation of federal drinking water regulations
HLT-32-21-00001-P	08/11/22	Abortion Services	To protect and promote the health of New Yorkers seeking to access abortion services
HUMAN RIGHTS, DIVISION OF			
HRT-15-21-00005-P	04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE			
JPE-21-21-00002-RP	05/26/22	Records access	To update regulations governing records access
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	09/22/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-49-20-00012-P	12/09/21	Sick Leave Requirements	To provide definitions and standards for the sick leave requirements contained in Section 196-b of the Labor Law
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAB-34-21-00002-EP	11/17/22	New York Health and Essential Rights Act (NY HERO Act)	Airborne Infectious Disease Exposure Prevention Standard
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-12-21-00011-P	 exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP	 09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-09-21-00001-EP	 03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-20-21-00006-P	 05/19/22	Establishment of Youth Assertive Community Treatment (ACT)	To include children in the populations eligible to receive ACT and other conforming changes
OMH-33-21-00005-P	 08/18/22	Establishes Crisis Stabilization Centers.	To establish standards for a Crisis Stabilization Center which provides a full range of psychiatric and substance use services.
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
MTA-16-21-00004-EP	 04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES, DEPARTMENT OF			
MTV-26-21-00002-P	 06/30/22	Relicensing after revocation	Conforms regulation with Departmental longstanding practice of not issuing a proposed denial of license applications
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	 exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	 exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-31-21-00012-P	 08/04/22	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc.	To amend Procurement Guidelines to reflect changes in law, clarifying provisions and change in signing authority level
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	 exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	 exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	 exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-27-21-00017-P	 exempt	Rates for the Sale of Power and Energy	To maintain the system's integrity. This increase in rates is not the result of an Authority rate increase to the Village
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	 exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	 exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	 exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	 exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	 exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	 exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	 exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-03-00011-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	 exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	 exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	 exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	 exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	 exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	 exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	 exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	 exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	 exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	 exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	 exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	 exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	 exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	 exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-04-00017-P	 exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	 exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	 exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	 exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	 exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	 exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	 exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	 exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	 exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	 exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	 exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	 exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	 exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	 exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	 exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	 exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	 exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-06-00009-P	 exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	 exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	 exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	 exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	 exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	 exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	 exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	 exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	 exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	 exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	 exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	 exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	 exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	 exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-07-00016-P	 exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	 exempt	Natural Gas Supply and Acquisition Plan by Conring Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	 exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	 exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	 exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	 exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	 exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	 exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	 exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	 exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	 exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	 exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	 exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	 exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	 exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	 exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	 exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	 exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-08-00012-P	 exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	 exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	 exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	 exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	 exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	 exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	 exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	 exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	 exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	 exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	 exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	 exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	 exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	 exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	 exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-48-08-00005-P	 exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	 exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	 exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	 exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	 exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	 exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	 exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	 exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	 exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	 exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	 exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	 exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	 exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	 exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	 exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-09-00018-P	 exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	 exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	 exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	 exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	 exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	 exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	 exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	 exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	 exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	 exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	 exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	 exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	 exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with its AMI pilots etc

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-09-00017-P	 exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	 exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	 exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	 exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	 exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	 exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	 exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	 exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	 exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	 exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	 exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	 exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	 exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	 exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	 exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-09-00013-P	 exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	 exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	 exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	 exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	 exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	 exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	 exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	 exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	 exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	 exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	 exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	 exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	 exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	 exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-10-00009-P	 exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	 exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	 exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	 exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	 exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	 exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	 exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	 exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	 exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	 exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	 exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	 exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	 exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-10-00016-P	 exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	 exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	 exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	 exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	 exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	 exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	 exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	 exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	 exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	 exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	 exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	 exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	 exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	 exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	 exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	 exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-11-00003-P	 exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	 exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	 exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	 exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	 exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	 exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	 exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	 exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	 exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	 exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	 exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	 exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	 exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	 exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-12-00011-P	 exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	 exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	 exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	 exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	 exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	 exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	 exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	 exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	 exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	 exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	 exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	 exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	 exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	 exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-13-00012-P	 exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	 exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	 exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	 exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	 exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	 exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	 exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	 exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	 exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	 exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	 exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	 exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	 exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-13-00016-P	 exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	 exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	 exempt	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices
*PSC-32-13-00012-P	 exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	 exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	 exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	 exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	 exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	 exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	 exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	 exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-49-13-00008-P	 exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	 exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	 exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	 exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDPC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	 exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	 exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	 exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	 exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	 exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	 exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-14-00007-P	 exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	 exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	 exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	 exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	 exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	 exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	 exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	 exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	 exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	 exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	 exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	 exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	 exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	 exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	 exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-14-00005-P	 exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	 exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	 exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	 exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	 exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	 exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	 exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	 exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	 exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	 exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	 exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	 exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-14-00003-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	 exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	 exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	 exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	 exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	 exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	 exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	 exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	 exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	 exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	 exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	 exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	 exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	 exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	 exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-15-00015-P	 exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	 exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	 exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	 exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	 exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	 exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	 exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	 exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	 exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	 exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	 exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	 exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	 exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	 exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	 exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-15-00009-P	 exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	 exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	 exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	 exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	 exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	 exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	 exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	 exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	 exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	 exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	 exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	 exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	 exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-24-16-00009-P	 exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	 exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	 exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	 exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	 exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	 exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	 exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	 exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	 exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	 exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	 exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	 exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	 exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-17-00012-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	 exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	 exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	 exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	 exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	 exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	 exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	 exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	 exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	 exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	 exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	 exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	 exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-17-00018-P	 exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	 exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	 exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	 exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	 exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	 exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	 exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	 exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	 exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	 exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	 exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	 exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	 exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	 exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	 exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	 exempt	Whether to impose consequences on Aspiro for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	 exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	 exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-18-00008-P	 exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	 exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	 exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	 exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	 exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	 exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	 exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	 exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	 exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	 exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	 exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	 exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	 exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-19-00004-P	 exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	 exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	 exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	 exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	 exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	 exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	 exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	 exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	 exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	 exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	 exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	 exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	 exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	 exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-19-00003-P	 exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-44-19-00009-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	 exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P	 exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-52-19-00006-P	 exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-03-20-00009-P	 exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
*PSC-08-20-00003-P	 exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	 exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	 exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P	 exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-15-20-00013-P	 exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
*PSC-16-20-00004-P	 exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P	 exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-20-00015-P	 exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P	 exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-21-20-00008-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
*PSC-23-20-00008-P	 exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
*PSC-25-20-00010-P	 exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P	 exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
*PSC-27-20-00003-P	 exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
*PSC-28-20-00022-P	 exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-28-20-00034-P	 exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-34-20-00004-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	 exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-38-20-00004-P	 exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-40-20-00004-P	 exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00006-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-41-20-00010-P	 exempt	Disposition of a \$50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-42-20-00006-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00008-P	 exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P	 exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00007-P	 exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.
PSC-45-20-00003-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P	 exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P	 exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-46-20-00005-P	 exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
PSC-48-20-00005-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
PSC-48-20-00007-P	 exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-51-20-00007-P	 exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
PSC-51-20-00009-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
PSC-51-20-00010-P	 exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00014-P	 exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-20-00002-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-20-00004-P	 exempt	Use of pipeline refund.	To consider how a pipeline refund of \$2.26 million will be utilized by National Fuel.
PSC-52-20-00011-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-01-21-00004-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
PSC-01-21-00006-P	 exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00007-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-02-21-00006-P	 exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00006-P	 exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-04-21-00016-P	 exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-04-21-00017-P	 exempt	Funding and management of the the Clean Energy Fund portfolio.	To review NYSERDA's proposed modifications to the Clean Energy Fund portfolio and determine whether the changes are acceptable.
PSC-04-21-00020-P	 exempt	NFG's Implementation Plan and audit recommendations.	To consider to implement the management audit recommendations.
PSC-05-21-00005-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-05-21-00007-P	 exempt	Petition to amend bill estimation procedures.	To consider the petition of Central Hudson Gas & Electric Corporation to amend its current bill estimation procedures.
PSC-06-21-00009-P	 exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-06-21-00011-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-21-00005-P	 exempt	Staff Whitepaper recommending modifications to the utility's energy affordability program.	To consider updates and enhancements to the utility's low-income energy affordability program.
PSC-07-21-00007-P	 exempt	Conditioned pre-approval of stock transactions of regulated entities.	To consider allowing stock transactions within statutory parameters without Commission approval for individual transactions.
PSC-08-21-00003-P	 exempt	Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.	To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-21-00002-P	 exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
PSC-09-21-00003-P	 exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00005-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P	 exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-09-21-00007-P	 exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-10-21-00008-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-11-21-00003-P	 exempt	NYSEG and RG&E's petition for a waiver of its customer service quality performance.	To determine if NYSEG and RG&E's petition for waiver is in the public interest.
PSC-12-21-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-12-21-00009-P	 exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-13-21-00016-P	 exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-13-21-00019-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00020-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00021-P	 exempt	Headroom analyses of local transmission and distribution system to support additional renewable energy generation.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-13-21-00023-P	 exempt	Petition for the use of steam metering equipment.	To ensure that consumer bills are based on accurate measurements of steam usage.
PSC-14-21-00003-P	 04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-15-21-00006-P	 exempt	Proposed sale of the Company's stock to the Buyers.	To determine if sale of the Company's stock to the Buyers is in the public interest.
PSC-15-21-00007-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-15-21-00009-P	 exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00010-P	 exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00011-P	 exempt	Tariff rate modifications for net metered distributed energy resources.	To implement just and reasonable rates for distributed energy resources.
PSC-16-21-00006-P	 exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P	 exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-16-21-00010-P	 exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-21-00003-P	 exempt	Notice of intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-21-00004-P	 exempt	Waiver of tariff rules.	To consider whether a waiver of tariff rules is just and reasonable and in the public interest.
PSC-17-21-00005-P	 exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P	 exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	 exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-17-21-00008-P	 exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-18-21-00004-P	 exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00005-P	 exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-18-21-00006-P	 exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00007-P	 exempt	System modernization tracker (SMT) recovery period amendment.	To determine whether to extend the recovery period associated with the SMT's leak prone pipe replacement costs.
PSC-18-21-00008-P	 exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00008-P	 exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program.
PSC-19-21-00009-P	 exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00010-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00012-P	 exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-19-21-00013-P	 exempt	The proposed transfer of ownership interests and debt financing arrangement related to certain electric generating facilities.	To determine whether the proposed transfer of ownership interests and financing arrangement are in the public interest.
PSC-20-21-00003-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-20-21-00004-P	 exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.
PSC-21-21-00012-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-21-21-00013-P	 exempt	The Competitive Tier 2 program adopted in the Commission's Order Adopting Modifications to the Clean Energy Standard.	To determine the process for the resale of environmental attributes procured under the Competitive Tier 2 program.
PSC-21-21-00014-P	 exempt	Transfer of excess development rights associated with utility property.	To determine whether to authorize the transfer of excess development rights associated with utility property.
PSC-21-21-00015-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00016-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00017-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00018-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-21-21-00019-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-21-21-00020-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-22-21-00006-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00007-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 7.6 mile, 13 kV AC electric cable.
PSC-22-21-00008-P	 exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-23-21-00002-P	 exempt	Waiver for allocation of natural gas to commercial and industrial economic development customers.	To provide commercial and industrial economic development customers access to natural gas.
PSC-23-21-00003-P	 exempt	Petitions for rehearing of the Order Adopting a Data Access Framework and Establishing Further Process.	To consider modifications and/or clarifications to the Order Adopting a Data Access Framework and Establishing Further Process.
PSC-23-21-00004-P	 exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-21-00005-P	 exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-23-21-00010-P	 06/09/22	Technical amendments of state regulations and administrative corrections.	To make the provisions of natural gas service safer in New York State.
PSC-24-21-00005-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-21-00004-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00005-P	 exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.
PSC-25-21-00006-P	 exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Newfield.
PSC-25-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-25-21-00008-P	 exempt	NYSERDA and National Grid's proposed Expanded Solar For All Program for low-income customers.	To consider the authorization and appropriate design of an opt-out community solar program for low-income customers.
PSC-25-21-00009-P	 exempt	Hydroelectric facility located in Carthage, New York.	To promote and maintain renewable electric energy resources.
PSC-25-21-00010-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-21-00011-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-21-00012-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00013-P	 exempt	Negative revenue adjustments for gas main replacements targets in 2020.	To promote and ensure safety and reliability enhancements for utility infrastructure replacement.
PSC-26-21-00004-P	 exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00005-P	 exempt	Proposed revisions to tariff schedule.	To consider tariff revisions to cancel leaves associated with the Energy Smart Community Rate Pilot.
PSC-26-21-00006-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00007-P	 exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00008-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00009-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00010-P	 exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.
PSC-26-21-00011-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-26-21-00012-P	 exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider whether the proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-26-21-00013-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-27-21-00010-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-27-21-00011-P	 exempt	The prohibition on ESCO service to low-income customers.	To consider whether NOCO Electric, LLC and NOCO Natural Gas, LLC should be granted a waiver to serve low-income customers.
PSC-27-21-00012-P	 exempt	Waiver request to reset NUG Rider rate on one day's notice.	To determine whether NUG Rider rates should be reset on one day's notice.
PSC-27-21-00013-P	 exempt	Support for a hydroelectric facility located in Black Brook, New York.	To consider financial support to promote and maintain an existing renewable electric energy resource.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-27-21-00014-P	 exempt	PSC Regulation 16 NYCRR 86.3(a)(1), (a)(2), (b)(2), 86.4(b), 88.4(a)(4) and 85-2.3(c).	To consider the applicants requests relating to the content of their application for transmission line siting.
PSC-27-21-00015-P	 exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Lockport.
PSC-28-21-00011-P	 exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Ithaca.
PSC-28-21-00012-P	 exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the proposed transfer and any matters within the public interest.
PSC-28-21-00013-P	 exempt	Elimination of internal audits of wholesale performance metrics.	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits.
PSC-28-21-00014-P	 exempt	Proposed agreement for the provision of water service.	To consider whether a proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-28-21-00015-P	 exempt	Proposals for active and passive managed charging programs for mass market EV customers.	To shift EV charging to moderate grid impacts and customer costs.
PSC-28-21-00016-P	 exempt	Transfer of Suez Water New York Inc.'s parent company to Veolia Environment S.A.	To determine if the proposed transfer is the public interest.
PSC-29-21-00003-P	 exempt	Proposed revisions to the Companies firm demand response programs for the 2021 - 2022 winter season.	To determine whether to authorize the Companies' proposed firm gas demand response programs and associated tariff leaves.
PSC-29-21-00004-P	 exempt	Exemptions from utility standby rates for efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-29-21-00005-P	 exempt	Use of pipeline refund.	To consider how a pipeline refund of \$2.26 million will be utilized by National Fuel.
PSC-29-21-00006-P	 exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Horseheads.
PSC-29-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-29-21-00008-P	 exempt	Proposed agreement for provision of service by Saratoga Water Services, Inc.	To consider whether a proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-29-21-00009-P	 exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies.	To study the efficacy of using AMI to disconnect electric service during gas system emergencies.
PSC-30-21-00005-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-30-21-00006-P	 exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes.	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-21-00007-P	 exempt	Submetering of electricity and waiver requests.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-21-00008-P	 exempt	Adjustments to the the Daily Delivery Service Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-21-00009-P	 exempt	Submetering of electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-21-00010-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-31-21-00008-P	 exempt	Issuance of securities and other forms of indebtedness.	To consider Central Hudson's request to issue and sell unsecured debt obligations
PSC-31-21-00009-P	 exempt	Waiver of certain rules, i.e., cable television advisory committee, public notice of request for proposals.	To determine whether to waive any rules and regulations.
PSC-31-21-00010-P	 exempt	Establishment of the regulatory regime applicable to a solar electric generating facility and energy storage.	To ensure appropriate regulation of a new electric corporation.
PSC-31-21-00011-P	 exempt	Establishment of the regulatory regime applicable to a solar electric generating facility.	To ensure appropriate regulation of a new electric corporation.
PSC-32-21-00002-P	 exempt	The prohibition on ESCO service to low-income customers.	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers.
PSC-32-21-00003-P	 exempt	Exemptions from utility standby rates for certain designated or environmentally advantageous technologies.	To harmonize standby rate exemptions statewide.
PSC-33-21-00006-P	 exempt	Proposed rate increase.	To ensure safe and adequate service at just and reasonable rates.
PSC-33-21-00007-P	 exempt	Acquisition of cable television facilities and franchises of two municipalities.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest.
PSC-33-21-00008-P	 exempt	Establishment of a Tapping and Connection Fee.	To consider whether the proposed fees are in the public interest.
PSC-33-21-00009-P	 exempt	Banking of credits and switching between Community Distributed Generation and Remote Crediting projects.	To ensure just and reasonable rates charged to customers.
PSC-34-21-00004-P	 exempt	CDG subscriber eligibility requirements.	To consider modifications to the CDG program eligibility requirements for certain Standby Service customers.
PSC-34-21-00005-P	 exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-34-21-00006-P	 exempt	Staff recommendations to address the financial impacts of the COVID-19 pandemic.	To consider measures to provide relief to those financially impacted by the COVID-19 pandemic.
PSC-34-21-00007-P	 exempt	Authorization to extend the maturity date of certain short-term indebtedness and total debt.	To consider the request for authorization to enter into indebtedness.
PSC-34-21-00008-P	 exempt	Issuance of securities and other forms of indebtedness.	To consider the Con Edison's request to issue and sell unsecured debt obligations.
PSC-34-21-00009-P	 exempt	Authorization to continue the PRIME-WNY.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-34-21-00010-P	 exempt	Clean Energy Standard Programs.	Continued implementation of the Clean Energy Standard and the Zero Energy Credit Requirements Programs.
STATE, DEPARTMENT OF			
DOS-05-21-00013-P	 02/03/22	Requirements and procedures related to filing, review and publication of financial reports filed with the Department of State	To provide procedures related to the filing, review and publication of financial reports filed with the Department of State
DOS-19-21-00014-P	 07/15/22	Minimum standards for administration and enforcement of the Uniform Code and Energy Code	To revise the minimum standards applicable to a program for administration and enforcement of the Uniform Code and Energy Code
STATE UNIVERSITY OF NEW YORK			
*SUN-53-19-00005-P	 09/22/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
*SUN-29-20-00004-EP	 09/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
*SUN-29-20-00005-EP	 09/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-17-21-00014-EP	 04/28/22	Holiday Leave	To designate Juneteenth as a holiday for SUNY employees
SUN-17-21-00015-EP	 04/28/22	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 - June 30, 2021
SUN-20-21-00005-EP	 05/19/22	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY	To modify limitations formula for basic State financial assistance and establish a funding floor
SUN-24-21-00002-EP	 06/16/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral
SUN-28-21-00010-EP	 07/14/22	College Fees	To increase the college fee charged at the four University Centers of SUNY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-28-21-00017-EP	07/14/22	State University of New York Tuition and Fee Schedule	To amend the Tuition and Fees Schedule of the State University of New York effective for the Fall 2021 semester
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-34-21-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period October 1, 2021 through December 31, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-26-21-00014-EP	06/30/22	Camp fees	To conform state regulations to statutory requirement effectuated by Chapter 126 of the Laws of 2021, signed by the Governor on June 11, 2021 and effective June 30, 2021, allowing camp fees for children in family assistance or safety net assistance cases
WORKERS' COMPENSATION BOARD			
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-RP	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-06-21-00013-P	02/10/22	Medical Treatment Guidelines	To update back, neck, shoulder, knee, and NAP MTGs
WCB-13-21-00009-P	03/31/22	Updating the prescription drug formulary prior authorization process	To include medical marijuana in the prior authorization process
WCB-15-21-00003-P	04/14/22	Medical Treatment Guidelines	To update the NAP MTGs
WCB-21-21-00011-P	05/26/22	PFL intermittent leave	To clarify the number of intermittent leave days eligible employees can take
WCB-26-21-00001-P	06/30/22	Payment of medical bills and disputes	To require all objections to medical bills be made simultaneously and make process more efficient
WCB-28-21-00008-P	07/14/22	DME Fee Schedule	To correct codes and update DME fee schedule
WCB-28-21-00009-P	07/14/22	Telehealth	Provides the option for telehealth visits in some circumstances

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

10091TL, LP
130 Dutchman Blvd., Irmo, SC 29063
State or country in which incorporated — Delaware

1840 Rinehart RD, LLC
13871 CR 1567, Ada, OK 74820
State or country in which incorporated — Delaware

AgTech Global International, Inc.
4630 Campus Dr., Suite 104, Newport Beach, CA 92660
State or country in which incorporated — Nevada

Bamboo Oberlin, LLC
16150 SW Upper Boones Ferry Rd., Portland, OR 97224
State or country in which incorporated — Missouri

BIF - Northside Coinvest, LLC
320 Broad St., Suite 600, Charleston, SC 29401
State or country in which incorporated — Delaware limited liability company

Bison Hill, LLC
970 W. Broadway, #446, Jackson, WY 83001
State or country in which incorporated — Wyoming

CX EOS Orlando Depositor, LLC
4890 W. Kennedy Blvd., Suite 200, Tampa, FL 33609
State or country in which incorporated — Delaware

Fairway Bamboo GP Fund LLC
16150 SW Upper Boones Ferry Rd., Portland, OR 97224
State or country in which incorporated — Delaware

Franklin Distributors, LLC
One Franklin Pkwy., San Mateo, CA 94403
State or country in which incorporated — New York

Kimbrough Tower, LLC
1012 N. 5th St., Minneapolis, MN 55411
State or country in which incorporated — Tennessee

Net Savings Link, Inc.
2374 Route 390, PO Box 609, Mountainhome, PA 18342
State or country in which incorporated — Colorado

Quasar Distributors, LLC
One Lincoln St., Fl. 8, SFC 0805, Boston, MA 02111
State or country in which incorporated — Maryland

SEI - New York City, LLC
11500 Northlake Dr., Suite 450, Cincinnati, OH 45249
State or country in which incorporated — Ohio

Stem Holdings, Inc.
181 S. Main St., Suite 100, Prosper, TX 75078
State or country in which incorporated — Texas

Touchstone Securities, Inc.
303 Broadway, Suite 1100, Cincinnati, OH 45202-4203
State or country in which incorporated — Nebraska

Vivo Apartments New Braunfels North LLC
16150 SW Upper Boones Ferry Rd., Portland, OR 97224
State or country in which incorporated — Delaware

VP Distributors, LLC
100 Pearl St., Hartford, CT 06103
State or country in which incorporated — Connecticut

Weatherman Inc.
93 4th Ave., PO Box 1070, New York, NY 10003
State or country in which incorporated — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

Four (4) Electrical Work Contracts: 47134-E, 47135-E, 47136-E, 47137-E

Three (3) Plumbing Work Contracts: 47133-P, 47134-P, 47138-P

One (1) HVAC Work Contract: 47134-H

Three (3) General Construction Work Contracts: 47133-C, 47134-C, 47136-C

Sealed bids for the above Work located in the Geographic Contract Areas described below in Table 1 and comprising separate contracts for Plumbing Work, HVAC Work, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Management, 35th Floor Corning Tower, Empire State Plaza, Albany, NY 12242, until 2:00 p.m. on the date indicated in Table 2 below, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$25,000.

This Advertisement for Bids is for multiple Job Order Contracts (hereinafter called JOC). A Job Order Contract is an indefinite quantity contract pursuant to which the Contractor will perform a series of individual repair, alteration, modernization, maintenance, rehabilitation, demolition and construction projects at different locations. Work is accomplished by means of issuance of a Job Order against a master contract. Under the JOC concept, the Contractor furnishes all management, documentation, labor, materials and equipment needed to perform the Work. The State has published Construction Task Catalogs? containing a series of work items with pre-established Unit Prices. The Contractor will bid Adjustment Factors to be applied to the pre-established Unit Prices. The price paid by the State for an individual Project will be determined by multiplying the pre-established Unit Prices by the appropriate quantities and by the appropriate Adjustment Factor(s).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Anticipated Annual Value of Work as noted in Table 2.

Table 1- Geographic Contract Areas
Geographic Contract Area

Contract #	Area Title	Counties Served
47133-C, P	3	Albany, Columbia, Delaware, Fulton, Greene, Hamilton, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, and Washington
47134-C, E, P, H	4	Clinton, Essex and Franklin
47135-E	5	Broome, Cayuga, Chenango, Cortland, Herkimer, Madison, Oneida, Onondaga, Oswego, Tioga and Tompkins
47136-C, E	6	Jefferson, Lewis and St. Lawrence
47137-E	7	Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne and Yates

47138-P

8

Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, and Wyoming

The Completion dates for these Projects, is 365 days after the Agreement is approved by the Comptroller.

Table 2- Contract Values and Bid Due Dates

Contract Number	Anticipated Annual Value of Work	Bid Due Date
47133-C	\$2,000,000	1 September 2021
47133-P	\$500,000	1 September 2021
47134-C	\$1,000,000	1 September 2021
47134-E, P, H	\$500,000	1 September 2021
47135-E	\$500,000	1 September 2021
47136-C	\$1,000,000	1 September 2021
47136-E	\$500,000	1 September 2021
47137-E	\$500,000	1 September 2021
47138-P	\$500,000	1 September 2021

Prospective bidders must register for a Pre-Bid Conference in order to attend. The conference will be held for the purpose of discussing the JOC concept, Contract Documents, specifics of the OGS JOC program, OGS expectations, JOC from the Contractor's perspective (including how to properly prepare a bid) and other bid considerations. The attendees representing prospective bidders at the Pre-Bid Conferences should be principals of the firm and/or the individuals who will be compiling the bid on behalf of the firm. If the bidder is a joint venture, at least one party of the joint venture must register to attend a Pre-Bid Conference.

Pre-Bid Conferences – Zoom:

Using the links below, prospective bidders will need to register for one of the dates listed below. Prospective bidders will then receive a confirmation email with a link to join on the selected date. Prospective bidders will click on one of the provided links within the confirmation email and Zoom will launch. The system will walk prospective bidders through joining the meeting by audio either via phone or on their computer.

Thursday August 12, 2021 - 10:00 AM-12:00 PM

Tuesday August 17, 2021 - 1:00 PM - 3:00 PM

Zoom Link – August 12, 2021

<https://bit.ly/2VITSSi>

Zoom Link – August 17, 2021

<https://bit.ly/3j8gwN2>

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a).

Designated staff are Jessica Hoffman, John Pupons and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award, and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/acpl>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, 3% for the E trade contractor, 3% for the H trade contractor, and 3% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, C.S.I., C.D.T., Acting Director
OGS Design & Construction Group

PROVIDE
ADA RESTROOM
Sing-Sing Correctional Facility
Ossining, Westchester County

Sealed bids for Project No.Q1759-C, comprising a contract for Construction Work, Provide ADA Restroom, Building 8, Sing-Sing Correctional Facility, 354 Hunter Street, Ossining (Westchester County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, September 15, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$8,100 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$50,000 and \$100,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/acpl/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and

reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- ☒ Project commenced design before January 1, 2020. Not subject to provision.
☐ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 199 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 0% for MWBE participation, 0% for Minority-Owned Business Enterprises ("MBE") participation and 0% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 0% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, C.S.I., C.D.T., Acting Director
OGS Design & Construction Group

CONSTRUCT
SOEK STORAGE FACILITY
 Washington Correctional Annex Bldg.
 Comstock, Washington County

Sealed bids for Project Nos. 47139-C, 47139-H, 47139-P and 47139-E, comprising separate contracts for Construction Work, HVAC

Work, Plumbing Work, and Electrical Work, Construct SOEK Storage Facility, Washington Correctional Annex Building, 72 Lock Eleven Lane, Comstock (Washington County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Victim Services, until 2:00 p.m. on Wednesday, September 1, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$51,700 for C, \$27,900 for H, \$24,700 for P, and \$22,900 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C, between \$500,000 and \$1,000,000 for H, between \$250,000 and \$500,000 for P, and between \$500,000 and \$1,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- ☐ Project commenced design before January 1, 2020. Not subject to provision.
☒ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is July 29, 2022.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 8:00 a.m., 10:00 a.m. and 1:00 p.m. on August 19, 2021 at 72 Lock 11 Lane Comstock, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of William Belden (518-473-5545) a minimum of

72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for Construction Work, an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises (“MBE”) participation and 5% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work, an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises (“MBE”) participation and 10% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work, and an overall goal of 6% for MWBE participation, 3% for Minority-Owned Business Enterprises (“MBE”) participation and 3% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for Plumbing Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor, 3% for the H trade contractor, and 3% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203. For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

By John D. Lewyckyj, C.S.I., C.D.T., Acting Director
OGS Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan Amendment for non-institutional services to improve health outcomes for individuals with Sickle Cell Disease. The following changes are proposed:

- Effective on or after September 1, 2021, the Health Home Program will expand single-qualifying conditions to include Sickle Cell Disease. This will allow adults and children diagnosed with Sickle Cell Disease to enroll in the program in the absence of another qualifying condition. This update is in response to the recommendation made by an expert panel convened to improve outcomes for people with Sickle Cell Disease in New York State as a result of the 2020 Medicaid Redesign Team II initiative.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2021/2022 is \$1.29 million.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Office of Mental Health and the Department of Health propose to amend the Title XIX (Medicaid) State Plan for institutional services related to temporary rate adjustments to Article 28 Hospitals that are undergoing a restructuring to protect and enhance access to care, quality of care and the health care delivery system. These payments are currently authorized by Section 2826 of the New York Public Health Law. The following changes are proposed:

Institutional Services:

Additional temporary rate adjustments have been reviewed and approved for the following hospitals:

- SUNY Upstate Medical University

The aggregate payment amounts total up to \$252,500 for the period September 1, 2021 through March 31, 2022.

The aggregate payment amounts total up to \$1,611,403 for the period April 1, 2022 through March 31, 2023.

The aggregate payment amounts total up to \$1,333,803 for the period April 1, 2023 through March 31, 2024.

The aggregate payment amounts total up to \$1,002,294 for the period April 1, 2024 through March 31, 2025.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018
Queens County, Queens Center

3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY
12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Islip Resource Recovery Agency

Pursuant to section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, the Islip Resource Recovery Agency hereby gives notice of the following:

The Islip Resource Recovery Agency, Islip, NY is soliciting proposals from qualified administrative service agencies and/or financial organizations for trust and accounting services and administration in connection with a Deferred Compensation Plan for employees of the Islip Resource Recovery Agency that will meet the requirements of Section 457 of the Internal Revenue Code and section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Nancy S. Blanco, CPA, Treasurer, Islip Resource Recovery Agency, 401 Main St., Rm. 301, Islip, NY 11752 or via e-mail to nblanco@islipny.gov

All proposals must be submitted not later than September 24, 2021.

PUBLIC NOTICE

New York City

Deferred Compensation Plan and NYCE IRA

The New York City Deferred Compensation Plan & NYCE IRA (the "Plan") is seeking proposals from qualified vendors to provide Auditing Services for the City of New York Deferred Compensation Plan. The Request for Proposals ("RFP") will be available beginning on Wednesday, July 28, 2021. Responses are due no later than 4:30 p.m. Eastern Time on Wednesday, August 25, 2021. To obtain a copy of the RFP, please visit the Plan's website at www1.nyc.gov/site/olr/about/about-rfp.page and download and review the applicable documents.

If you have any questions, please email them to: Georgette Gestely, Director, at RPretax@nyceplans.org

Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

Department of State

The New York State Appearance Enhancement Advisory Committee will hold an open board meeting on September 13, 2021 at 10:30 a.m. at the New York State Department of State, 99 Washington Avenue, 5th Floor Conference Room, Albany; and, 123 William Street, 2nd Floor Conference Room, New York City.

Should you require further information, please contact: Denise Tidings at Denise.Tidings@dos.ny.gov or (518) 402-4921.

PUBLIC NOTICE

Department of State F-2021-0477

Date of Issuance - August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0477, The Town of Smithtown is proposing to rehabilitate and elevate approximately 1,600LF section of Long Beach Road and construct a hybrid living shoreline will partially below Spring High Water (SHW) to stabilize and prevent undermining the roadway.

Town of Smithtown, Suffolk County, Stony Brook Harbor

The stated purpose of the proposed action is to reduce the frequency with which this section of roadway becomes impassable due to Stony Brook Harbor inundating the roadway.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/august/f-2021-0477consist.cert.pdf>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or September 9, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2021-0535

Date of Issuance - August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0535, Blind Sodus Bay Western Bluff REDI Project, the applicant, Wayne County Soil and Water Conservation District, is proposing construct a 900 linear foot reinforced earth full height stepped gabion wall, soil nailing to stabilize a 190 linear foot existing bulkhead and placement of extra-large rip rap at the toe of both the new gabions and existing bulkhead. The purpose of the proposed activity is to stabilize the existing 12 foot to 42 foot high lake shore bluff to protect existing public infrastructure and further property loss at the top of the embankment.

The site is located at the western bluff at Blind Sodus Bay in Town of Wolcott, Wayne County, along Blind Sodus Bay and Lake Ontario.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0535a.pdf> and <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0535b.pdf>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department

of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, September 9, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2021-0539

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0539, the applicant, Joshua Karn, is proposing to install a 4' x 24' pile supported finger dock addition to existing dock structure and relocate existing seasonal dock and jet ski hoist closer to existing dock structure and further from property line. This project is located at 328 Sweeney Street, City of North Tonawanda, Niagara County, Erie Canal.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0539.pdf>

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- City of North Tonawanda Local Waterfront Revitalization Program: <https://dos.ny.gov/location/city-north-tonawanda-local-waterfront-revitalization-program>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or September 24, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2021-0548

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally ap-

proved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0548, NYS OGS [REDI] Johnson Creek proposes to dredge an estimated total of up to 1,200 cubic yards of sediment will be dredged from the channel and placed into the placement area located in the Lake Ontario littoral drift system.

Town of Carlton, County of Orleans, Lake Ontario

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/august/f-2021-0548consistcert.pdf>

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or September 9, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, ATTN: Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2021-0629

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0629a.pdf> and <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0629b.pdf>

In F-2020-0629, or the "Clayton French Creek Marina Dredging Project", the applicant – New York State Office of General Services – proposes to dredge an area of approximately 3.9 acres total to an elevation of +239.8 feet IGLD85 (-3.5 feet LWD) within the marina, plus a 0.5 foot overredge allowance. Dredged material will be disposed of at the Development Authority of the North Country (DANC) Materials Management Facility located in Rodman, New York approximately 22 miles south of the project site. The proposed dredge limit is approximately 750 feet long and 400 feet wide with the eastern portion of the proposed dredge limit split into two channels that are approximately 100 feet wide and 150 feet wide, respectively. The proposed dredge limit footprint equals approximately 3.9 acres.

The purpose of the proposed project is to "dredge within a portion of Clayton French Creek Marina and dispose of dredged material at an upland disposal facility as directed by NYSOGS in order to: Increase access for recreational vessels within a portion of Clayton French Creek Marina; support the local economy, commercial functions, and recreational activities that depend on the marina; Minimize environmental impacts while conducting dredging activities." The project will occur at the Clayton French Creek Marina, in the Town of Clayton, Jefferson County.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, September 9, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0651

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0651.pdf>

In F-2020-0651, or the "Olcott Harbor Dredging Project", the applicant – New York State Office of General Services – proposes to dredge up to 2,000 cubic yards of material will be dredged from approximately 0.8-acre of Olcott Harbor to an approximate elevation of 236.8' International Great Lakes Datum of 1985 (IGLD85) plus an over dredge allowance of 12-inches. The proposed 2021 dredging is consistent with the previous dredging effort that was completed by the Town of Newfane in 2014 under NYSDEC permit #9-2928-5 00049/00006; expired in 2019). Dredged spoil material will be managed and disposed of in accordance with local, state, and federal regulations at an upland location that is acceptable based on the sediment chemistry. The sediment is currently anticipated to be windrowed over approximately 0.1 acre at the Town composting facility, covered by topsoil, seeded, and left in place.

The purpose of the proposed project is to "provide access to Eighteenmile Creek for small vessels when lake levels are low". The project will occur at Olcott Harbor, in the Town of Newfane, Niagara County at the mouth of Eighteenmile Creek and Lake Ontario.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, September 9, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0669

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/08/f-2021-0669.pdf>

In F-2020-0669, or the "Morristown Harbor Federal Navigation Channel", the applicant – New York State Office of General Services – proposes to dredge an area of approximately 1.5 acres total to an elevation of +234.7 feet IGLD85 (-8.0 feet LWD) within the marina, plus a 1.0-foot overdredge allowance. Dredged material will be disposed of at the Development Authority of the North Country (DANC) Materials Management Facility located in Rodman, New York approximately 47 miles south of the project site.

The purpose of the proposed project is to "maintain navigation within the channel; supplement the USACE ongoing operations and maintenance efforts with a one-time dredge event; support the local economy, commercial functions, and recreational activities that depend on the navigation channel". The project will occur at Morristown Harbor in the Federal navigation channel, in the Town of Morristown, St. Lawrence County on the St. Lawrence River near Morristown Bay.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, September 9, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0701 (DA)

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The National Oceanic and Atmospheric Administration (NOAA) has determined that the proposed activity will be undertaken in a manner consistent to the maximum extent practicable with the enforceable policies of the New York State Coastal Management Program. The applicant's consistency determination and accompanying supporting information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue in Albany, New York.

In F-2021-0701 (DA), The National Oceanic and Atmospheric Administration (NOAA) National Marine Fisheries Service (NMFS) has presented Amendment 21 to the Atlantic Sea Scallop Fishery Management Plan (FMP) is to allow for more controlled access to the scallop resource by the limited access and limited access general category (LAGC) fleets and increase monitoring in ways that support a growing directed scallop fishery in Federal waters, including the Northern Gulf of Maine (NGOM). Another purpose is to consider adjusting the LAGC individual fishing quota (IFQ) program to support overall economic performance while allowing for continued participation in the LAGC fishery at varying levels.

The applicant's consistency certification and supporting information are available for review at: [https://dos.ny.gov/system/files/documents/2021/08/f-2021-0701\(da\)noaa.pdf](https://dos.ny.gov/system/files/documents/2021/08/f-2021-0701(da)noaa.pdf)

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 from the date of publication of this notice, or September 9, 2021.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0718 (DA)

Date of Issuance – August 25, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0718 (DA), The National Oceanic and Atmospheric Administration (NOAA) National Marine Fisheries Service (NMFS) has presented Amendment 7 to the Atlantic Bluefish Fishery Management Plan. The purpose of this action is to update the Bluefish FMP using the best information available by revising quota allocations and management procedures. This amendment would: Revise the Bluefish FMP goals and objectives; establish updated allocation percentages of bluefish quota between the commercial and recreational fishery sectors, as well as commercial quota among the states of Maine through Florida, to be applied through future specifications actions; initiate a rebuilding plan for the bluefish stock that was declared overfished in 2019; revise the procedures to transfer quota between commercial and recreational fishery sectors; and revise administrative measures that determine how the FMP accounts for management uncertainty.

The applicant's consistency certification and supporting information are available for review at: [https://dos.ny.gov/system/files/documents/2021/08/f-2021-0718\(da\)noaa.pdf](https://dos.ny.gov/system/files/documents/2021/08/f-2021-0718(da)noaa.pdf)

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or September 24, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0397 In the matter of Debra and Steven Kelley, 227 South Street, Highland, New York 12528, for a variance for diminutive code issues concerning railings at 804 Mitchell Street, City of Ithaca, Tompkins County, State of New York.

2021-0398 In the matter of Earlville DNYP, LLC, 9010 Overlook Boulevard, Brentwood, Tennessee, 37027, for an appeal concerning the certificate of occupancy per the Building Code of New York State at the Dollar General Store, 72 South Main Street, Village of Earlville, Chenango County, State of New York.

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: July 31, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	10,645,327.29
Occupational Training Act Funding Account	Key Bank	37,577.36
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(182,129,292.05)
General Checking	Key Bank	(422,703,360.72)
Direct Deposit Account	Wells Fargo	111,484.05
TOTAL		(201,410,888.30)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	2,000.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York Elicensing	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New York OGS Escrow	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,605.98
Key Advantage Account	Key Bank	87,619.41
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,464,735.94
NYSP CTIU Confidential Fund	Key Bank	3,900.00
SIU Confidential Fund Account	Key Bank	6,795.55
Special Fund	Key Bank	115,584.29
State Police Receipts Account	Bank of America, N.A.	364,490.64
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,300.00
Troop B Confidential	Key Bank	5,961.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,798.90
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,439.84
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	924.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,350.94
Troop L Confidential Fund	Bank of America, N.A.	11,058.70
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	23,834.53
Revenue Account	JPMorgan Chase Bank, N.A.	416,066.03
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	8,230.07
NYS Flex Spending	Key Bank	480,253.02
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,556.72
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,781.08
01400 - CRIME VICTIMS COMPENSATION BOARD		

Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	(5,395.00)
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,756.95
Fingerprint Fee Account	Bank of America, N.A.	25,044.25
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	3,237.31
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	29,012.81
Alcoholic Bev Control License	Wells Fargo Bank	63,390.47
Alcoholic Beverage Control License	M&T Bank	377,273.05
Alcoholic Beverage Tax Collections	Wells Fargo Bank	12,914.15
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,114.67
Assessments Receivable	JPMorgan Chase Bank, N.A.	3,626,800.63
Assessments Receivable (EFT)	Wells Fargo Bank	380,719.33
Boxing And Wrestling Tax	Bank of America, N.A.	3,099.68
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	2,321,090.36
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	1,153,188.69
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	155,671.39
Check 21 PIT	JPMorgan Chase Bank, N.A.	465,707.34
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	375,829.13
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,287,195.43
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	7,328,116.17
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	124,127.21
Cigarette Tax Tobacco Products	Bank of America, N.A.	27,210.88
Congestion Surcharge	Wells Fargo Bank	55,747.21
Corporation Tax	Wells Fargo Bank	759.70
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	70,997.94
Educational Charitable Account	Wells Fargo Bank	1.00
Employer Compensation Expense	Wells Fargo Bank	50,021.46
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	222,161.63
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	4,035,315.02
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	16,418.01
Highway Use - Permits & Reg.	Bank of America, N.A.	32,957.55
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	938,313.37
Hudson River-Black River	Bank of America, N.A.	532,839.93
Hudson River-Black River	Community Bank	146,479.26
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	44,629.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	280,519.78
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	47,850.00
IFTA-Fuel Use	Bank of America, N.A.	99,698.27
Justice Court	Key Bank	822,985.10
Mac #847 NYS T&F Pari Mutuel	Key Bank	55,054.34
Mac #848 NYS T&F Off Track	Key Bank	1,852,446.15
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	35,021.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,124.36
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	4,057,562.79
Ogdensburg Bridge & Port	Community Bank	153,056.14
Opioid Excise Tax	Wells Fargo Bank	62,556.93
Personal Income Tax	Wells Fargo Bank	37,395.94
Petroleum Business Tax (EFT)	Wells Fargo Bank	331,646.51
Petroleum Products Tax	Bank of America, N.A.	3,021,570.59
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	591,967.00
Port Of Oswego	Key Bank	63,939.45
Promptax - MCTMT	Wells Fargo Bank	3,332,066.96
Promptax - Petroleum Business Tax	Wells Fargo Bank	163.16
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	16,140.42
Promptax - Withholding	Wells Fargo Bank	2,642,807.61
Real Estate Transfer Tax	Key Bank	347,753.96
Revenue Holding	First Niagara Bank	2,530,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00

Sales Tax	Wells Fargo Bank	1,475,315.63
SUNY Concentration	First Niagara Bank	2,209,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,408.35
Troy Debt Service Reserve Fund	Bank of America, N.A.	442,086.02
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	315.56
Withholding Tax	JPMorgan Chase Bank, N.A.	18,313,779.39
Cash Advance Accounts		
Advance For Travel Account	Key Bank	600.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,800.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	386,665.84
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	207,499.63
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	(6,095.05)
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.60
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	6,094,345.70
National Global Settlement	JPMorgan Chase Bank, N.A.	38,127,864.62
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,869.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,058.50
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,000.00
Petty Cash Account Dist Off	Bank of America, N.A.	20,472.37
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	47,735.72
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	983,395.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,540,855.00
Finger Print Account	JPMorgan Chase Bank, N.A.	750.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,731,396.32
Lawyers Fund For Client Protection - Bail	Key Bank	702.14
Petty Cash	Key Bank	2,964.94
05071 - COURT OF APPEALS		

Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	5,355.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	47,550.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	57,494.50
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	79,188.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	10,370.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	11,302.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	8,045.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.40
Glen Cove City Court Revenue	Wells Fargo Bank	21,232.94
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	130,571.65
Long Beach Court Bail	Wells Fargo Bank	48,562.30
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	23,961.80
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	193,489.36
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	77,137.22
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	381,691.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	37,258.90
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	163,008.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	330,631.50
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	173,448.75
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	7,006.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	1,121,240.40
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	16,241.04
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	741,153.85
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	96,717.83
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	706,740.01
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	75,373.49
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	143,878.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	18,708.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	126,630.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	98,262.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	13,723.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	37,729.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	59,606.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	10,847.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	13,975.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	4,296.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	7,840.64

05240 - SUPREME COURT - QUEENS COUNTY

Queens Co Supreme

Queens County Supreme Court

Queens County Supreme Court

JPMorgan Chase Bank, N.A.

14,857.13

JPMorgan Chase Bank, N.A.

0.00

05250 - NEW YORK COUNTY CLERK

New York Co Clerk Revenue Account

JPMorgan Chase Bank, N.A.

1,093,165.40

05255 - BRONX COUNTY CLERK

Bronx County Clerk

Bronx Cnty Clerk Revenue Acct

JPMorgan Chase Bank, N.A.

1,262,840.45

05260 - KINGS COUNTY CLERK

Kings County Clerk

Kings County Clerk Revenue Account

Flushing Commercial Bank

1,302,815.30

05265 - QUEENS COUNTY CLERK

Queens County Clerk-Revenue Acct

Sterling Bank

905,336.02

05270 - RICHMOND COUNTY CLERK

Richmond Co Clerk State Fees Account

JPMorgan Chase Bank, N.A.

9,977,463.13

Richmond County Clerk DEC

JPMorgan Chase Bank, N.A.

78.93

05275 - NEW YORK COUNTY SURROGATES COURT

New York Surrogate

New York Surrogate Court

JPMorgan Chase Bank, N.A.

151,720.72

05280 - BRONX COUNTY SURROGATES COURT

Bronx Surrogate

Bronx Surrogate Court Revenue Acct

JPMorgan Chase Bank, N.A.

126,512.65

05285 - KINGS COUNTY SURROGATES COURT

Kings County Surrogate

Kings Co. Surrogate Revenue Acct

Bank of America, N.A.

714,470.00

05290 - QUEENS COUNTY SURROGATES COURT

Queens surrogate

Queens Co Revenue Acct Surrogate

Signature Bank

169,512.00

05295 - RICHMOND COUNTY SURROGATES COURT

Richmond County Surrogate Court Revenue Account

Victory State Bank

18,966.25

05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION

Albany City Court - (Civil)

Albany City Civil - Revenue

Wells Fargo Bank

5,003.94

Albany City Court - (Crim-Bail)

Albany City Criminal - Bail

Wells Fargo Bank

14,088.76

Albany City Court - (Traffic)

Albany City Traffic-Revenue

Wells Fargo Bank

33,218.00

Albany Traffic Court - Bail

Bank of America, N.A.

0.00

Albany City Court - Civil Part

Albany City Court Civil - Revenue

Trustco Bank

0.00

Albany City Court - Crim

Albany City Court-Crim

Wells Fargo Bank

4,860.34

Albany City Court - Traffic-Bail

Albany City Traffic - Bail

Wells Fargo Bank

1,300.17

Albany County Surrogate

Albany Cty Surrogates Court - Revenue

Bank of America, N.A.

10,294.00

Albany Police Court

Albany Police Court Bail Account

Key Bank

0.00

Cohoes City Court

Cohoes City Court Bail

Key Bank

913.00

Cohoes City Court Fees/Fines Account

Key Bank

9,822.47

Columbia County Surrogate

Columbia Co Surrogate Ct Fees - Revenue

Key Bank

1,898.00

Greene Surrogate

Greene Surrogate-Revenue

Wells Fargo Bank

3,333.50

Hudson City Court

Hudson City Bail

Wells Fargo Bank

27,344.57

Hudson City Revenue

Wells Fargo Bank

5,943.35

Kingston City Court

Kingston City Court Bail

Wells Fargo Bank

10.00

Kingston City Court Revenue

Wells Fargo Bank

21,614.59

Rensselaer City Court

Rensselaer City Court - Bail

Wells Fargo Bank

5,160.77

Rensselaer City Court - Revenue

Wells Fargo Bank

3,005.00

Rensselaer County Surrogate

Rensselaer Co Surrogate Ct Fees - Revenue

Key Bank

19,999.00

Schoharie Surrogate Court

Schoharie Surrogates Court Revenue

NBT Bank

3,503.00

Sullivan Surrogate

Sullivan Surrogate-Revenue

Wells Fargo Bank

5,481.59

Troy City Court

Troy City Court- Revenue Acct - Revenue

Bank of America, N.A.

26,709.41

Troy Police Court Bail Account

Bank of America, N.A.

9,693.35

Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	10,854.75
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	47,536.56
Watervliet City Court - Revenue	Wells Fargo Bank	10,418.81
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	26,352.20
Amsterdam City Court - Revenue	Key Bank	12,846.88
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	721.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	4,968.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	2,193.50
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,138.09
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	12,339.14
Glens Falls City Court Bail Acct	Glens Falls National	10,557.86
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	25,931.56
Gloversville City Court Revenue	NBT Bank	4,676.47
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	480.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	6,076.04
Johnstown City Court Fines/Fees - Revenue	Key Bank	9,141.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	150.01
Mechanicville City Ct Revenue Acct	TD Bank	4,098.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,592.75
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	3,356.08
Ogdensburg City Court Revenue	Community Bank	1,602.78
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	13,451.38
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	15,129.78
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	13,464.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	28,221.08
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	13,119.47
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	84,652.45
Schenectady City Court Revenue	Bank of America, N.A.	26,582.30
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	5,337.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	3,630.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	4,645.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	986.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	21,008.19
Fulton City Court Revenue	Key Bank	8,252.48
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	2,421.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	9,147.25
Lewis County		
Lewis County Clerk	Community Bank	840.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,368.00
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	2,000.00
Little Falls City Court Revenue	M&T Bank	2,552.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	4,141.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	22,827.25

Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	18,325.16
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	22,429.18
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	14,432.12
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	2,246.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	5,570.00
Rome City Court - Revenue	NBT Bank	18,289.50
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	1.00
Sherrill City Court Fees - Revenue	NBT Bank	815.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	310,653.00
Syracuse City Court - Fees - Revenue	NBT Bank	35,914.19
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	96,301.48
Utica City Court Revenue Account	Key Bank	30,939.74
Watertown City Court		
Watertown City Court Bail	Key Bank	42,800.73
Watertown City Court Fees & Fines - Revenue	Key Bank	17,420.19
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	51,852.00
Binghamton City Court Revenue	M&T Bank	15,018.32
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	9,170.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,271.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	No report received
Cortland City Court		
Court City Court Bail	NBT Bank	16,980.30
Court City Court- Revenue	NBT Bank	5,223.43
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	541.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	3,663.49
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	8,674.64
Elmira City Court Bail	Chemung Canal Trust	54,127.44
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	13,448.00
Ithaca City Court Revenue	Tompkins County Trust	7,202.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,324.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	38,624.00
Norwich City Court Revenue Acct	NBT Bank	562.50
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	12,462.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	15,633.87
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	4,332.00
Oneonta City Court Bail Account	Community Bank	15,530.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	3,619.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,123.50
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,060.50
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	8,248.50
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	52,166.00
Auburn City Court Fees & Fines - Revenue	Key Bank	7,572.51
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	19,802.48
Canandaigua City Court Revenue	Canandaigua National Bank	11,425.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	4,366.00
Corning City Court		

Corning City Court - Bail	Wells Fargo Bank	5,556.72
Corning City Court - Revenue	Wells Fargo Bank	4,042.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	15,901.69
Geneva City Court Revenue Account	Wells Fargo Bank	6,924.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	10,072.09
Hornell City Court Revenue	Community Bank	3,779.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	2,133.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	51,400.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	5,334.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	469,331.05
Rochester City Revenue	M&T Bank	36,537.37
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,595.50
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	4,961.75
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,535.25
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	1,314.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		

ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	15,931.13
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	2,451.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	47,184.00
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	114,913.32
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	45,022.24
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	133,404.98
Mt Vernon City Court State Revenue	Wells Fargo Bank	33,373.45
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	301,216.19
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	35,345.00
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	26,003.18
Newburgh City Court Revenue	Wells Fargo Bank	22,568.92
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	18,752.75
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	17,465.05
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	51,910.74
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	8,617.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	8,637.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	28,405.89
Poughkeepsie City Court -Revenue	Wells Fargo Bank	35,084.78
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	12,380.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	25,068.59
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	751.02
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	40,496.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	99,238.09
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	73,834.49
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	119,148.71
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	648,662.19
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	98,279.10
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	39,670.38
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	28,062.68
Animal Population Control Account	Key Bank	41,596.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	96,322.81
Dairy Industry Services Account	Key Bank	20,185.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	1,127.24
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	66.69
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	1,262.55
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.44
Plants Industry Account	Key Bank	48,887.91

Pride of NY	Key Bank	6,266.98
Weights & Measures Account	Key Bank	10,471.47
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	1,585.44
State Fair		
NYS Fair Operating Account	Solvay Bank	140,898.20
NYS Fair Special Account	Solvay Bank	231.52
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	143.00
Examination Application Fees Account	Key Bank	417.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	52,162,671.00
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	No report received
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,100.10
Conservation Petty Cash Account	M&T Bank	8,518.00
DEC/Exchange Account	M&T Bank	9,283.98
ENCON License Issuing Office	M&T Bank	3,143.22
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.79
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,922.15
Hunting Trapping & Fishing Account	M&T Bank	38,827.07
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	154,331.39
Lockbox Account	Wells Fargo Bank	104,136.54
NY Conservationist	Bank of America, N.A.	8,415.45
Program Fee	JPMorgan Chase Bank, N.A.	26,175.71
Revenue Account	Bank of America, N.A.	733,361.43
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,927.15
State of New York	Key Bank	219,571.30
Region 1		
Marine Permit Account	People's United Bank	4,515.00
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	10,120.75
Revenue Region 3 Account	Bank of America, N.A.	3,486.16
Region 4		
Bear Spring Revenue Account	Wayne Bank	2,465.86
Region 4	Greene County Commercial Bank	38,766.75
Region 4 Camping	NBT Bank	7,130.64
Region 5		
Campsite Revenue Account	Glens Falls National	55,811.85
Land & Forest Region SW	TD Bank	58,889.41
NYS Conservation	Glens Falls National	9,644.23
Recreation (Warrensburg)	City National Bank & Trust	42,184.40
Region 5	Citizens Bank	12,434.58
Region 5	NBT Bank	67,817.06
Tree Nursery	Bank of America, N.A.	0.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	11,341.02
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,390.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	9,789.13
General Cash Fund	Five Star Bank	0.00
Inmate Occupational Therapy Fund	Five Star Bank	97,768.49
Spendable Fund	Five Star Bank	1,473,534.41
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,850.05
Certificate of Deposit	Bank of America, N.A.	15,021.20
Inmate Occupational Therapy Fund	Key Bank	31,913.56
Inmate Spendable Account	Key Bank	390,640.09
Misc Revenue	Key Bank	51,458.85
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
General Fund	Key Bank	No report received
Inmate Funds	Key Bank	No report received

Inmate Occupational Therapy Acct	Key Bank	No report received
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Facility Advance	Key Bank	4,750.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	588,545.33
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	15,020.65
Occupational Therapy	Key Bank	55,356.72
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	No report received
Employee Benefits	M&T Bank	No report received
Inmate Spending Account	M&T Bank	No report received
Inmates Account	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Occupational Therapy Account	M&T Bank	No report received
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,550.00
Employee Benefit	Key Bank	2,217.67
Inmate Occupational Therapy Fund	Key Bank	29,268.76
Inmate Savings Account	Key Bank	88,196.41
Inmates Fund Account	Key Bank	320,705.50
Misc. Receipts	Key Bank	16,921.56
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,300.00
General Fund	Key Bank	47,158.06
Inmates Money Market	Key Bank	287,847.89
Inmates Now Checking	Key Bank	1,212,104.79
Occupational Therapy Fund	Key Bank	141,684.27
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	2,171.35
Employee Benefit Fund	Bank of America, N.A.	15,403.78
Inmate Funds	Bank of America, N.A.	197,522.92
Misc Receipts	Bank of America, N.A.	7,182.18
Occupational Therapy	Bank of America, N.A.	22,484.85
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	No report received
Employee Benefit Fund	Sterling Bank	No report received
ID Now 3 Month CD	M&T Bank	No report received
Inmate Deposit Now Account	Sterling Bank	No report received
Inmate Occupational Therapy Account	Sterling Bank	No report received
Misc. Receipts	Sterling Bank	No report received
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,724.84
Employee Benefit Fund	Chemung Canal Trust	29,214.08
Inmate CD Account	M&T Bank	213,994.60
Inmates Fund	Chemung Canal Trust	820,428.35
Miscellaneous Receipts	Chemung Canal Trust	1,309.68
Occupational Therapy Fund	Chemung Canal Trust	31,183.78
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	4,646.12
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	5,879.00
Inmate Funds	JPMorgan Chase Bank, N.A.	255,002.65
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,325.55
Misc. Receipts	JPMorgan Chase Bank, N.A.	42.70
Occupational Therapy	JPMorgan Chase Bank, N.A.	73,929.04
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	851.12
Employee Benefits Fund	National Bank of Coxsackie	31,038.35
Inmates Fund	National Bank of Coxsackie	270,911.08
Inmates Fund Savings Acct	National Bank of Coxsackie	187,674.21
Misc. Revenue	National Bank of Coxsackie	87.35
Occupational Therapy Acct	National Bank of Coxsackie	62,207.85
10140 - WOODBOURNE CORRECTIONAL FACILITY		

CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,600.00
WCF General Fund	Jeff Bank	16,830.97
WCF Inmate Fund	Jeff Bank	506,974.97
WCF Occupational Therapy Fund	Jeff Bank	53,713.65
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Account	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	No report received
Savings Account	JPMorgan Chase Bank, N.A.	No report received
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	5,717.85
Agency Advance	Community Bank	790.00
Employee Benefit Fund	Community Bank	4,662.42
General Fund	Community Bank	15.75
Inmate Funds	Community Bank	45,572.35
Inmate Occupational Therapy Fund	Community Bank	693.87
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,432.56
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	15,945.70
Inmate Fund	JPMorgan Chase Bank, N.A.	731,858.72
Misc. Receipts	JPMorgan Chase Bank, N.A.	16,476.91
Occupational Therapy	JPMorgan Chase Bank, N.A.	86,757.90
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,736.27
Inmate Funds	JPMorgan Chase Bank, N.A.	152,812.62
Misc. Revenue	JPMorgan Chase Bank, N.A.	975.73
Money Market	JPMorgan Chase Bank, N.A.	30,993.01
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,759.57
Taconic Advance Account	JPMorgan Chase Bank, N.A.	2,450.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,953.36
Employee Benefit Fund Account	Key Bank	5,630.25
Inmate Funds Account	Key Bank	232,292.34
Inmate Key Advantage Account	Key Bank	50,362.54
Inmate Occupational Therapy Account	Key Bank	1,818.05
Miscellaneous Receipts Account	Key Bank	2,658.02
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	889.20
General Fund	Jeff Bank	3,245.74
Inmate Funds	Jeff Bank	528,629.74
Inmate Occupational Therapy	Jeff Bank	56,344.51
Inmate Savings	Jeff Bank	51,381.41
Inmate Savings CD	Hometown Bank	78,996.61
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,598.32
Employee Recreation Fund	M&T Bank	1,364.29
Inmate Deposit Account	M&T Bank	89,346.06
Misc Fees	M&T Bank	453.00
Work Release Advance Account	M&T Bank	10,049.62
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,370.31
Employee Benefit Account	JPMorgan Chase Bank, N.A.	1,361.48
Inmate Cash Account	JPMorgan Chase Bank, N.A.	78,771.64
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,203.57
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,964.19
EBF	Community Bank	7,288.60
Inmate Fund	Community Bank	76,005.27
Inmate Savings	Community Bank	90,249.82
Misc. Receipts	Community Bank	380.16
Occupational Therapy	Community Bank	13,289.92
10370 - FIVE POINTS CORRECTIONAL FACILITY		

Consolidated Advance	Five Star Bank	1,050.01
EBF Checking	Five Star Bank	44,887.62
EBF Savings	Five Star Bank	30,948.50
Inmate Spendable	Five Star Bank	298,726.41
Misc Receipts	Five Star Bank	459.18
Occupational Therapy	Five Star Bank	46,713.66
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	No report received
Employee Benefit Fund Checking	Bank of America, N.A.	No report received
Inmate Funds Checking	Key Bank	No report received
Inmate Funds Savings	Key Bank	No report received
Miscellaneous Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	No report received
Employee Benefit Account	Alden State Bank	No report received
Inmates Funds	Alden State Bank	No report received
Misc. Receipts	Alden State Bank	No report received
Occupational Therapy	Alden State Bank	No report received
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	7,928.85
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,949.05
Employee Commission Account	Five Star Bank	12,002.47
Inmate Funds Account	Five Star Bank	409,690.86
Inmate Funds Account - Savings	Five Star Bank	0.00
Miscellaneous Receipts Account	Five Star Bank	995.25
Occupational Therapy Account	Five Star Bank	17,874.57
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,826.42
Employee Activities	Community Bank	48,519.31
Inmate Fund Checking	Community Bank	511,909.90
Miscellaneous Revenue	Community Bank	7,198.88
Occupational Therapy	Community Bank	16,135.31
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	526.61
Employee Benefit Fund	Bank of America, N.A.	39,763.18
Inmate Funds	Key Bank	596,798.03
Inmate Savings	Key Bank	198,767.98
Misc. Revenue	Key Bank	970.85
Occupational Therapy	Key Bank	37,048.14
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,658.20
Employee Benefit Fund Account	Bank of America, N.A.	24,411.71
Inmate Fund Account	Key Bank	470,371.46
Misc receipts Acct	Key Bank	0.00
NYS DOCS Marcy CORR Facility	Key Bank	206,832.81
Occupational Therapy fund Acct	Key Bank	41,429.20
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	3,587.96
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,840.05
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	47,962.64
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,748.01
Employee Benefit Account	Key Bank	20,359.59
Inmate Funds	Key Bank	241,966.20
Inmate Occupational Therapy	Key Bank	18,386.95
Misc. Receipts	Key Bank	2,165.75
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,592.06
Employees Vending Benefit	NBT Bank	7,740.74
Inmates Funds	NBT Bank	88,609.19
Misc Revenues General Fund	NBT Bank	192.00
Occupational Therapy	NBT Bank	4,386.79
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,002.71
Cert Of Deposit	First National Bank of Groton	87,655.22
Employee Benefit Fund	First National Bank of Groton	5,701.33
Inmate Occupational Therapy Account	First National Bank of Groton	29,906.37

Inmate Spendable	First National Bank of Groton	365,922.47
Misc Receipts	First National Bank of Groton	1,943.76
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,879.89
Employee Benefit Fund	Key Bank	6,856.88
Inmate Spendable Funds	Key Bank	164,006.03
Miscellaneous Receipts	Key Bank	974.91
Occupational Therapy	Key Bank	10,499.08
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	No report received
Inmate Accounts	Key Bank	No report received
Inmate Savings Account	Key Bank	No report received
Miscellaneous Receipts Account	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,824.80
Inmate Occupation Therapy Acct	Community Bank	33,101.67
Inmate Savings	Community Bank	141,760.93
Inmate Spendable Account	Community Bank	184,629.21
Miscellaneous Receipts Account	Community Bank	6,875.21
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	13,717.04
Inmate Funds	Community Bank	63,305.24
Inmate Funds - Sav	Community Bank	84,669.13
Miscellaneous Revenue	Community Bank	523.76
Occupational Therapy	Community Bank	691.66
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,417.78
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,169.59
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	260,914.43
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,308.36
Misc Receipts	M&T Bank	617.03
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,225.43
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	318.43
Employee Benefit Fund	Chemung Canal Trust	13,262.92
Inmate Funds Account	Chemung Canal Trust	102,060.90
Misc. Receipts Account	Chemung Canal Trust	812.40
Occupational Therapy Account	Chemung Canal Trust	26,591.14
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,185.00
Employee Benefit Fund	Bank of America, N.A.	12,656.02
Inmate Funds	Bank of America, N.A.	191,621.75
Miscellaneous Receipts	Bank of America, N.A.	15,588.76
Occupational Therapy	Bank of America, N.A.	17,763.85
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,421.21
EBF Account	Key Bank	21,082.12
General Account	Key Bank	0.00
Inmate Account	Key Bank	331,994.65
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,267.37
Inmate Savings Account	Key Bank	14,370.46
Occupational Therapy Account	Key Bank	15,165.32
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,279.36
Employee Benefit Fund	Five Star Bank	11,132.53
Inmate Occupational Therapy	Five Star Bank	41,371.10
Inmate Spendable	Five Star Bank	572,060.20
Misc. Receipts Account	Five Star Bank	8,575.45
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	2,000.00
Employee Benefit Fund	National Bank of Coxsackie	25,047.45
Inmate Accounts	National Bank of Coxsackie	181,701.14
Inmate Savings	National Bank of Coxsackie	496,926.44
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	50,259.50

10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,396.53
Inmates Funds Account	Key Bank	276,799.24
Misc. Receipts Account	Key Bank	4,599.26
Occupational Therapy Acct	Key Bank	39,714.87
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,315.49
Inmate Checking	Key Bank	410,686.46
Inmate Savings	Key Bank	100,508.54
Miscellaneous	Key Bank	13,242.79
Occupational Therapy	Key Bank	40,781.16
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	No report received
Inmate Occupational Therapy	Community Bank	No report received
Inmate Savings	Community Bank	No report received
Inmate Spendable Fund	Community Bank	No report received
Misc Receipts	Community Bank	No report received
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	750.00
Employee Benefit Fund	Community Bank	13,693.95
Inmate Funds	Community Bank	35,331.29
Inmate Occupational Therapy	Community Bank	10,006.06
Misc Receipts	Community Bank	3,109.32
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	16,022.76
Inmate Fund	Key Bank	196,858.57
Inmate Occupational Therapy Fund	Key Bank	12,034.84
Miscellaneous Account	Key Bank	224.10
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	642.11
Employee Benefit Fund	Bank of America, N.A.	8,963.22
Inmate Funds	Key Bank	138,584.90
Inmate Interest Bearing Account	Key Bank	15,000.13
Misc Receipts	Key Bank	95.00
Occupational Therapy	Key Bank	20,396.01
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	12,808.69
Parole Supervision Fee	Wells Fargo Bank	47,842.24
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	237,489.25
Div of Ind Revenue Acct	Key Bank	3,985.00
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	67,808.95
NYS HESC-Federal Student Loan Suspense	Key Bank	951.47
Operating	Key Bank	348,960.29
Operating	US Bank	3,373,524.97
Retail Lockbox	US Bank	154,940.72
Wholesale Lockbox	US Bank	872,318.47
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	41,091.68
Petty Cash	M&T Bank	2,803.57
Student Spending Account	Bank of America, N.A.	7,981.31
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	21,257.63
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	15,566.04
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,713,120.77
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	166,761.19
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	0.00
DOH EPIC Lockbox Acct	Wells Fargo Bank	11,479.97
DOH Main Cash Advance	Key Bank	17,096.13
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	64,091.30
Early Intervention - State Funds	Key Bank	19,228.35
EPIC Co Pay Account	Bank of America, N.A.	0.00

Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,540.93
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	2,638,965.56
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	53,552.51
General Account	M&T Bank	78,762.31
ICR Audit Fees Account	Bank of America, N.A.	440,480.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	702.57
Medicaid	Key Bank	1,327,103.13
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	798,747.09
Nurses Aide Fees (Prometric)	Bank of America, N.A.	52,226.70
Nursing Home Fees Account	Bank of America, N.A.	50,601.13
NYS DOH CLEP Revenue	Key Bank	2,155.48
OBRA Drug Rebate Program Acct	Bank of America, N.A.	29,649,445.21
SPARCS	Key Bank	24,248.82
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	1,014,559.29
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	297,427.20
Petty Cash Account	JPMorgan Chase Bank, N.A.	15,189.20
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,648.58
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	10,347.58
Exchange Account	NBT Bank	13,155.95
Maintenance Fund	NBT Bank	503,661.75
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	506,669.12
Resident Custodial Account	NBT Bank	6,106.51
Resident Custodial Account	NBT Bank	13,843.73
Resident Custodial Account	NBT Bank	1,093.93
Resident Custodial Account	NBT Bank	4,775.05
Resident Custodial Account	NBT Bank	11,777.39
Resident Custodial Account	NBT Bank	4,556.63
Resident Custodial Account	NBT Bank	3,103.10
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	125,008.09
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	686,529.53
St Albans Vet Home Maintenance Acct	NBT Bank	380,610.86
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,587.13
Exchange Account	Bank of America, N.A.	13,659.91
Maintenance Account	NBT Bank	358,664.17
Resident Funds	Bank of America, N.A.	54,550.33
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	13,751.64
Maintenance Acct	NBT Bank	724,524.36
Residence Account	Bank of America, N.A.	514,736.79
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	28,001.00
Exchange Account	Bank of America, N.A.	30,151.75
Fee And Permit Account	Key Bank	1,565,372.77
Min Wage & Claim Funding Acct	Key Bank	147,161.13
Minimum Wage & Wage Claim Acct	Key Bank	1,038,057.63
Misc Receipts	Bank of America, N.A.	358,345.01
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	231,788,854.04
UI Fund ACH Transactions	Wells Fargo Bank	3,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	276,577,031.95
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,802.28
Petty Cash Account	Key Bank	3,754.97
Special Fee Account	Key Bank	12,506.52
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	1,575,516.88
Main Office Advance For Travel	Key Bank	51,579.31
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	178,983.69
19000 - DEPARTMENT OF STATE		

Athletic	M&T Bank	3,890.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	695,313.73
Main	M&T Bank	89,003.86
Petty Cash Account	Key Bank	20,494.03
Summons	M&T Bank	424,085.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	285.80
Misc Tax Account - Exchange	Bank of America, N.A.	30,785.40
Off Track Betting Tax (MAC 848)	Key Bank	1,852,446.15
Pari Mutuel Betting Tax (MAC 847)	Key Bank	55,054.34
Petty Cash	Bank of America, N.A.	14,796.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	36,000.00
Waste Tire Fee (EFT)	Wells Fargo Bank	44,396.58
Waste Tire Tax	JPMorgan Chase Bank, N.A.	6,998.21
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	99,003.42
Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,689.10
Fingerprint Concentration Account	Key Bank	13,295.86
License Revenue Account	Bank of America, N.A.	8,693.06
Lottery Concentration Account	Key Bank	5,000.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	670,581.90
Petty Cash Account	Key Bank	775.62
Racing Refund Account	Key Bank	158,056.08
Video Gaming Revenue Account	Key Bank	13,984,564.90
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(3,354,383.53)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	No report received
Checking- Petty Cash Fund	Community Bank	No report received
Hudson River General Acct	Bank of America, N.A.	No report received
Money Market	Bank of America, N.A.	No report received
Petty Cash Fund	Bank of America, N.A.	No report received
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,500.00
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	685.00
Petty Cash Account	Key Bank	990.50
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,692.96
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	383,883.01
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	51,254.22
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	62,740.45
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	12,157.92
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received

Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	61,583.46
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,164.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	583,813.22
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	172,648.26
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	92,233.74
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	59,576.93
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	692,481.42
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	2,123,262.51
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	459.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	49,209.40
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	661,768.21
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	53,826.07
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	50,000.00
IRP (Albany Central Office)		
International Registration	M&T Bank	2,520,645.84
International Registration	Wells Fargo Bank	153,805.71
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	521,277.00
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	102,961.25
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	507,634.75
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,465.50
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	60,764.19
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	34,735.83
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,760.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	102,988.97
Search Exchange (Albany Central Office)		
MV Search	Key Bank	81,432.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	138,115.04
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,083.00

Thousand Island Seaway County Fee Acct	JPMorgan Chase Bank, N.A.	271,757.10
TLC/DOCCS TLC/DOCCS	JPMorgan Chase Bank, N.A.	44,290.80
Travel Advance (Albany Central Office) Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct TVB Acct	Wells Fargo Bank	116,328.42
TVB Credit Card (Albany Central Office) TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	100,875.30
Utica D.O. Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2 Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	No report received
Brookwood Cash Advance	Key Bank	No report received
Brookwood Resid Residential Cash	Key Bank	No report received
Check Exchange	Bank of America, N.A.	No report received
CO Independent Living Acct	Bank of America, N.A.	No report received
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	No report received
Columbia Girls Secure Center-Advance Acct	Key Bank	No report received
Columbia Girls Secure Center-Youth Savings	Key Bank	No report received
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	No report received
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	No report received
Goshen Cash Advance	Bank of America, N.A.	No report received
Goshen Residents Account	Bank of America, N.A.	No report received
Harriet Tubman Advance Account	Key Bank	No report received
Harriet Tubman Residents' Account	Key Bank	No report received
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	No report received
Highland Res Ctr Residents Acct	Bank of America, N.A.	No report received
Industry Advance Account	JPMorgan Chase Bank, N.A.	No report received
Industry Res Account	JPMorgan Chase Bank, N.A.	No report received
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	No report received
MacCormick Cash Advance	Tompkins County Trust	No report received
MacCormick Residents' Account	Tompkins County Trust	No report received
Medicaid Reimbursement Exchange	Bank of America, N.A.	No report received
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	No report received
NYS OCFS Salary Advance Account	Bank of America, N.A.	No report received
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	No report received
Red Hook Res Ctr Resident Cash	Key Bank	No report received
Red Hook Resid Ctr Cash Advance	Key Bank	No report received
SCR Credit Card Revenue Account	Bank of America, N.A.	No report received
State Central Register	Bank of America, N.A.	No report received
Taberg Cash Advance	NBT Bank	No report received
Taberg Residents Account	NBT Bank	No report received
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	4,161,029.16
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	366,570.15
Loan Services Center Account	Key Bank	174,509.12
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	222,706.38
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	No report received
General Revenue Account	Bank of America, N.A.	No report received
General Revenue Account	Key Bank	2,487,250.54
Imprest Account	Key Bank	No report received
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	816,095.19
Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Fees Depository	JPMorgan Chase Bank, N.A.	17,630,629.17
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	230,670.34
LISVH Fees Depository	Sterling Bank	241,394.32
LISVH Residence Fund	Sterling Bank	402,179.20
Payroll Advance	JPMorgan Chase Bank, N.A.	1,328.30

SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	59,279.25
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	245,494.98
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	534,507.51
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	5,856,466.86
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,586.27
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	180,657.20
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,760,285.92
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	354,486.10
Controlled Disbursement	Key Bank	No report received
Hospital Revenue	Key Bank	3,054,048.78
Parking	Key Bank	570,264.80
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	886.62
Concentration Acct	M&T Bank	262,607.65
Controlled Disb	M&T Bank	No report received
Special Account	M&T Bank	940.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	256,299.60
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	370,468.22
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	No report received
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	1,165,756.48
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	298,352.44
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	286,240.61
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	2,153,136.76
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	No report received
General Revenue	Key Bank	421,209.06
Imprest Account	Key Bank	No report received
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	3,179,898.49
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	No report received
State Fee Reconciliation Account	Key Bank	No report received
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	323,772.01
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	312,115.87
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	97,275.53
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	21,422.00
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,631,260.42
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	130,428.53
Income Fund	Key Bank	258,172.50
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	77,326.48

28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	92,636.97
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	464,872.58
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	1,441,327.91
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	204.93
Regular Account	Key Bank	222,122.15
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	37,415.99
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	223,080.16
Revenue EFT Account	JPMorgan Chase Bank, N.A.	7,103.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	208,261.29
Medical Transportation	JPMorgan Chase Bank, N.A.	171.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,101,226.24
Revenue	Key Bank	1,562,505.52
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	No report received
Confidential Investigations	JPMorgan Chase Bank, N.A.	No report received
Fire Tax Account (Main)	Key Bank	No report received
Fire Tax Payment	Key Bank	No report received
General Assessment Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	Key Bank	No report received
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Account	JPMorgan Chase Bank, N.A.	No report received
Paid Family Leave	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	Key Bank	No report received
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	No report received
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	49,425.71
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	79,141.42
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,813.93
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	194,135.54
Revenue (LI)	People's United Bank	53,311.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	51,802.79
Revenue (GE)	Bank of Castile	167,467.24
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	47,874.12
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	8,058.89
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	11,510.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	618,183.68
Petty Cash (ALB)	Key Bank	30,153.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	106,660.34
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	200,373.92
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	42,117.29
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	168,240.46
Revenue (various)	Wells Fargo Bank	35,976.11
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	465,063.58
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	506,383.88
Statewide Housing Security Deposits	Key Bank	150,869.56
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	109,001.81
Revenue (FL-Multi)	Community Bank	69,982.54
Revenue (FL-Multi-Facilities)	Tompkins County Trust	184,991.93
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,015.00
Regional Account (AL)	Five Star Bank	140,346.46

Revenue (AL)	Five Star Bank	88,708.65
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	89,597.28
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	800.70
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	41,984.76
Revenue (TI-Multi)	Community Bank	39,685.46
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	51,203.94
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	66,502.84
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	4,972.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	9,989.42
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,982.00
Patients Cash Account	JPMorgan Chase Bank, N.A.	568,559.91
Security Deposit	JPMorgan Chase Bank, N.A.	4,589.69
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	36.67
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	248,742.02
Medicaid Outpatient Travel	Banco Popular	89.56
Patient Cash Acct (MM)	Banco Popular	529,033.77
Patient Checking Account	Citibank	14,307.24
Patient Savings Account	Banco Popular	335,071.45
Security Deposit	Banco Popular	2,489.43
Urban Oasis/EBT	Banco Popular	56,832.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	38,374.31
Facility Holding	Key Bank	3,684.39
Patient Cash Checking	Key Bank	534,570.38
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	8,747.00
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	4,353.37
Patient Cash Checking	Hudson Valley National Bank	1,144,991.13
Patients Money Market	Hudson Valley National Bank	72,914.41
Social Service Tokens	Hudson Valley National Bank	33,971.24
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	21,153.20
Facility Holding	Key Bank	10,903.32
Patients Cash Account	Key Bank	281,958.43
Patients Fund Savings	Key Bank	247,200.36
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	17,327.18
Facility Holding Account	Community Bank	20,368.95
Patients Cash Account	Community Bank	69,410.11
Patients Cash Savings	Community Bank	280,629.50
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	68,131.35
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	15,946.29
Medicaid Travel Account	HSBC	No report received
Money Management Account	HSBC	798,446.74
Patient Cash Account	HSBC	135,441.67
Rent Holding Account	HSBC	1,044.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
Investment CD A	Sterling Bank	No report received
Investment CD B	Sterling Bank	No report received
Investment CD C	Sterling Bank	No report received
Investment CD D	Sterling Bank	No report received
Investment CD G	Sterling Bank	No report received
Investment CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,914.21
General	JPMorgan Chase Bank, N.A.	66,175.86

Petty Cash	JPMorgan Chase Bank, N.A.	613.69
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	16,533.98
Clients Count	Key Bank	191,099.44
Holding Account	Key Bank	24,002.84
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	50,777.00
Facility Holding Acct	JPMorgan Chase Bank, N.A.	179,082.04
Patient Cash	JPMorgan Chase Bank, N.A.	1,189,405.49
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	10,652.48
Facility Holding	Key Bank	20,031.81
Patient Cash Checking	Key Bank	58,542.09
Patient Cash Savings	Key Bank	28,932.74
Security Deposit	Key Bank	7,773.60
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	39,620.88
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,219.58
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,271.94
Patients Checking Acct	JPMorgan Chase Bank, N.A.	435,016.23
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	6,884.58
Holding Account	Hudson Valley National Bank	28,130.04
Patient Cash Account	Hudson Valley National Bank	142,881.92
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,728.95
General Fund	Key Bank	0.02
Patient Checking	Key Bank	69,347.71
Patient Savings	Key Bank	356,526.52
Security Deposit	Key Bank	2,743.36
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,825.00
Facility Holding Account	Key Bank	2,852.92
Patients Account	Key Bank	178,282.23
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	5,000.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	16,729.04
Facility Holding Checking	JPMorgan Chase Bank, N.A.	16,571.41
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	652,358.23
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	9,653.94
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,918.35
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	210.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	36,260.60
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,365.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	17,272.33
Queens Childrens Account	HSBC	4,508.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	13,262.00
General Fund Account	Chemung Canal Trust	3,913.52
IMMA	Chemung Canal Trust	46,944.86
Patients Fund Account	Chemung Canal Trust	411,593.49
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	12,910.32
Facility Holding Account	Key Bank	222,888.00
Parking Garage Account	Key Bank	166,775.54
Patients Cash Checking Account	Key Bank	793,617.74
Patients Cash Savings Account	Key Bank	397,235.83
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	4,488,795.90

51210 - HUDSON VALLEY DDSO

EBT Checking
Exchange Account
General Fund
Investor's Choice Savings
Petty Cash
PTS Cash Checking

JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51240 - CENTRAL NY DDSO

Advance Accounts
Clients Account
Consumer Cash - Savings
Consumer Cash - Savings

Key Bank 34,162.73
Key Bank 297,020.52
Adirondack Bank 3,160,983.50
Key Bank 3,418,533.92

51250 - TACONIC DDSO

Agency Advance Account
General Fund
Patients Cash Checking
500 Balltown Rd Schenectady, NY
TDDSO Representative Payee Savings Acct

M&T Bank 58,099.67
M&T Bank 12,598.64
M&T Bank 2,474,725.68

The Adirondack Trust Company 1,815,305.89

51270 - STATEN ISLAND DDSO

Clients Cash Account
General Fund Account
Money Market Account
Petty Cash Account

JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51290 - CAPITAL DISTRICT DDSO

Agency Advance Account
Agency Advance Account
Consumer EBT Fund / Fiduciary Account
Consumers Fund / Fiduciary Account
Patients Account Direct Deposit / Fiduciary Acct
Patients Fund Operating Acct / Fiduciary Acct
Summer Camp - Fiduciary Acct

Key Bank No report received
The Adirondack Trust Company No report received
Key Bank No report received
Key Bank No report received
The Adirondack Trust Company No report received
The Adirondack Trust Company No report received
The Adirondack Trust Company No report received

51330 - WESTERN NY DDSO

Agency Advance Account
CD-Patient Property Funds
Exchange Account
General Account
Patient Property Funds
Patient Property Funds

M&T Bank 76,299.83
Key Bank 3,386,186.70
M&T Bank 16,410.36
Community Bank 53,740.24
Adirondack Bank 1,891,072.66
M&T Bank 722,310.66

51350 - LONG ISLAND DDSO

Consolidated Advance
EBT Checking Account
General Fund
Patient Cash

JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51380 - BROOKLYN DDSO

CD
Consumers Fund
Consumers Money Management
Miscellaneous Receipts
Thomas Shirtz Community Service

Banco Popular No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

51420 - SUNMOUNT DDSO

Certificate of Deposit
Residents Fund
Sunmount Advance Account

Community Bank No report received
Community Bank No report received
Community Bank No report received

51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES

Petty Cash

JPMorgan Chase Bank, N.A. No report received

51450 - METRO NY DDSO

Consolidated Acct
Manhattan DDSO Client Cash
Metro NY DDSOO REP PAYEE ACCOUNT
Patients Cash Account
Patients Money Market Account

JPMorgan Chase Bank, N.A. 34,125.42
JPMorgan Chase Bank, N.A. 27,866.05
JPMorgan Chase Bank, N.A. 414,946.41
JPMorgan Chase Bank, N.A. 18,798.32
JPMorgan Chase Bank, N.A. 0.10

51470 - BERNARD M FINESON DDSO

Money Market Account
Patient Fund Account
Petty Cash Fund

JPMorgan Chase Bank, N.A. 307,122.21
JPMorgan Chase Bank, N.A. 561,498.06
JPMorgan Chase Bank, N.A. 13,163.09

51780 - FINGER LAKES DDSO

Advance Account
F.L. Newark Resident Checking
Finger Lakes Resident Checking
FL Vending Machine Account
General Account
Geneseo Client Cash
Kelsey Trust Fund
Mary Moore Trust Fund

JPMorgan Chase Bank, N.A. No report received
Community Bank No report received
JPMorgan Chase Bank, N.A. No report received
Community Bank No report received
JPMorgan Chase Bank, N.A. No report received
Bank of America, N.A. No report received
Bank of America, N.A. No report received
Bank of America, N.A. No report received

Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	624,856.57
Revenue Account	Bank of America, N.A.	46,406.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	1,033.74
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,353.51
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,185.70
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,915.86
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	23,780.88

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

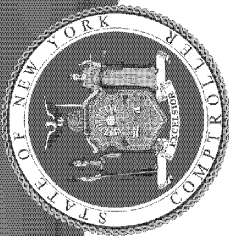
**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 07/31/2021**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 07/31/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232 Upstate Community Colleges, Series 2005B	Key Bank	11,006,200.75
DEPARTMENT OF FINANCIAL SERVICES		
0001 Property/Casualty Insurance Security Fund	Key Bank	5,144,098.18
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	146,291.70
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	407,119.91
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	3,919.30
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	299,145.14
0149 State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,616,833.31
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315 The Egg	Key Bank	68,444.75
NEW YORK CONVENTION CENTER		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	10,631,533.34
NEW YORK JOB DEVELOPMENT AUTHORITY		
0036 Special Purpose Fund	Bank of America, N.A.	1,755,063.88
0371 Series H Commercial Paper	Bank of America, N.A.	1,426,978.14
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,964.48
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	396,476.79
NYS AFFORDABLE HOUSING CORPORATION		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	672,350.17
0520 Development Account	Key Bank	1,289,245.08
0522 Repayment Account	Key Bank	1,182,994.29
0523 Recapture Account	Key Bank	217,109.38
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(56,838,087.70)
NYS DEPARTMENT OF TAXATION AND FINANCE		
0510 Excelsior Linked Deposit Fund	Key Bank	0.00
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	142,926.98
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	26,930.69
0626 Criminal Investigation Division	Key Bank	250,564.97
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	0.00
0827 Stock Transfer Incentive Fund	Key Bank	1,383,412.53
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,163.64
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	11,323,255.30
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,332,467.59
0283 Small Owner's Assistance Program Account	Key Bank	75,887.92
0285 Public Purpose Account	Key Bank	19,773,588.23
0286 Disbursement Account	Key Bank	3,759,201.83
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	24,033.82
0292 Homeless Housing Initiatives	Key Bank	200,389.04
0294 Housing Plan Fund	Key Bank	30,204,690.00
0301 HFA - Subsidy Repayment Account	Key Bank	4,174,482.75
0305 HPD Disbursement Fund	Key Bank	1,227,149.59
0879 Payroll Account	JPMorgan Chase Bank, N.A.	96,339.65
NYS HOUSING TRUST FUND CORPORATION		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460 Escrow Account	M&T Bank	1,125,999.45
0461 Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	565,863.43
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,919,095.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	57,929,898.98
0054	State Insurance Fund	Bank of America, N.A.	7,643,827.02
0055	State Insurance Fund	Bank of America, N.A.	11,153,476.01
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	27,834.65
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,095,285.71
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	183,721.28

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



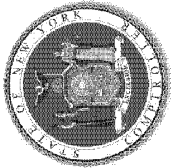
Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JULY 2021

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

July 31, 2021

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(amounts in millions)

EXHIBIT A

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	
	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:																	
Personal Income Tax	\$ 1,682.3	\$ 12,772.0	\$ -	\$ -	\$ 1,682.3	\$ 12,772.0	\$ -	\$ -	\$ 3,364.6	\$ 25,544.0	\$ 10,230.7	\$ 18,684.6	\$ 10,230.7	\$ 18,684.6	\$ 6,679.4	35.4%	
Consumption/Use Taxes	387.3	1,531.1	157.4	676.3	971.5	3,959.3	47.2	198.7	1,563.4	6,365.4	1,319.5	4,669.0	1,319.5	4,669.0	1,696.4	36.3%	
Business Taxes	228.7	2,649.2	98.9	748.9	-	-	53.8	198.9	381.4	3,597.0	718.4	2,231.4	718.4	2,231.4	1,385.6	61.2%	
Other Taxes	104.4	453.9	-	-	132.2	455.0	11.9	23.8	248.5	932.7	213.8	643.2	213.8	643.2	289.5	45.0%	
Miscellaneous Receipts	154.0	597.6	1,412.4	5,618.4	34.7	164.8	322.2	711.4	1,923.3	7,092.2	1,976.6	12,613.4	1,976.6	12,613.4	(5,521.2)	-43.8%	
Federal Receipts	0.1	0.3	5,735.7	38,492.0	1.5	1.5	143.2	282.2	5,880.5	38,776.0	5,423.2	28,013.5	5,423.2	28,013.5	10,762.5	38.4%	
Total Receipts	2,556.8	18,004.1	7,404.4	45,535.6	2,822.2	17,352.6	578.3	1,415.0	13,361.7	82,307.3	19,884.2	67,035.1	19,884.2	67,035.1	15,272.2	22.8%	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	1,505.5	8,763.4	384.0	2,331.3	-	-	13.0	97.0	1,902.5	11,191.7	799.2	10,046.0	799.2	10,046.0	1,145.7	11.4%	
Environment and Recreation	0.1	5.8	0.6	1.4	-	-	15.3	67.6	16.0	74.8	12.9	35.1	12.9	35.1	39.7	113.1%	
General Government	(37.0)	465.3	455.2	532.1	-	-	22.2	114.5	440.4	1,111.9	67.4	677.3	67.4	677.3	434.6	64.2%	
Public Health:																	
Medicaid	995.7	7,029.1	3,703.4	16,414.1	-	-	-	-	4,699.1	23,443.2	5,357.6	21,950.0	5,357.6	21,950.0	1,493.2	6.8%	
Other Public Health	156.4	707.2	708.0	2,737.5	-	-	41.7	197.4	906.1	3,642.1	1,028.7	3,250.1	1,028.7	3,250.1	382.0	12.1%	
Public Safety	6.5	49.8	208.7	598.5	-	-	5.4	35.9	220.6	684.2	263.2	587.4	263.2	587.4	96.8	16.5%	
Public Welfare	318.8	746.2	632.1	1,488.0	-	-	54.6	263.2	1,005.5	2,497.4	860.8	1,669.0	860.8	1,669.0	828.4	49.6%	
Support and Regulate Business	25.3	247.7	3.6	8.1	-	-	39.5	262.2	68.4	518.0	26.7	125.8	26.7	125.8	392.2	311.8%	
Transportation	-	47.2	303.2	1,203.2	-	-	267.1	755.5	570.3	2,005.9	825.5	1,079.0	825.5	1,079.0	926.9	85.9%	
Total Local Assistance Grants	2,971.3	18,061.7	6,398.8	25,314.2	-	-	458.8	1,793.3	9,826.9	45,169.2	9,234.0	39,419.7	9,234.0	39,419.7	5,749.5	14.6%	
Departmental Operations:																	
Personal Service	863.2	2,678.4	473.5	2,166.3	-	-	-	-	1,336.7	4,844.7	1,278.8	5,100.6	1,278.8	5,100.6	(255.9)	-5.0%	
Non-Personal Service	118.9	672.8	382.4	1,786.4	14.7	(8.7)	-	-	516.0	2,450.5	577.1	2,082.6	577.1	2,082.6	367.9	17.7%	
General State Charges	419.2	3,686.4	226.6	635.2	-	-	-	-	645.8	4,533.6	471.9	4,023.6	471.9	4,023.6	510.0	12.7%	
Debt Service, Including Payments on Financing Agreements	-	-	-	42.3	7.2	148.3	-	-	7.2	190.6	10.7	99.6	10.7	99.6	91.0	91.4%	
Capital Projects	-	-	-	-	-	-	554.2	2,097.9	554.2	2,097.9	641.4	2,239.0	641.4	2,239.0	(141.1)	-6.3%	
Total Disbursements	4,372.6	25,311.3	7,481.3	29,944.4	21.9	138.6	1,013.0	3,891.2	12,888.8	59,286.5	12,213.9	52,965.1	12,213.9	52,965.1	6,321.4	11.9%	
Excess (Deficiency) of Receipts over Disbursements	(1,815.8)	(7,307.2)	(76.9)	15,591.2	2,800.3	17,213.0	(434.7)	(2,476.2)	472.9	23,020.8	7,670.3	14,070.0	7,670.3	14,070.0	8,950.8	63.6%	
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers from Other Funds	2,674.0	17,411.4	373.3	1,535.7	185.5	621.2	298.9	1,963.0	3,531.7	21,531.3	5,857.2	12,964.6	5,857.2	12,964.6	8,566.7	66.1%	
Transfers to Other Funds	(721.5)	(3,663.9)	(131.4)	(515.2)	(2,677.4)	(17,353.1)	(8.7)	(49.0)	(3,539.0)	(21,581.2)	(5,863.1)	(13,031.4)	(5,863.1)	(13,031.4)	8,549.8	65.6%	
Total Other Financing Sources (Uses)	1,952.5	13,747.5	241.9	1,020.5	(2,491.9)	(16,731.9)	290.2	1,914.0	(7.3)	(49.9)	(5.9)	(66.8)	(5.9)	(66.8)	16.9	25.3%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	136.7	6,440.3	165.0	16,611.7	308.4	481.1	(144.5)	(562.2)	465.6	22,970.9	7,664.4	14,003.2	7,664.4	14,003.2	8,967.7	64.0%	
Beginning Fund Balances (Deficits)	15,464.4	9,160.8	27,116.0	10,689.3	237.7	65.0	(1,561.7)	(1,144.0)	41,256.4	18,751.1	20,623.6	14,284.8	20,623.6	14,284.8	4,466.3	31.3%	
Ending Fund Balances (Deficits)	\$ 15,601.1	\$ 15,601.1	\$ 27,281.0	\$ 27,281.0	\$ 546.1	\$ 546.1	\$ (1,706.2)	\$ (1,706.2)	\$ 41,722.0	\$ 41,722.0	\$ 28,288.0	\$ 28,288.0	\$ 28,288.0	\$ 28,288.0	\$ 13,434.0	47.5%	

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS				\$ Increase/ (Decrease)	% Increase/ Decrease
	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020		
RECEIPTS:												
Personal Income Tax	\$ 1,682.3	\$ 12,772.0	\$ -	\$ -	\$ 1,682.3	\$ 12,772.0	\$ 3,364.6	\$ 25,544.0	\$ 10,230.7	\$ 18,864.6	\$ 6,679.4	35.4%
Consumption/Use Taxes	387.3	1,531.1	157.4	676.3	971.5	3,959.3	1,516.2	6,166.7	1,275.9	4,516.4	1,650.3	36.5%
Business Taxes	228.7	2,649.2	98.9	748.9	-	-	327.6	3,398.1	665.7	2,071.3	1,326.8	64.1%
Other Taxes	104.4	453.9	-	-	132.2	455.0	236.6	908.9	201.9	619.4	289.5	46.7%
Miscellaneous Receipts	154.0	597.6	1,401.7	5,539.8	34.7	164.8	1,590.4	6,302.2	1,602.6	10,488.6	(4,186.4)	-39.9%
Federal Receipts	0.1	0.3	-	-	1.5	1.5	1.6	1.8	(4.1)	-	1.8	100.0%
Total Receipts	2,556.8	18,004.1	1,658.0	6,985.0	2,822.2	17,352.6	7,037.0	42,321.7	13,972.7	36,580.3	5,761.4	15.8%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	1,505.5	8,763.4	-	326.0	-	-	1,505.5	9,089.4	563.6	8,792.1	297.3	3.4%
Environment and Recreation	0.1	5.8	0.5	0.9	-	-	0.6	6.7	0.2	0.6	6.1	1,016.7%
General Government	(37.0)	465.3	16.7	50.5	-	-	(20.3)	515.8	20.4	541.1	(25.3)	-4.7%
Public Health:												
Medicaid	995.7	7,029.1	441.1	1,711.3	-	-	1,436.8	8,740.4	2,043.9	7,056.8	1,693.6	23.9%
Other Public Health	156.4	707.2	55.8	327.3	-	-	212.2	1,034.5	486.1	959.6	74.9	7.8%
Public Safety	6.5	49.8	11.5	81.0	-	-	18.0	130.8	16.9	53.4	77.4	144.9%
Public Welfare	318.8	746.2	-	0.9	-	-	318.8	747.1	578.1	874.7	(127.6)	-14.6%
Support and Regulate Business	25.3	247.7	1.4	5.3	-	-	26.7	253.0	5.4	26.1	226.9	869.3%
Transportation	-	47.2	298.8	1,189.8	-	-	299.8	1,237.0	726.3	848.2	388.8	45.8%
Total Local Assistance Grants	2,971.3	18,061.7	826.8	3,693.0	-	-	3,788.1	21,764.7	4,440.9	19,152.6	2,602.1	13.6%
Departmental Operations:												
Personal Service	863.2	2,678.4	409.4	1,542.6	-	-	1,272.6	4,221.0	1,114.0	4,649.2	(428.2)	-9.2%
Non-Personal Service	118.9	672.8	231.3	907.6	14.7	(8.7)	364.9	1,571.7	(308.2)	943.8	627.9	66.5%
General State Charges	419.2	3,893.4	118.0	317.8	-	-	537.2	4,216.2	388.2	3,853.6	362.6	9.4%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	7.2	148.3	7.2	148.3	10.7	99.6	48.7	48.9%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	4,372.6	25,311.3	1,585.5	6,461.0	21.9	139.6	5,980.0	31,911.9	5,645.6	28,698.8	3,213.1	11.2%
Excess (Deficiency) of Receipts over Disbursements	(1,815.9)	(7,307.2)	72.5	504.0	2,800.3	17,213.0	1,057.0	10,409.8	8,327.1	7,881.5	2,548.3	32.4%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	2,674.0	17,411.4	593.2	1,916.0	185.5	621.2	3,452.7	19,948.6	5,367.5	12,777.6	7,171.0	56.1%
Transfers to Other Funds	(721.5)	(3,663.9)	(9.1)	(79.2)	(2,677.4)	(17,353.1)	(3,408.0)	(21,086.2)	(5,633.9)	(12,381.5)	8,714.7	70.4%
Total Other Financing Sources (Uses)	1,952.5	13,747.5	584.1	1,836.8	(2,491.9)	(16,731.9)	44.7	(1,147.6)	(286.4)	396.1	(1,543.7)	-389.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	136.7	6,440.3	656.6	2,340.8	308.4	481.1	1,101.7	9,262.2	8,060.7	8,257.6	1,004.6	12.2%
Beginning Fund Balances (Deficits)	15,464.4	9,160.8	7,392.8	5,708.6	237.7	65.0	23,084.9	14,934.4	14,605.2	14,408.3	526.1	3.7%
Ending Fund Balances (Deficits)	\$ 15,601.1	\$ 15,601.1	\$ 8,049.4	\$ 8,049.4	\$ 546.1	\$ 546.1	\$ 24,186.6	\$ 24,186.6	\$ 22,665.9	\$ 22,665.9	\$ 1,530.7	6.8%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES
JULY 2021**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$279.3 million
Urban Development Corporation (Youth Facilities)	17.9
Housing Finance Agency (HFA)	634.3
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	407.4
Dormitory Authority and State University Income Fund	658.9
Federal Capital Projects	902.2
State bond and note proceeds	112.0

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$1,839.0 million
General Debt Service Fund	175.8
Banking Services Account	11.9
Business Services Center	30.0
Centralized Tech Services	5.0
Charter School Stimulus	4.8
Court Facilities Incentive Aid Fund	38.4
Dedicated Highway & Bridge Trust Fund	16.5
Dedicated Infrastructure Investment Fund	70.0
Dedicated Mass Transportation (Non MTA)	1.3
Dedicated Mass Transportation - Railroad Account	2.2
Dedicated Mass Transportation - Transit Authority Account	12.2
Environmental Protection Fund	14.0
Mass Transportation Financial Assistance	97.7
Mass Transportation Operating Assistance Fund	23.8
New York Central Business District Trust Fund	50.5
New York City County Clerks' Operations Offset	2.1
Recruitment Incentive	2.6
Spinal Cord Injury Account	2.8
State Fair Receipts	7.0
State University Income Fund	1,066.4

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$2.3m), and the State University Income Fund (\$186.5m)

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of July 31, 2021 - pursuant to a certification of the Budget Director - the reserve amount is (\$41.1m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$414.6m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and All Other Capital Projects (\$23.3m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$1.4 million
Clean Air	3.1
Encon Special Revenue	1.7
Federal Health and Human Services Fund	5.1
Federal USDA/Food and Nutrition	1.3
Fingerprint Identification Technology Account	9.4
Health Care Reform Act Resources Fund	6.8
HESC Insurance Premium Account	5.0
Miscellaneous State Special Revenue Fund	5.0
Nursing Home Receivables Account	1.0
Professional Medical Conduct Account	1.1
Public Service Account	2.1
Statewide Public Safety Communications	3.4
System and Technology Account	1.9
SUNY Income Fund	5.1
Unemployment Insurance Administration	13.9
Workers' Compensation Board	6.4

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$12,549.1 million
Local Government Assistance Tax Fund	1,318.1
Sales Tax Revenue Bond Tax Fund	2,540.0
Clean Water/Clean Air Fund	440.6
Mental Health Services Fund	462.2

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$43.2m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$20.1m) and the General Debt Service Fund - Lease Purchase (\$29.0m)

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8m and \$10.6m, respectively, and the Enterprise Funds have been increased by \$182.4m.

Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.

EXHIBIT B

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 257.9	\$ 932.3	\$ 38.6	\$ 162.5	\$ 296.5	\$ 1,094.8	\$ 26.7	\$ 124.5	\$ 970.3	779.4%
Federal Receipts	3,973.1	17,936.8	-	-	3,973.1	17,936.8	8,949.5	28,361.3	(10,424.5)	-36.8%
Unemployment Taxes	315.0	1,237.9	-	-	315.0	1,237.9	1,980.9	8,698.2	(7,460.3)	-85.8%
Total Receipts	4,546.0	20,107.0	38.6	162.5	4,584.6	20,269.5	10,957.1	37,184.0	(16,914.5)	-45.5%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	187.2	554.3	11.4	36.7	198.6	591.0	13.4	53.4	537.6	1,006.7%
Non-Personal Service	21.1	111.2	32.1	130.6	53.2	241.8	36.6	151.1	90.7	60.0%
General State Charges	47.9	211.7	4.2	18.9	52.1	230.6	4.7	23.9	206.7	864.9%
Unemployment Benefits	4,290.3	19,277.7	-	-	4,290.3	19,277.7	10,926.1	37,050.2	(17,772.5)	-48.0%
Total Disbursements	4,546.5	20,154.9	47.7	186.2	4,594.2	20,341.1	10,980.8	37,278.6	(16,937.5)	-45.4%
Excess (Deficiency) of Receipts Over Disbursements	(0.5)	(47.9)	(9.1)	(23.7)	(9.6)	(71.6)	(23.7)	(94.6)	23.0	24.3%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	4.0	7.0	3.4	46.9	7.4	53.9	1.7	60.5	(6.6)	-10.9%
Transfers to Other Funds	-	-	(0.1)	(4.5)	(0.1)	(4.5)	-	-	4.5	100.0%
Total Other Financing Sources (Uses)	4.0	7.0	3.3	42.4	7.3	49.4	1.7	60.5	(11.1)	-18.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3.5	(40.9)	(5.8)	18.7	(2.3)	(22.2)	(22.0)	(34.1)	11.9	34.9%
Beginning Fund Balances (Deficits)	283.6	328.0	(339.0)	(363.5)	(55.4)	(35.5)	(279.9)	(267.8)	232.3	86.7%
Ending Fund Balances (Deficits)	\$ 287.1	\$ 287.1	\$ (344.8)	\$ (344.8)	\$ (57.7)	\$ (57.7)	\$ (301.9)	\$ (301.9)	\$ 244.2	80.9%

EXHIBIT C

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2021	MONTH OF JULY 2021	4 MOS. ENDED JULY 31, 2021	MONTH OF JULY 2020	4 MOS. ENDED JULY 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
RECEIPTS:												
Miscellaneous Receipts	8.6	39.5			2.3	9.3	41.8		23.7	43.3	(1.5)	-3.5%
Total Receipts	8.6	39.5		0.7	2.3	9.3	41.8		23.7	43.3	(1.5)	-3.5%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	6.0	23.3		0.1	0.1	6.1	23.4		5.6	25.0	(1.6)	-6.4%
Non-Personal Service	1.4	4.7		-	-	1.4	4.7		1.0	2.9	1.8	62.1%
General State Charges	3.8	16.3		-	0.1	3.8	16.4		3.4	15.6	0.8	5.1%
Total Disbursements	11.2	44.3		0.1	0.2	11.3	44.5		10.0	43.5	1.0	2.3%
Excess (Deficiency) of Receipts Over Disbursements	(2.6)	(4.8)		0.6	2.1	(2.0)	(2.7)		13.7	(0.2)	(2.5)	-1,250.0%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-		-	-	-	-		-	-	-	0.0%
Transfers to Other Funds	-	-		-	-	-	-		-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-		-	-	-	-		-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.6)	(4.8)		0.6	2.1	(2.0)	(2.7)		13.7	(0.2)	(2.5)	-1,250.0%
Beginning Fund Balances (Deficits)	(2.2)	-		41.7	40.2	39.5	40.2		(0.7)	13.2	27.0	204.5%
Ending Fund Balances (Deficits)	(4.8)	(4.8)		42.3	42.3	37.5	37.5		13.0	13.0	24.5	188.5%

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)**

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 21,926.0	\$ -	\$ 25,544.0	\$ 3,618.0	\$ -
Consumption/Use	5,694.0	-	6,365.4	671.4	-
Business	2,833.0	-	3,597.0	764.0	-
Other	804.0	-	932.7	128.7	-
Miscellaneous Receipts	6,960.0	-	7,092.2	132.2	-
Federal Receipts	41,089.0	-	38,776.0	(2,313.0)	-
Total Receipts	79,306.0	-	82,307.3	3,001.3	-
DISBURSEMENTS:					
Local Assistance Grants	47,992.0	-	45,169.2	(2,822.8)	-
Departmental Operations	7,391.0	-	7,295.2	(95.8)	-
General State Charges	4,542.0	-	4,533.6	(8.4)	-
Debt Service	233.0	-	190.6	(42.4)	-
Capital Projects	2,447.0	-	2,097.9	(349.1)	-
Total Disbursements	62,605.0	-	59,286.5	(3,318.5)	-
Excess (Deficiency) of Receipts over Disbursements	16,701.0	-	23,020.8	6,319.8	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	18,951.0	-	21,531.3	2,580.3	-
Transfers to Other Funds	(19,000.0)	-	(21,581.2)	2,581.2	-
Total Other Financing Sources (Uses)	(49.0)	-	(49.9)	(0.9)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16,652.0	-	22,970.9	6,318.9	-
Fund Balances (Deficits) at April 1	18,751.0	-	18,751.1	0.1	-
Fund Balances (Deficits) at July 31, 2021	\$ 35,403.0	\$ -	\$ 41,722.0	\$ 6,319.0	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)**

EXHIBIT D

	STATE OPERATING FUNDS (**)				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 21,926.0	\$ -	\$ 25,544.0	\$ 3,618.0	\$ -
Consumption/Use	5,508.0	-	6,166.7	658.7	-
Business	2,643.0	-	3,398.1	755.1	-
Other	780.0	-	908.9	128.9	-
Miscellaneous Receipts	6,170.0	-	6,302.2	132.2	-
Federal Receipts	102.0	-	1.8	(100.2)	-
Total Receipts	37,129.0	-	42,321.7	5,192.7	-
DISBURSEMENTS:					
Local Assistance Grants	23,292.0	-	21,754.7	(1,537.3)	-
Departmental Operations	6,144.0	-	5,792.7	(351.3)	-
General State Charges	4,355.0	-	4,216.2	(138.8)	-
Debt Service	191.0	-	148.3	(42.7)	-
Capital Projects	-	-	-	-	-
Total Disbursements	33,982.0	-	31,911.9	(2,070.1)	-
Excess (Deficiency) of Receipts over Disbursements	3,147.0	-	10,409.8	7,262.8	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	17,286.0	-	19,948.6 (**)	2,662.6	-
Transfers to Other Funds	(18,297.0)	-	(21,096.2) (***)	2,799.2	-
Total Other Financing Sources (Uses)	(1,011.0)	-	(1,147.6)	(136.6)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,136.0	-	9,262.2	7,126.2	-
Fund Balances (Deficits) at April 1	14,934.0	-	14,934.4	0.4	-
Fund Balances (Deficits) at July 31, 2021	\$ 17,070.0	\$ -	\$ 24,196.6	\$ 7,126.6	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(***) Eliminations between Special Revenue - State and Federal Funds are not included.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)

	GENERAL FUND				Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual			
RECEIPTS:						
Taxes:						
Personal Income	\$ 10,963.0	\$ -	\$ 12,772.0	\$ 1,809.0	\$ -	\$ -
Consumption/Use	1,384.0	-	1,531.1	147.1	-	-
Business	2,027.0	-	2,649.2	622.2	-	-
Other	436.0	-	453.9	17.9	-	-
Miscellaneous Receipts	472.0	-	597.6	125.6	-	-
Federal Receipts	-	-	0.3	0.3	-	-
Transfers From:						
PIT / ECET in excess of Revenue Bond Debt Service	10,692.0	-	12,549.1	1,857.1	-	-
Sales Tax in excess of LGAC / STRBF Debt Service	3,406.0	-	3,858.1	452.1	-	-
Real Estate Taxes in excess of CW/CA Debt Service	322.0	-	440.6	118.6	-	-
All Other	678.0	-	563.6	(114.4)	-	-
Total Receipts and Other Financing Sources	30,380.0	-	35,415.5	5,035.5	-	-
DISBURSEMENTS:						
Local Assistance Grants	19,276.0	-	18,061.7	(1,214.3)	-	-
Departmental Operations	3,713.0	-	3,351.2	(361.8)	-	-
General State Charges	4,083.0	-	3,898.4	(184.6)	-	-
Transfers To:						
Debt Service	204.0	-	175.8	(28.2)	-	-
Capital Projects	1,654.0	-	1,939.5	285.5	-	-
State Share Medicaid	-	-	188.8	188.8	-	-
SUNY Operations	951.0	-	1,066.4	115.4	-	-
Other Purposes	354.0	-	293.4	(60.6)	-	-
Total Disbursements and Other Financing Uses	30,235.0	-	28,975.2	(1,259.8)	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	145.0	-	6,440.3	6,295.3	-	-
Fund Balances (Deficits) at April 1	9,161.0	-	9,160.8	(0.2)	-	-
Fund Balances (Deficits) at July 31, 2021	\$ 9,306.0	\$ -	\$ 15,601.1	\$ 6,295.1	\$ -	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan
						Actual Over/ (Under) Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	618.0	-	676.3	-	676.3	58.3
Business	616.0	-	748.9	-	748.9	132.9
Miscellaneous Receipts	5,602.0	-	5,618.4	-	5,618.4	16.4
Federal Receipts	40,529.0	-	38,492.0	-	38,492.0	(2,037.0)
Transfers from Other Funds (**)	1,462.0	-	1,916.0	(380.3)	1,535.7	73.7
Total Receipts and Other Financing Sources	48,827.0	-	47,451.6	(380.3)	47,071.3	(1,755.7)
DISBURSEMENTS:						
Local Assistance Grants	27,387.0	-	25,314.2	-	25,314.2	(2,072.8)
Departmental Operations	3,679.0	-	3,952.7	-	3,952.7	273.7
General State Charges	459.0	-	635.2	-	635.2	176.2
Debt Service	42.0	-	42.3	-	42.3	0.3
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (**)	803.0	-	895.5	(380.3)	515.2	(287.8)
Total Disbursements and Other Financing Uses	32,370.0	-	30,839.9	(380.3)	30,459.6	(1,910.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16,457.0	-	16,611.7	-	16,611.7	154.7
Fund Balances (Deficits) at April 1	10,669.0	-	10,669.3	-	10,669.3	0.3
Fund Balances (Deficits) at July 31, 2021	\$ 27,126.0	\$ -	\$ 27,281.0	\$ -	\$ 27,281.0	\$ 155.0

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS – FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	618.0	-	676.3	58.3	-	-	-	-
Business	616.0	-	748.9	132.9	-	-	-	-
Miscellaneous Receipts	5,506.0	-	5,539.8	33.8	-	-	78.6	(17.4)
Federal Receipts	102.0	-	-	(102.0)	-	-	38,492.0	(1,935.0)
Transfers from Other Funds	1,462.0	-	1,916.0	454.0	-	-	-	-
Total Receipts and Other Financing Sources	8,304.0	-	8,881.0	577.0	-	-	38,570.6	(1,952.4)
DISBURSEMENTS:								
Local Assistance Grants	4,016.0	-	3,683.0	(323.0)	-	-	21,621.2	(1,749.8)
Departmental Operations	2,432.0	-	2,450.2	18.2	-	-	1,502.5	255.5
General State Charges	272.0	-	317.8	45.8	-	-	317.4	130.4
Debt Service	-	-	-	-	-	-	42.3	0.3
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	136.0	-	79.2	(56.8)	-	-	816.3	149.3
Total Disbursements and Other Financing Uses	6,856.0	-	6,540.2	(315.8)	-	-	24,299.7	(1,214.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,448.0	-	2,340.8	892.8	-	-	14,270.9	(738.1)
Fund Balances (Deficits) at April 1	5,708.0	-	5,708.6	0.6	-	-	4,960.7	(0.3)
Fund Balances (Deficits) at July 31, 2021	\$ 7,156.0	\$ -	\$ 8,049.4	\$ 893.4	\$ -	\$ -	\$ 19,231.6	\$ (738.4)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)**

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 10,963.0	\$ -	\$ 12,772.0	\$ 1,809.0	\$ -
Consumption/Use	3,506.0	-	3,959.3	453.3	-
Other	344.0	-	455.0	111.0	-
Miscellaneous Receipts	192.0	-	164.8	(27.2)	-
Federal Receipts	-	-	1.5	1.5	-
Transfers from Other Funds	726.0	-	621.2	(104.8)	-
Total Receipts and Other Financing Sources	15,731.0	-	17,973.8	2,242.8	-
DISBURSEMENTS:					
Departmental Operations	(1.0)	-	(8.7)	(7.7)	-
Debt Service	191.0	-	148.3	(42.7)	-
Transfers to Other Funds	14,998.0	-	17,353.1	2,355.1	-
Total Disbursements and Other Financing Uses	15,188.0	-	17,492.7	2,304.7	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	543.0	-	481.1	(61.9)	-
Fund Balances (Deficits) at April 1	65.0	-	65.0	-	-
Fund Balances (Deficits) at July 31, 2021	\$ 608.0	\$ -	\$ 546.1	\$ (61.9)	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 186.0	\$ -	\$ 198.7	\$ -	\$ 198.7	\$ 12.7
Business	190.0	-	198.9	-	198.9	8.9
Other	24.0	-	23.8	-	23.8	(0.2)
Miscellaneous Receipts	694.0	-	711.4	-	711.4	17.4
Federal Receipts	560.0	-	282.2	-	282.2	(277.8)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	1,665.0	-	1,963.0	-	1,963.0	298.0
Total Receipts and Other Financing Sources	3,319.0	-	3,378.0	-	3,378.0	59.0
DISBURSEMENTS:						
Local Assistance Grants	1,329.0	-	1,793.3	-	1,793.3	464.3
Capital Projects	2,447.0	-	2,097.9	-	2,097.9	(349.1)
Transfers to Other Funds	36.0	-	49.0	-	49.0	13.0
Total Disbursements and Other Financing Uses	3,812.0	-	3,940.2	-	3,940.2	128.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(493.0)	-	(562.2)	-	(562.2)	(69.2)
Fund Balances (Deficits) at April 1	(1,144.0)	-	(1,144.0)	-	(1,144.0)	-
Fund Balances (Deficits) at July 31, 2021	\$ (1,637.0)	\$ -	\$ (1,706.2)	\$ -	\$ (1,706.2)	\$ (69.2)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR FOUR MONTHS ENDED JULY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 188.0	\$ -	\$ 188.7	\$ 12.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business	190.0	-	188.9	8.9	-	-	-	-	-	-
Other	24.0	-	23.8	(0.2)	-	-	-	-	-	-
Miscellaneous Receipts	694.0	-	711.4	17.4	-	-	-	-	-	-
Federal Receipts	-	-	2.3	2.3	-	560.0	-	279.9	(280.1)	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	1,664.0	-	1,963.0	299.0	-	1.0	-	-	(1.0)	-
Total Receipts and Other Financing Sources	2,758.0	-	3,098.1	340.1	-	561.0	-	279.9	(281.1)	-
DISBURSEMENTS:										
Local Assistance Grants	1,134.0	-	1,649.5	515.5	-	195.0	-	143.8	(51.2)	-
Capital Projects	2,021.0	-	1,640.2	(380.8)	-	428.0	-	457.7	31.7	-
Transfers to Other Funds	37.0	-	48.7	11.7	-	(1.0)	-	0.3	1.3	-
Total Disbursements and Other Financing Uses	3,192.0	-	3,338.4	146.4	-	620.0	-	601.8	(18.2)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(434.0)	-	(240.3)	193.7	-	(59.0)	-	(321.9)	(262.9)	-
Fund Balances (Deficits) at April 1	(562.0)	-	(563.7)	(1.7)	-	(582.0)	-	(580.3)	1.7	-
Fund Balances (Deficits) at July 31, 2021	\$ (996.0)	\$ -	\$ (804.0)	\$ 192.0	\$ -	\$ (641.0)	\$ -	\$ (902.2)	\$ (261.2)	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TOTAL GOVERNMENTAL FUNDS	YEAR OVER YEAR
	MONTH OF JULY 2021	4 MOS ENDED JULY 31, 2021	MONTH OF JULY 2021	1 MOS ENDED JULY 31, 2021	4 MOS ENDED JULY 31, 2021	\$ Increase (Decrease)
PERSONAL INCOME TAX						
Withholding	\$ 3,408.2	\$ 13,930.3	\$ -	\$ -	\$ 3,400.3	\$ 1,318.1
Estimated Payments	147.1	12,358.7	-	-	6,328.0	4,254.2
Returns	65.0	3,302.5	-	-	1,785.1	810.7
State/City Offsets	(31.8)	(521.1)	-	-	(187.0)	186.1
Other (Assessments/LLC)	81.9	444.5	-	-	103.1	110.8
Gross Receipts	<u>3,680.4</u>	<u>25,514.9</u>	-	-	<u>11,410.3</u>	<u>6,328.8</u>
Transfers to School Tax Relief Fund	-	-	-	-	-	-
Transfers to Revenue Bond Tax Fund	(1,682.3)	(12,772.0)	-	-	-	-
Less: Refunds Issued	(315.9)	(3,970.9)	-	-	(1,179.8)	(349.6)
Total	1,682.3	12,772.0	1,682.3	12,772.0	10,230.7	6,679.4
CONSUMPTION/USE TAXES						
Sales and Use	324.5	1,315.9	378.2	-	1,132.4	1,650.8
Auto Rental	-	-	4.9	0.1	2.1	9.9
Cigarette/Tobacco Products	25.6	104.7	246.2	-	97.8	(5.8)
Medical Marijuana	-	-	4.6	-	0.6	2.2
Motor Fuel	-	-	35.2	35.0	39.7	42.6
Alcoholic Beverages	28.7	96.2	-	-	28.0	(0.7)
Highway Use	-	-	0.2	12.1	12.4	3.6
Vapor Excise	-	-	7.0	-	(0.4)	(4.4)
Opium Excise	7.5	14.3	-	-	8.9	16.1
Total	387.3	1,531.1	971.5	47.2	1,318.5	1,686.4
BUSINESS TAXES						
Corporation Franchise	213.6	2,081.4	519.1	-	563.2	1,360.1
Corporation and Utilities	1.5	94.4	30.2	(1.5)	33.3	(5.2)
Insurance	13.4	469.6	41.0	-	36.6	7.7%
Bank	0.2	3.8	3.8	-	0.7	(94.9)
Petroleum Business	-	-	154.8	55.3	87.9	281.7
Total	228.7	2,649.2	748.9	53.8	718.4	1,365.6
OTHER TAXES						
Real Property Gains	-	-	-	-	-	-
Estate and Gift	103.1	448.8	-	-	147.7	29.1
Pan-Mutuel	1.1	4.6	-	-	1.0	1.9
Real Estate Transfer	-	-	132.0	11.9	64.9	258.0
Racing and Exhibitions	-	-	-	-	-	(0.1)
Employer Compensation Expense Tax	0.2	0.5	0.2	-	0.2	0.6
Total	104.4	453.9	132.2	11.9	213.8	289.5
Total Tax Receipts	\$ 2,402.7	\$ 17,406.2	\$ 2,786.0	\$ 112.9	\$ 12,482.4	\$ 10,030.9
						38.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												
	2021	2022										\$ Increase/ Decrease	% Increase/ Decrease
Beginning Fund Balance	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
	\$ 18,751.1	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4									
RECEIPTS:													
Taxes:													
Personal Income Tax:													
Withholdings	3,801.8	3,217.7	3,702.6	3,405.2									
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1									
Refundable Credits	2,185.0	2,185.0	2,185.0	2,185.0									
State/County Offsets	(203.0)	(284.7)	(21.6)	(31.8)									
Other (Assessments/LLC)	154.1	87.2	111.3	91.9									
Gross Receipts	7,805.7	11,353.2	6,077.6	3,880.4									
Transfers to School Tax Relief Fund	-	-	-	-									
Transfers to Revenue Bond Tax Fund	(1,292.9)	(1,520.2)	(652.0)	(515.8)									
Refunds Issued	6,525.8	9,833.0	5,920.6	3,364.6									
Total Personal Income Tax													
Consumption/Use Taxes:													
Auto Rental	1,297.4	1,281.4	1,711.7	1,320.9									
Auto Rental Use	19.9	-	22.6	0.1									
Cigarette/Tobacco Products	98.3	78.6	90.6	85.4									
Medical Marijuana	1.5	1.1	1.1	0.9									
Motor Fuel	34.1	40.3	48.6	44.5									
Alcoholic Beverage	23.0	21.8	21.7	28.7									
Highway Use	14.6	10.5	11.6	12.2									
Vapor Excise	0.2	-	6.6	0.2									
Opinion Excise	5.7	-	-	1.5									
Total Consumption/Use Taxes	1,477.7	1,411.9	1,912.5	1,563.4									
Business Taxes:													
Corporation Franchise	768.4	106.0	1,462.1	274.0									
Corporation and Utilities	51.0	1.1	83.2	(17.7)									
Insurance	64.2	57.3	373.2	15.9									
Bank	17.3	(20.1)	10.2	0.2									
Petroleum Business	68.9	90.2	92.6	96.0									
Total Business Taxes	968.8	234.5	2,011.3	381.4									
Other Taxes:													
Real Property Gains	-	-	-	-									
Established Gift	119.8	117.0	108.9	103.1									
Prin-Mutual	1.3	1.1	1.1	1.1									
Real Estate Transfer	97.4	110.0	127.0	143.9									
Racing and Exhibitions	-	-	-	-									
Employee Compensation Expense Tax	218.7	228.3	237.2	245.5									
Total Other Taxes	437.2	456.4	473.2	494.6									
Total Taxes	9,192.0	11,707.6	9,981.6	5,557.9									
Miscellaneous Receipts:													
Abandoned Property	1.3	1.0	0.8	0.9									
Bottle Bill	1.0	0.3	31.1	2.0									
Assessments:													
Business	79.2	48.3	67.4	101.8									
Medical Care	484.5	533.9	544.9	526.3									
Public Utilities	1.5	-	0.7	-									
Other	-	0.1	-	0.1									
Fees, Licenses and Penalties:													
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2									
Audit Fees	-	-	-	0.7									
Business/Professional:													
Civil	53.9	49.1	127.1	52.2									
Criminal	25.9	28.2	18.9	19.9									
Motor Vehicle	129.0	112.5	109.8	106.6									
Recreational/Consumer	68.4	53.3	87.5	58.3									
Fees, Penalties and Forfeitures	50.4	42.6	52.2	11.9									
Gaming:													
Lottery	34.2	14.2	17.1	35.7									
Video Lottery	189.5	213.5	208.9	181.9									
Interest Earnings	75.9	77.9	101.9	82.4									
Receipts from Municipalities	5.6	4.4	4.3	4.5									
Receipts from Public Authorities:													
Bond Proceeds	78.4	0.5	3.0	237.0									
Cost Recovery/Assessments	2.7	2.3	1.7	5.8									
Non-Bond Related	2.7	2.5	0.8	4.6									
Rentals	45.3	2.8	10.5	3.8									
Total Receipts	10,000.9	12,402.2	10,000.9	5,557.9									
Total	19,192.9	24,119.8	20,000.0	20,000.0									
Payments:													
Personal Income Tax:													
Withholdings	13,930.3	13,930.3	13,930.3	13,930.3									
Estimated Payments	8,104.5	8,104.5	8,104.5	8,104.5									
Refundable Credits	2,185.0	2,185.0	2,185.0	2,185.0									
State/County Offsets	(321.1)	(321.1)	(321.1)	(321.1)									
Other (Assessments/LLC)	444.5	444.5	444.5	444.5									
Gross Receipts	20,514.9	20,514.9	20,514.9	20,514.9									
Transfers to School Tax Relief Fund	-	-	-	-									
Transfers to Revenue Bond Tax Fund	(3,915.8)	(3,915.8)	(3,915.8)	(3,915.8)									
Refunds Issued	25,544.0	25,544.0	25,544.0	25,544.0									
Total Personal Income Tax													
Consumption/Use Taxes:													
Auto Rental	5,653.4	5,653.4	5,653.4	5,653.4									
Auto Rental Use	20.6	20.6	20.6	20.6									
Cigarette/Tobacco Products	356.7	356.7	356.7	356.7									
Medical Marijuana	2.4	2.4	2.4	2.4									
Motor Fuel	122.9	122.9	122.9	122.9									
Alcoholic Beverage	96.9	96.9	96.9	96.9									
Highway Use	45.3	45.3	45.3	45.3									
Vapor Excise	11.4	11.4	11.4	11.4									
Opinion Excise	18.1	18.1	18.1	18.1									
Total Consumption/Use Taxes	6,363.4	6,363.4	6,363.4	6,363.4									
Business Taxes:													
Corporation Franchise	2,600.5	2,600.5	2,600.5	2,600.5									
Corporation and Utilities	132.8	132.8	132.8	132.8									
Insurance	510.6	510.6	510.6	510.6									
Bank	7.6	7.6	7.6	7.6									
Petroleum Business	291.7	291.7	291.7	291.7									
Total Business Taxes	3,597.0	3,597.0	3,597.0	3,597.0									
Other Taxes:													
Real Property Gains	-	-	-	-									
Established Gift	448.8	448.8	448.8	448.8									
Prin-Mutual	4.6	4.6	4.6	4.6									
Real Estate Transfer	478.3	478.3	478.3	478.3									
Racing and Exhibitions	-	-	-	-									
Employee Compensation Expense Tax	1.0	1.0	1.0	1.0									
Total Other Taxes	932.7	932.7	932.7	932.7									
Total Payments	36,439.1	36,439.1	36,439.1	36,439.1									
Total	55,632.0	60,558.9	56,439.1	56,439.1									
Change in Fund Balance	10,000.9	12,402.2	10,000.9	5,557.9									
Total	10,000.9	12,402.2	10,000.9	5,557.9									
Change in Fund Balance	10,000.9	12,402.2	10,000.9	5,557.9									
Total	10,000.9	12,402.2	10,000.9	5,557.9									

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	45.8	9.2	38.0	8.6									98.6	683	31.3	45.8%
Commissions	0.9	0.8	0.2	0.6									2.5	0.7	1.8	257.1%
Commissions - Asset Conversion	-	-	-	-									-	-	-	0.0%
Gifts, Grants and Donations	2.5	2.1	3.4	0.7									8.7	31.4	(22.7)	-72.3%
Indirect Cost Recoveries	4.9	5.7	6.3	5.0									21.9	24.2	(2.3)	-8.9%
Patent/Cost Reimbursement	285.8	223.6	214.5	230.3									1,027.2	1,477.4	(450.2)	-30.5%
Patent/Cost Reimbursement	11.5	11.5	11.5	11.5									46.8	46.8	-	0.0%
Refunds and Settlements	1.5	11.1	9.0	6.5									28.1	9.5	18.6	230.6%
Student Loans	2.4	5.6	1.3	1.9									11.2	17.1	(5.9)	-34.5%
All Other	87.3	63.6	71.8	71.4									294.1	96.8	197.3	203.8%
Sales	2.4	0.5	1.7	1.3									5.9	6.7	(0.8)	-11.9%
Tuition	(75.7)	41.6	51.3	56.6									73.8	73.9	(0.1)	-0.1%
Total Miscellaneous Receipts	1,779.5	1,588.7	1,970.7	1,927.3	-	-	-	-	-	-	-	-	7,092.2	12,613.4	(5,521.2)	-43.8%
Federal Receipts	7,164.2	19,246.2	7,485.1	5,890.5	-	-	-	-	-	-	-	-	38,779.0	28,013.5	10,765.5	38.4%
Total Receipts	18,083.7	31,522.5	19,337.4	13,361.7	-	-	-	-	-	-	-	-	82,307.3	67,036.1	15,271.2	22.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	810.6	4,402.4	4,076.2	1,902.5									11,191.7	10,046.0	1,145.7	11.4%
Environment and Recreation	29.4	9.6	19.8	16.0									74.8	35.1	39.7	113.1%
General Government	36.9	130.2	504.4	440.4									1,111.9	677.3	434.6	64.2%
Public Health:																
Adoptive Care	6,493.1	5,895.1	6,549.9	4,699.1									23,443.2	21,850.0	1,593.2	8.9%
Other Public Health	651.9	688.7	1,395.4	908.1									3,643.1	3,250.1	393.0	12.1%
Public Safety	128.1	139.4	198.1	230.6									684.2	587.4	96.8	16.5%
Public Welfare	230.2	356.7	905.0	1,005.5									2,497.4	1,668.0	829.4	49.6%
Support and Regulate Business	26.7	63.5	358.4	68.4									518.0	125.8	392.2	311.8%
Transportation	392.6	471.3	571.7	570.3									2,005.9	1,079.0	926.9	86.9%
Total Local Assistance Grants	8,805.5	11,956.9	14,577.9	9,825.9	-	-	-	-	-	-	-	-	45,169.2	39,419.7	5,749.5	14.6%
Departmental Operations:																
Personal Service	1,159.2	1,162.3	1,167.5	1,336.7									4,844.7	5,100.6	(255.9)	-5.0%
Nonpersonal Service	518.4	679.0	625.1	515.9									2,456.8	2,456.8	-	0.0%
Capital Projects	885.5	2,367.0	625.3	945.8									4,533.6	4,023.6	510.0	12.7%
Debt Service, Including Payments on																
Financing Agreements	122.4	40.5	20.5	7.2									190.6	96.6	91.0	91.4%
Capital Projects	398.2	514.1	631.4	554.2									2,097.9	2,239.0	(141.1)	-6.3%
Total Disbursements	11,890.2	16,636.8	17,861.7	12,888.8	-	-	-	-	-	-	-	-	56,286.5	52,965.1	3,321.4	11.9%
Excess (Deficiency) of Receipts over Disbursements	6,193.5	14,885.7	1,475.7	472.9	-	-	-	-	-	-	-	-	22,020.8	14,070.0	8,950.8	63.6%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-									-	-	-	0.0%
Transfers from Other Funds	5,344.8	6,200.6	6,454.2	3,531.7									21,531.3	12,964.6	8,566.7	66.1%
Transfers to Other Funds	(5,350.2)	(6,203.3)	(6,488.7)	(3,539.0)									(21,581.2)	(13,031.4)	8,549.8	65.6%
Total Other Financing Sources (Uses)	(5.4)	(2.7)	(34.9)	(7.3)	-	-	-	-	-	-	-	-	(49.9)	(60.8)	16.9	25.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,188.1	14,883.0	1,441.2	465.6	-	-	-	-	-	-	-	-	22,070.9	14,003.2	8,067.7	64.0%
Ending Fund Balance	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4	\$ 41,722.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41,722.0	\$ 28,288.0	\$ 13,434.0	47.5%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021 APRIL	2020 APRIL	% Increase/ Decrease
Beginning Fund Balance	\$ 14,934.4	\$ 18,753.4	\$ 20,494.3	\$ 23,094.9									\$ 14,934.4	\$ 14,408.3	3.7%
RECEIPTS:															
Taxes:															
Personal Income Tax:															
Withholdings	3,801.8	3,217.7	3,702.6	3,408.2									13,830.3	12,612.2	10.5%
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1									8,104.6	8,104.6	52.5%
Returns	9,312.6	2,184.3	1,336.6	95.0									3,302.5	2,489.8	32.6%
Other (Assessments/LLC)	(154.1)	(87.2)	(111.3)	91.9									(444.5)	(333.6)	33.2%
Gross Receipts	7,888.7	11,353.2	6,672.6	3,854.4									20,514.9	23,185.1	27.3%
Transfer to School Tax Relief Fund	-	-	-	-									-	-	0.0%
Refunds Issued	(1,292.9)	(1,520.2)	(685.0)	(315.6)									(3,770.9)	(4,320.0)	0.0%
Refunds Received	6,243.8	9,833.0	5,982.6	3,344.6									23,444.0	18,864.0	38.1%
Consumption/Use Taxes:															
Sales and Use	1,297.4	1,261.4	1,711.7	1,382.9									5,653.4	4,002.6	41.2%
Auto Rental	(0.2)	-	5.1	-									4.9	3.9	1.0
Cigarette/Tobacco Products	98.3	76.6	90.6	85.4									350.9	356.7	-1.6%
Medical Marijuana	1.5	1.1	1.1	0.9									4.8	2.4	91.7%
Alcoholic Beverage	7.2	9.9	9.9	29.7									28.5	28.5	0.0%
Highway Use	0.1	21.8	21.7	0.1									96.2	98.9	-0.7%
Vapor Excise	0.2	-	6.6	0.2									0.2	0.1	100.0%
Opoid Excise	6.7	0.1	-	7.5									14.3	11.4	-38.6%
Total Consumption/Use Taxes	1,434.2	1,389.6	1,846.7	1,515.2									6,166.7	4,510.4	35.5%
Business Taxes:															
Corporation Franchise	789.4	106.0	1,452.1	274.0									2,900.5	1,240.4	109.7%
Insurance	64.2	57.3	373.2	15.9									510.6	130.0	-4.2%
Bank	17.3	(20.1)	10.2	0.2									7.6	474.0	7.7%
Petroleum Business	30.7	38.9	40.6	45.7									158.9	102.5	-82.6%
Other Business Taxes	926.3	184.1	1,957.9	227.6									3,986.1	1,254.4	24.4%
Real Property Taxes															
Estate and Gift	119.8	117.0	108.9	103.1									-	-	0.0%
Real Estate Transfer	1.3	1.1	1.1	1.1									4.8	419.7	6.9%
Real Estate Transfer	97.4	110.0	115.1	132.0									454.5	2.7	1.9
Employment and Unemployment Insurance	0.2	0.2	0.2	0.4									1.0	196.5	131.3%
Other Taxes	218.7	228.3	225.3	236.6									908.9	619.3	-10.0%
Total Taxes	9,107.2	11,615.0	9,850.5	5,445.0									36,017.7	26,071.7	46.7%
Miscellaneous Receipts:															
Abandoned Property	1.3	1.0	0.8	0.9									4.0	4.0	0.0%
Bottle Bill	1.0	0.3	8.1	2.0									11.4	17.0	-32.9%
Assessments:															
Business	63.7	5.1	61.3	93.5									223.6	216.7	3.2%
Medical Care	484.5	533.9	644.9	526.3									2,098.8	1,986.7	5.2%
Other Utilities	1.5	0.1	0.7	0.1									0.2	0.1	100.0%
Fees, Licenses and Permits:															
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2									12.9	11.1	86.0%
Audit Fees	-	-	-	0.7									0.3	0.4	133.3%
Business/Professional	52.6	47.4	125.0	50.8									275.8	305.7	-9.8%
Criminal	1.0	0.3	1.9	0.3									2.5	1.6	-36.0%
Motor Vehicle	49.8	43.4	79.2	37.6									210.0	169.3	-10.7%
Recreational/Consumer	66.9	50.4	86.0	57.9									261.2	113.3	24.0%
Fines, Penalties and Forfeitures	41.5	41.4	49.1	9.6									147.6	546.2	130.5%
Gaming:															
Lottery	34.2	14.2	17.1	36.7									101.2	20.8	-73.0%
Video Lottery	195.5	213.5	238.9	181.9									833.8	675.1	39.5%
Interest Earnings	75.9	77.9	101.9	82.4									338.1	0.2	168,950.0%
Receipts from Municipalities	5.0	3.8	3.6	3.7									16.1	55.0	70.7%
Receipts from Public Authorities:															
Statewide	6.7	2.2	4.9	3.3									17.1	19.4	-11.9%
Cost Recovery Assessments	-	-	-	-									-	4,500.0	-100.0%
	-	-	-	5.9									5.9	-	100.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Insurance Fees	2.7	2.3	1.7	22.0	-	-	-	-	-	-	-	-	
Interest on Related	2.0	2.0	8.1	6.6	-	-	-	-	-	-	-	-	
Revenues of State Departments:	42.0	2.0	8.1	2.2	-	-	-	-	-	-	-	-	
Administrative Recoveries	46.8	9.2	38.0	8.6	-	-	-	-	-	-	-	-	
Commissions	0.9	0.8	0.2	0.6	-	-	-	-	-	-	-	-	
Commissions - Asset Conversion	0.7	0.9	0.5	0.3	-	-	-	-	-	-	-	-	
Commissions - Grants	4.9	5.7	6.3	0.0	-	-	-	-	-	-	-	-	
Indirect Cost Recoveries	295.8	223.6	214.5	293.3	-	-	-	-	-	-	-	-	
Patient/Client Care Reimbursement	4.7	2.1	6.8	4.8	-	-	-	-	-	-	-	-	
Rebates	1.2	9.8	7.4	0.6	-	-	-	-	-	-	-	-	
Restitution and Settlements	4.5	2.5	1.9	0.6	-	-	-	-	-	-	-	-	
Student Loans	84.4	5.6	54.3	69.2	-	-	-	-	-	-	-	-	
Statewide Lottery	2.3	0.5	51.3	58.8	-	-	-	-	-	-	-	-	
Sales	175.7	41.6	51.3	56.6	-	-	-	-	-	-	-	-	
Tuition	1,534.9	1,432.8	1,744.1	1,590.4	-	-	-	-	-	-	-	-	
Total Miscellaneous Receipts													
Federal Receipts	0.2	-	-	1.6	-	-	-	-	-	-	-	-	
Total Receipts	10,842.3	13,047.8	11,594.6	7,037.0	-	-	-	-	-	-	-	-	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	524.3	3,896.3	3,163.3	1,505.5	-	-	-	-	-	-	-	-	
Environment and Recreation	10.1	9.9	14.1	0.6	-	-	-	-	-	-	-	-	
Community Development	13.0	68.6	458.5	(20.5)	-	-	-	-	-	-	-	-	
Public Health:													
Medicaid	3,127.9	1,954.6	2,221.1	1,436.8	-	-	-	-	-	-	-	-	
Other Public Health	86.2	149.3	597.8	212.2	-	-	-	-	-	-	-	-	
Public Safety	23.8	48.6	38.4	18.0	-	-	-	-	-	-	-	-	
Public Welfare	110.5	105.5	284.3	57.1	-	-	-	-	-	-	-	-	
Statewide Enterprise	4.5	9.0	21.8	26.7	-	-	-	-	-	-	-	-	
Transportation	208.5	430.1	298.6	298.6	-	-	-	-	-	-	-	-	
Total Local Assistance Grants	4,031.6	6,638.1	7,268.9	3,798.1	-	-	-	-	-	-	-	-	
Departmental Operations:													
Personal Service	1,107.2	1,131.4	709.8	1,272.6	-	-	-	-	-	-	-	-	
Non-Personal Service	362.5	470.3	374.0	364.9	-	-	-	-	-	-	-	-	
Capital Projects	973.1	2,340.0	469.9	531.2	-	-	-	-	-	-	-	-	
Debt Service, Including Payments on	122.4	40.5	(21.8)	7.2	-	-	-	-	-	-	-	-	
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	
Total Disbursements	6,493.8	10,640.3	8,797.8	5,860.0	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts over Disbursements	4,148.5	2,407.5	2,796.8	1,057.0	-	-	-	-	-	-	-	-	
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds (**)	4,886.9	5,968.8	5,640.2	3,452.7	-	-	-	-	-	-	-	-	
Transfers to Other Funds (**)	(5,216.4)	(6,175.4)	(6,298.4)	(3,408.0)	-	-	-	-	-	-	-	-	
Total Other Financing Sources (Uses)	(329.5)	(206.6)	(658.2)	44.7	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,819.0	2,200.9	2,140.6	1,101.7	-	-	-	-	-	-	-	-	
Ending Fund Balance	\$ 18,753.4	\$ 20,954.3	\$ 23,094.9	\$ 24,196.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

Beginning Fund Balance																											
2021		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		2022		JANUARY		FEBRUARY		MARCH			
\$ 9,160.8		\$ 12,217.6		\$ 14,395.5		\$ 15,164.4												\$ 9,160.8		\$ 8,944.2							
RECEIPTS:																											
Total Personal Income Tax:																											
Personal Income Tax:		3,601.6		3,917.7		3,700.6		3,469.2																			
Mitochondria		3,302.2		6,128.7		2,740.7		147.1																			
Elected Payments		-		-		-		-																			
Returns		913.6		2184.3		136.6		35.0																			
State/City Offsets		(203.0)		(284.7)		(21.6)		(61.8)																			
Other (Assessments)/LC		154.1		87.2		111.3		91.9																			
Gross Receipts		7,888.7		11,355.2		6,672.6		3,686.4																			
Transfers to School Tax Relief Fund		(3,262.9)		(4,916.5)		(2,910.3)		(1,892.3)																			
Transfers to Home Bond Tax Fund		(1,382.9)		(1,520.2)		(852.0)		(315.8)																			
Refunds Issued		3,862.9		4,916.5		2,910.3		1,882.3																			
Total Personal Income Tax																											
Consumption/Use Taxes:																											
Sales and Use		392.8		295.6		403.0		324.5																			
Auto Rental		-		-		-		-																			
Cigarette Products		28.6		24.8		25.7		25.6																			
Motor Fuel		-		-		-		-																			
Alcoholic Beverage		23.0		21.8		21.7		29.7																			
Highway Use		-		-		-		-																			
Vapor Excise		-		-		-		-																			
Opinion Poll		6.7		0.1		0.1		7.5																			
Total Consumption/Use Taxes		391.1		392.3		440.4		387.3																			
Business Taxes:																											
Corporate Franchise		613.8		66.2		1,167.9		215.6																			
Corporation and Utilities		28.6		0.6		63.7		1.5																			
Racing and Exhibitions		73.0		64.9		328.4		13.4																			
Insurance		14.2		(17.1)		0.5		0.2																			
Petroleum Business		-		-		-		-																			
Total Business Taxes		726.5		104.5		1,386.4		226.7																			
Other Taxes:																											
Real Property Gains		-		-		-		-																			
Estate and Gift		119.8		117.0		108.9		103.1																			
Real Estate Transfer		1.3		1.1		1.1		1.1																			
Racing and Exhibitions		-		-		-		-																			
Employer Compensation Expense Tax		-		-		-		-																			
Total Other Taxes		121.2		118.2		110.1		104.4																			
Total Taxes		4,464.8		5,481.5		5,957.2		2,402.7																			
Miscellaneous Receipts:																											
Abandoned Property:		0.4		-		-		-																			
Real Estate Property		1.0		0.3		8.1		2.0																			
Ballot Bill		-		-		-		-																			
Assessments:		-		-		-		-																			
Business:		-		-		-		-																			
Medical Care		1.8		3.8		4.2		-																			
Public Utilities		-		-		-		-																			
Other		-		0.1		-		0.1																			
Fees, Licenses and Permits:		-		-		-		-																			
Alcohol Beverage Control Licensing		5.3		5.5		7.0		6.2																			
Audit Fees		-		-		-		-																			
Business/Professional		14.0		20.7		33.9		12.2																			
Civil		20.8		22.9		3.9		0.9																			
Legal		1.1		0.1		0.3		0.3																			
Motor Vehicle		20.2		15.6		54.9		20.7																			
Recreational/Consumer		1.2		0.8		3.0		1.4																			
Fines, Penalties and Forfeitures		41.4		32.7		37.2		3.8																			
Interest Earnings		1.5		0.6		0.5		0.5																			
Receipts from Municipalities		-		-		-		-																			
Receipts from Public Authorities:		-		-		-		-																			
Bond Proceeds		-		-		-		-																			
Cost Recovery Assessments		-		-		-		-																			
Issuance Fees		-		-		-		21.5																			
Non Bond Related		-		-		-		-																			
Rentals		-		0.2		0.1		0.1																			
of State Departments:		-		-		-		-																			
Administrative Recoveries		0.8		0.3		15.2		0.2																			
Commissions		0.4		0.2		(0.4)		-																			
Gifts, Grants and Donations		-		-		-		-																			
Indirect Cost Recoveries		4.9		5.7		6.3		5.0																			
Patent/Clear Cost Reimbursement		38.3		(54.4)		94.6		54.6																			
Real Estate		-		9.1		9.6		9.1																			
Restitution and Settlements		-		-		0.2		0.2																			

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	20.1	23.1	1.6	10.0	-	-	-	-	-	-	-	-	110.0%
Sales	0.1	-	-	0.1	-	-	-	-	-	-	-	-	-95.1%
Total Miscellaneous Receipts	172.5	140.1	131.0	150.0	-	-	-	-	-	-	-	-	-38.9%
Federal Receipts	0.2	-	-	0.1	-	-	-	-	-	-	-	-	100.0%
Total Receipts	4,637.5	5,621.6	5,188.2	2,556.8	-	-	-	-	-	-	-	-	-4.7%
DISBURSEMENTS:													
Local Assistance Grants:	523.4	3,889.2	2,835.3	1,505.5	-	-	-	-	-	-	-	-	3.6%
Social Services	1.0	1.7	4.0	0.1	-	-	-	-	-	-	-	-	5,700.0%
Environment and Recreation	-	48.6	450.8	(37.0)	-	-	-	-	-	-	-	-	-9.4%
General Government	2.9	-	-	-	-	-	-	-	-	-	-	-	-
Public Health:													
Medicaid	2,741.0	1,493.2	1,798.2	895.7	-	-	-	-	-	-	-	-	35.2%
Other Public Health	47.9	102.1	400.8	156.4	-	-	-	-	-	-	-	-	-8.9%
Public Health Services	8.0	10.0	10.0	10.0	-	-	-	-	-	-	-	-	62.2%
Public Welfare	43.5	100.3	285.8	318.8	-	-	-	-	-	-	-	-	14.6%
Support and Regulate Business	5.0	7.0	210.4	25.3	-	-	-	-	-	-	-	-	1,096.6%
Transportation	9.5	22.4	15.3	-	-	-	-	-	-	-	-	-	91.9%
Total Local Assistance Grants	3,377.5	5,704.1	6,005.8	2,871.3	-	-	-	-	-	-	-	-	13.7%
Departmental Operations:													
Personal Service	707.6	735.4	692.2	693.2	-	-	-	-	-	-	-	-	7.3%
Nonpersonal Service	136.7	234.2	185.0	119.9	-	-	-	-	-	-	-	-	303.8%
General State Charges	810.3	2,276.6	392.3	419.2	-	-	-	-	-	-	-	-	7.1%
Total Disbursements	5,021.1	8,440.3	6,966.3	4,375.6	-	-	-	-	-	-	-	-	12.1%
Excess (Deficiency) of Receipts over Disbursements	(384.0)	(3,318.7)	(1,778.1)	(1,818.8)	-	-	-	-	-	-	-	-	-48.6%
OTHER FINANCING SOURCES (USES):													
Transfers from Revenue Bond Tax Fund	3,282.7	4,916.9	2,999.2	1,411.3	-	-	-	-	-	-	-	-	45.4%
Transfers from LGAC / STBTF	870.0	690.1	1,351.7	948.3	-	-	-	-	-	-	-	-	170.3%
Transfers from CWICA Fund	86.8	110.0	115.1	128.7	-	-	-	-	-	-	-	-	144.5%
Transfers from Other Funds	108.0	94.8	173.1	187.7	-	-	-	-	-	-	-	-	17.8%
Transfers to State Capital Projects	(485.7)	(341.4)	(716.6)	(296.3)	-	-	-	-	-	-	-	-	8,259.1%
Transfers to All Other Capital Projects	(100.5)	(100.5)	(100.5)	(100.5)	-	-	-	-	-	-	-	-	-57.1%
Transfers to All Other State Funds	(182.6)	21.3	(65.7)	(55.7)	-	-	-	-	-	-	-	-	26.0%
Transfers to All Other State Funds	(227.8)	(34.1)	(817.2)	(395.5)	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	3,451.4	5,457.6	2,886.0	1,952.5	-	-	-	-	-	-	-	-	50.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,066.6	2,138.9	1,107.9	136.7	-	-	-	-	-	-	-	-	18.4%
Ending Fund Balance	\$ 12,217.6	\$ 14,356.5	\$ 15,404.4	\$ 15,601.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	8.5%

EXHIBIT G

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EXHIBIT G

(7) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 5,706.6	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8									
RECEIPTS:													
Taxes:													
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes:													
Sales and Use	109.2	80.5	101.6	88.9									40.2%
Auto Rental	(0.2)	5.1	-	-									25.6%
Cigarette/Tobacco Products	69.7	51.8	64.9	59.8									-1.2%
Medical Marijuana	1.5	1.1	1.1	0.9									91.7%
Motor Fuel	7.2	8.6	9.9	9.5									33.8%
Alcoholic Beverage	-	-	-	-									0.0%
Highway Use	0.1	-	-	0.1									100.0%
Vapor Tax	0.7	-	0.6	0.7									100.0%
Total Consumption/Use Taxes	187.7	142.0	189.9	151.4									20.1%
Business Taxes:													
Corporation Franchise	154.6	39.8	264.3	60.4									86.8%
Corporation and Utilities	19.3	0.5	18.1	(7.7)									-7.9%
Insurance	(8.8)	2.5	44.8	2.5									-17.7%
Bank	3.1	(3.0)	3.7	-									-74.3%
Petroleum Business	30.7	39.8	40.6	43.7									24.4%
Total Business Taxes	186.3	79.6	371.3	98.3									48.3%
Total Taxes	386.6	221.6	560.7	256.3									34.1%
Miscellaneous Receipts:													
Abandoned Property:													
Abandoned Property	0.9	1.0	0.8	0.9									0.0%
Assessments:													
Business	63.7	5.1	61.3	89.5									3.2%
Real Estate	402.7	530.1	540.7	525.3									1.5%
Public Utilities	1.5	-	0.7	-									-65.1%
Other	-	-	-	-									0.0%
Fees, Licenses and Permits:													
Audit Fees	-	-	-	0.7									133.3%
Business/Professional	36.6	26.7	91.1	38.6									-20.7%
Civil	5.1	5.4	5.0	4.0									103.1%
Criminal	0.9	0.2	0.8	0.2									-12.5%
Motor Vehicle	28.9	27.8	24.3	18.9									-20.5%
Rental	65.7	80.6	80.6	59.5									12.5%
Fines, Penalties and Forfeitures	6.1	8.7	11.9	3.8									6.6%
Gaming:													
Casino	34.2	14.2	17.1	35.7									386.5%
Lottery	199.5	213.5	238.9	181.9									23.5%
Video Lottery	75.9	77.9	101.9	82.4									188.950.0%
Interest Earnings	3.5	3.2	3.1	3.2									-57.8%
Receipts from Municipalities	6.7	1.9	4.9	3.2									-11.6%
Receipts from Public Authorities:													
Cost Recovery Assessments	-	-	-	-									0.0%
Insurance Fees	2.7	2.3	1.7	0.5									100.0%
Non Bond Related	0.2	-	-	4.8									0.0%
Rentals	41.8	1.9	9.0	2.1									217.3%
Revenues of State Departments:													
Administrative Recoveries	45.0	8.9	20.8	8.4									64.6%
Commissions	0.5	0.6	0.6	0.6									283.3%
Commissions - Asset Conversion	-	-	-	-									0.0%
Cost Recovery	0.7	0.8	0.5	0.3									-40.0%
Indirect Cost Recoveries	-	-	-	-									0.0%
Patient/Client Care Reimbursement	214.3	178.7	221.1	204.1									-36.7%
Rebates	4.7	0.5	7.2	5.2									(475.1)
Restitution and Settlements	1.2	9.8	7.2	0.6									-19.3%
Student Loans	2.4	5.6	1.3	1.9									14.0
All Other	64.6	37.1	57.4	59.9									(5.9)
Sales	2.2	0.5	1.7	1.2									163.3
Tuition	17.7	14.6	15.1	15.0									3.0
Total Miscellaneous Receipts	1,319.2	1,253.9	1,565.3	1,401.7									115.4%
Federal Receipts													
Total Receipts	1,705.8	1,475.2	2,126.0	1,658.0									949.2
Total Receipts													15.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	% Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.9	(2.9)	328.0	-	-	-	-	-	-	-	-	-	326.0	332.4	(6.4)	-1.9%
Environment and Recreation	0.1	0.2	0.1	0.5	-	-	-	-	-	-	-	-	0.9	0.5	0.4	80.0%
General Government	10.1	18.0	5.7	16.7	-	-	-	-	-	-	-	-	50.5	27.5	23.0	83.6%
Public Health:																
Medicaid	366.9	461.4	421.9	441.1	-	-	-	-	-	-	-	-	1,711.3	1,656.1	(144.8)	-7.6%
Other Public Health	37.3	47.2	187.0	55.8	-	-	-	-	-	-	-	-	327.3	174.7	152.6	87.3%
Public Safety	16.3	20.0	30.2	11.5	-	-	-	-	-	-	-	-	61.0	46.1	14.9	32.3%
Public Works	0.2	0.1	0.1	0.1	-	-	-	-	-	-	-	-	0.9	0.9	0.0	0.0%
Support and Regulate Business	0.3	2.2	1.4	1.4	-	-	-	-	-	-	-	-	5.3	5.4	(0.1)	-1.9%
Transportation	198.0	407.7	293.3	298.8	-	-	-	-	-	-	-	-	1,188.8	823.6	365.2	44.5%
Total Local Assistance Grants	654.1	954.0	1,258.1	828.3	-	-	-	-	-	-	-	-	3,885.0	3,267.2	617.8	18.9%
Departmental Operations:																
Personal Service	399.6	406.0	327.6	409.4	-	-	-	-	-	-	-	-	1,542.6	1,760.8	(218.2)	-12.4%
Non-Personal Service	225.6	233.9	216.8	231.3	-	-	-	-	-	-	-	-	907.6	758.3	149.3	19.7%
General State Charges	59.8	63.4	76.6	119.0	-	-	-	-	-	-	-	-	317.8	215.1	102.7	47.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	1,339.1	1,657.3	1,879.1	1,586.5	-	-	-	-	-	-	-	-	6,461.0	6,001.4	459.6	7.7%
Excess (Deficiency) of Receipts over Disbursements	366.7	(182.1)	246.9	72.5	-	-	-	-	-	-	-	-	504.0	14.4	489.6	3,400.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	293.5	151.0	908.3	583.2	-	-	-	-	-	-	-	-	1,916.0	1,297.2	618.8	47.7%
Transfers to Other Funds	(8.5)	(3.3)	(37.3)	(8.1)	-	-	-	-	-	-	-	-	(73.2)	(32.4)	40.8	144.4%
Total Other Financing Sources (Uses)	254.0	147.7	851.0	584.1	-	-	-	-	-	-	-	-	1,836.8	1,264.8	572.0	45.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	620.7	(34.4)	1,097.9	656.6	-	-	-	-	-	-	-	-	2,346.8	1,279.2	1,067.6	83.0%
Ending Fund Balance	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,048.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,048.4	\$ 6,678.9	\$ 1,369.5	20.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021								2022				% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 4,960.7	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2									
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property	-	-	-	-									
Assessments:													
Business	5.5	35.6	0.1	2.0									0.0%
Medical Care	-	-	-	-									-7.1%
Public Utilities	-	-	-	-									0.0%
Other	-	-	-	-									0.0%
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-									0.0%
Civil	-	-	-	-									0.0%
Criminal	-	-	-	-									0.0%
Motor Vehicle	-	-	-	-									0.0%
Recreational/Consumer	-	-	-	-									0.0%
Fines, Penalties and Forfeitures	0.4	0.4	0.3	0.3									0.0%
Interest Earnings	0.6	0.5	0.7	0.8									55.6%
Receipts from Municipalities	-	-	-	-									-71.7%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-									0.0%
Cost Recovery Assessments	-	-	-	-									0.0%
Insurance Fees	-	-	-	-									0.0%
Non Bond Related	-	-	-	-									0.0%
Rentals	-	-	-	-									0.0%
Revenues of State Departments:													
Administrative Recoveries	-	-	-	-									0.0%
Commissions	-	-	-	-									0.0%
Gifts, Grants and Donations	-	-	-	-									0.0%
Indirect Cost Recoveries	-	-	-	-									0.0%
Patient/Client Care Reimbursement	-	-	-	-									0.0%
Rebates	6.6	7.4	7.0	7.1						31.4			(3.3)
Restitution and Settlements	-	-	-	-						-			-10.5%
Student Loans	-	-	-	-						-			0.0%
All Other	0.2	1.5	1.1	0.5						3.3	0.7	2.6	371.4%
Sales	-	-	-	-						-			0.0%
Tuition	-	-	-	-						-			0.0%
Total Miscellaneous Receipts	13.3	45.4	9.2	10.7	-	-	-	-	-	-	-	88.7	(10.1)
Federal Receipts	7,158.4	18,222.9	7,375.0	5,735.7						-		27,448.1	11,043.9
Total Receipts	7,171.7	18,268.3	7,384.2	5,746.4	-	-	-	-	-	-	-	27,536.8	11,033.8

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	272.8	481.1	867.4	364.0	-	-	-	-	-	-	-	-	766.3
Environment and Recreation	0.3	0.1	-	0.1	-	-	-	-	-	-	-	-	0.3
General Government	11.2	21.9	10.0	438.5	-	-	-	-	-	-	-	-	464.2
Public Health:													
Medicaid	3,371.2	3,740.5	4,328.8	3,262.3	-	-	-	-	-	-	-	-	(160.4)
Other Public Health	521.6	598.7	726.7	652.2	-	-	-	-	-	-	-	-	278.5
Public Safety	103.9	88.0	128.4	197.2	-	-	-	-	-	-	-	-	(11.8)
Public Welfare	158.5	200.4	468.1	637.1	-	-	-	-	-	-	-	-	872.0
Statewide Programs:													
Regulate Business	10.2	10.4	2.2	2.2	-	-	-	-	-	-	-	-	872.0
Transportation	2.4	3.5	4.1	3.4	-	-	-	-	-	-	-	-	(4.9)
Total Local Assistance Grants	4,442.1	5,045.2	6,561.9	5,572.0	-	-	-	-	-	-	-	-	2,174.8
Departmental Operations:													
Personal Service	51.0	50.9	457.7	64.1	-	-	-	-	-	-	-	-	172.3
Non-Personal Service	156.9	105.7	465.1	151.1	-	-	-	-	-	-	-	-	(260.0)
General State Charges	25.4	27.0	156.4	108.6	-	-	-	-	-	-	-	-	147.4
Debt Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-	42.3
Financing Agreements	-	-	42.3	-	-	-	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	4,675.4	5,226.8	7,683.4	5,885.8	-	-	-	-	-	-	-	-	2,276.8
Excess (Deficiency) of Receipts over Disbursements	2,496.3	13,038.5	(298.2)	(149.4)	-	-	-	-	-	-	-	-	8,757.0
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	(156.4)	(132.3)	(185.4)	(342.2)	-	-	-	-	-	-	-	-	127.5
Total Other Financing Sources (Uses)	(156.4)	(132.3)	(185.4)	(342.2)	-	-	-	-	-	-	-	-	127.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,339.9	12,907.2	(484.6)	(491.6)	-	-	-	-	-	-	-	-	8,629.5
Ending Fund Balance	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2	\$ 19,231.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12,678.8

EXHIBIT H

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EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021		2022		2023		2024		2025		2026		2027		2028		2029		2030		2031		% Increase/Decrease				
	APRIL		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		JANUARY		FEBRUARY			MARCH		Intra-Fund Transfer Eliminations (*)	
	\$	(1,144.0)	\$	(1,121.8)	\$	(1,346.9)	\$	(1,561.7)											\$	(1,034.9)	\$	(109.1)		\$	(-10.5%)		
Beginning Fund Balance																											
RECEIPTS:																											
Taxes:																											
Consumption/Use Taxes:																											
Auto Rental	2.1	-	17.5	0.1																10.8	8.9					82.4%	
Motor Fuel	26.9	31.7	36.7	35.0																96.6	33.7					34.9%	
Highway Use	14.5	10.5	11.6	12.1																48.3	46.3					30.2%	
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2																152.6	148.9						
Business Taxes:																											
Corporation Franchise	-	-	-	-																-	-					0.0%	
Corporation and Utilities	3.1	-	1.4	(1.5)																2.8	0.2					7.1%	
Petroleum Business	38.2	50.4	52.0	55.3																157.3	38.6					24.5%	
Total Business Taxes	41.3	50.4	53.4	53.8																160.1	38.8					24.2%	
Other Taxes:																											
Real Estate Transfer	-	-	11.9	11.9																23.8	-					0.0%	
Total Other Taxes	-	-	11.9	11.9																23.8	-					0.0%	
Total Taxes	84.8	92.6	131.1	112.9																338.5	84.9					25.2%	
Miscellaneous Receipts:																											
Abandoned Property:																											
Bottle Bill	-	-	23.0	-																23.0	-					0.0%	
Assessments:																											
Business:	10.0	7.6	6.0	6.4																23.9	6.1					25.5%	
Fees, Licenses and Permits:																											
Business/Professional	1.3	1.7	2.1	1.4																6.8	(0.3)					-4.4%	
Civil	-	-	-	-																-	-					0.0%	
Motor Vehicle	79.2	69.1	60.6	66.0																189.1	85.8					45.4%	
Recreational/Consumer	1.5	2.9	1.5	0.4																0.5	5.8					1,160.0%	
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0																7.1	1.0					14.1%	
Interest Earnings	-	0.1	-	-																0.1	(0.2)					-92.3%	
Receipts from Municipalities	-	-	-	-																0.1	(0.1)					-100.0%	
Receipts from Public Authorities:																											
Bond Proceeds	78.4	0.5	3.0	237.0																1,753.5	(1,434.6)					-81.8%	
Insurance Fees	-	-	-	-																-	-					0.0%	
Non Bond Related	0.5	2.5	0.8	-																0.7	3.1					442.9%	
Rentals	3.3	0.8	1.4	1.6																5.9	1.2					20.3%	
Revenues of State Departments:																											
Administrative Recoveries	-	-	-	-																6.5	(0.1)					-1.5%	
Grants and Donations	1.8	1.3	2.9	0.4																-	-					0.0%	
Indirect Cost Recoveries	-	-	-	-																-	-					0.0%	
Rebates	-	-	-	0.1																-	0.1					100.0%	
Restitution and Settlements	0.3	1.3	1.6	5.9																9.1	5.7					167.6%	
All Other	2.4	1.9	11.7	1.0																14.3	2.7					18.9%	
Sales	0.1	-	-	-																0.1	0.1					100.0%	
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2																2,036.1	(1,324.7)					-45.1%	
Federal Receipts	5.6	23.3	110.1	143.2																585.4	(283.2)					-50.1%	
Total Receipts	271.7	206.4	358.6	578.3																2,938.0	(1,523.0)					-51.8%	

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31			% Increase/ Decrease
														2021	2020	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	13.5	25.0	45.5	13.0	-	-	-	-	-	-	-	-	-	67.0	17.9	79.1	441.0%
Environment and Recreation	20.0	71.6	15.7	15.3	-	-	-	-	-	-	-	-	-	87.6	34.2	33.4	97.7%
General Government	12.7	41.7	37.9	22.2	-	-	-	-	-	-	-	-	-	114.5	118.8	(4.3)	-3.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	45.1	29.7	80.9	41.7	-	-	-	-	-	-	-	-	-	197.4	156.8	40.6	25.9%
Public Safety	0.4	1.8	28.3	5.4	-	-	-	-	-	-	-	-	-	35.9	4.7	31.2	663.8%
Public Welfare	28.2	55.8	124.6	54.6	-	-	-	-	-	-	-	-	-	263.2	179.2	84.0	46.9%
Support and Regulate Business	21.2	54.3	147.2	39.5	-	-	-	-	-	-	-	-	-	262.2	96.6	165.6	171.4%
Transportation	181.7	37.7	268.0	267.1	-	-	-	-	-	-	-	-	-	755.5	212.5	543.0	255.5%
Total Local Assistance Grants	331.8	253.6	749.1	458.8	-	-	-	-	-	-	-	-	-	1,793.3	820.7	972.6	118.5%
Departmental Operations:																	
Police Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fire Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Changes	398.2	514.1	631.4	554.2	-	-	-	-	-	-	-	-	-	2,097.9	2,239.0	(141.1)	-6.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	730.0	767.7	1,380.5	1,013.0	-	-	-	-	-	-	-	-	-	3,891.2	3,059.7	831.5	27.2%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(561.3)	(1,021.9)	(434.7)	-	-	-	-	-	-	-	-	-	(2,376.2)	(121.7)	(2,354.5)	-1,934.7%
OTHER FINANCING SOURCES (USES):																	
Bond and State Resources (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9	-	-	-	-	-	-	-	-	-	1,983.0	276.9	1,686.1	608.9%
Transfers to Other Funds	(8.4)	(8.1)	(23.8)	(8.7)	-	-	-	-	-	-	-	-	-	(49.0)	(51.0)	(2.0)	-3.8%
Total Other Financing Sources (Uses)	480.5	336.2	807.1	290.2	-	-	-	-	-	-	-	-	-	1,914.0	225.9	1,688.1	747.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.2	(225.1)	(214.8)	(144.5)	-	-	-	-	-	-	-	-	-	(592.2)	104.2	(686.4)	-639.5%
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,706.2)	\$ (930.7)	\$ (775.5)	-83.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

Beginning Fund Balance	2021		2022												4 Months Ended July 31		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	\$ Increase/ (Decrease)	% Increase/ Decrease		
	\$ (563.7)	\$ (494.1)	\$ (618.8)	\$ (705.9)									\$ (472.2)	\$ (91.5)	-19.4%		
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	2.1	-	17.5	0.1									10.8	8.9	82.4%		
Motor Fuel	26.9	31.7	36.7	35.0									96.6	33.7	34.9%		
Highway Use	14.5	10.5	11.6	12.1									45.2	3.5	7.7%		
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2									152.6	46.1	30.2%		
Business Taxes																	
Corporation Franchise	-	-	-	-									-	-	0.0%		
Corporation and Utilities	3.1	-	1.4	(1.5)									2.8	0.2	7.1%		
Petroleum Business	38.2	50.4	52.0	55.3									157.3	38.6	24.5%		
Total Business Taxes	41.3	50.4	53.4	53.8									160.1	38.8	24.2%		
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9									23.8	-	0.0%		
Total Other Taxes	-	-	11.9	11.9									23.8	-	0.0%		
Total Taxes	84.8	92.6	131.1	112.9									336.5	84.9	25.2%		
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-									23.0	-	0.0%		
Assessments:																	
Business	10.0	7.6	6.0	6.4									23.9	6.1	25.5%		
Fees, Licenses and Permits:																	
Business/Professional	1.3	1.7	2.1	1.4									6.8	(0.3)	-4.4%		
Civil	-	-	-	-									-	-	0.0%		
Motor Vehicle	79.2	69.1	60.6	66.0									189.1	85.8	45.4%		
Recreational/Consumer	1.5	2.9	1.5	0.4									0.5	5.8	1,160.0%		
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0									7.1	1.0	14.1%		
Interest Earnings	-	0.1	-	-									1.3	(1.2)	-92.3%		
Receipts from Municipalities	-	-	-	-									0.1	(0.1)	-100.0%		
Receipts from Public Authorities:																	
Bond Proceeds	78.4	0.5	3.0	237.0									1,753.5	(1,434.6)	-81.8%		
Insurance Fees	-	-	-	-									-	-	0.0%		
Non Bond Related	0.5	2.5	0.8	-									0.7	3.1	442.9%		
Rentals	3.3	0.8	1.4	1.6									5.7	1.4	24.6%		
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-									-	-	0.0%		
Gifts, Grants and Donations	1.8	1.3	2.9	0.4									6.5	(0.1)	-1.5%		
Indirect Cost Recoveries	-	-	-	-									-	-	0.0%		
Rebates	-	-	-	0.1									-	0.1	100.0%		
Restitution and Settlements	0.3	1.3	1.6	5.9									3.4	5.7	167.6%		
All Other	2.4	1.9	11.7	1.0									14.3	2.7	18.9%		
Sales	0.1	-	-	-									0.1	0.1	100.0%		
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2									2,035.9	(1,324.5)	-65.1%		
Federal Receipts																	
	-	-	-	2.3									-	2.3	100.0%		
Total Receipts	268.1	183.1	248.5	437.4									2,372.4	(1,237.3)	-52.2%		

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31		
													2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:															
Local Assistance Grants:															
Education	13.5	25.0	45.5	13.0									17.9	79.1	441.9%
Environment and Recreation	29.0	7.6	15.7	15.3									34.2	33.4	97.7%
General Government	12.7	41.7	37.9	22.2									118.8	(4.3)	-3.6%
Public Health:															
Medicaid	-	-	-	-									-	-	0.0%
Other Public Health	45.1	29.7	77.2	41.7									155.6	38.1	24.5%
Public Safety	0.4	1.8	7.1	5.4									1.6	13.1	818.8%
Public Welfare	28.2	55.8	124.6	54.6									179.2	84.0	46.9%
Support and Regulate Business	21.2	54.3	147.2	39.5									96.6	165.6	171.4%
Transportation	175.8	21.0	224.4	215.4									71.9	564.7	785.4%
Total Local Assistance Grants	325.9	236.9	679.6	407.1									675.8	973.7	144.1%
Departmental Operations:															
Personal Service	-	-	-	-									-	-	0.0%
Non-Personal Service	-	-	-	-									-	-	0.0%
General State Charges	351.1	407.1	463.4	418.6									1,839.8	(199.6)	-10.8%
Capital Projects															
Total Disbursements	677.0	644.0	1,143.0	825.7									2,515.6	774.1	30.8%
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)	(894.5)	(388.3)									(143.2)	(2,011.4)	-1,404.6%
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)	-	-	-	-									-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9									276.9	1,686.1	608.9%
Transfers to Other Funds	(8.4)	(8.1)	(23.5)	(8.7)									(51.0)	(2.3)	-4.5%
Total Other Financing Sources (Uses)	480.5	336.2	807.4	290.2									225.9	1,688.4	747.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)	(87.1)	(98.1)									82.7	(323.0)	-390.6%
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)									\$ (389.5)	\$ (414.5)	-106.4%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	4 Months Ended July 31												% Increase/ Decrease
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
	\$ (580.3)	\$ (627.7)	\$ (728.1)	\$ (853.8)									\$ (580.3)
Beginning Fund Balance													
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments:													
Business	-	-	-	-	-	-	-	-	-	-	-	-	-
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-
Revenues of State Departments:													
Administrative Recoveries:	-	-	-	-	-	-	-	-	-	-	-	-	-
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal Receipts	5.6	23.3	110.1	140.9									
Total Receipts	5.6	23.3	110.1	140.9	-	-	-	-	-	-	-	-	-
DISBURSEMENTS:													
Local Assistance Grants:													
Education	-	-	-	-	-	-	-	-	-	-	-	-	-
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Health:													
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	-	-	3.7	-	-	-	-	-	-	-	-	-	-
Public Safety	-	-	21.2	-	-	-	-	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-
Supplemental Programs	-	-	-	-	-	-	-	-	-	-	-	-	-
Transportation/Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants	5.9	16.7	44.6	51.7	-	-	-	-	-	-	-	-	-
Departmental Operations:	5.9	16.7	69.5	51.7	-	-	-	-	-	-	-	-	-
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	47.1	107.0	168.0	135.6	-	-	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	53.0	123.7	237.5	187.3	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	(47.4)	(100.4)	(127.4)	(46.4)	-	-	-	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	-	-	(0.3)	-	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	-	-	(0.3)	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.4)	(100.4)	(127.7)	(46.4)	-	-	-	-	-	-	-	-	-
Ending Fund Balance	\$ (627.7)	\$ (728.1)	\$ (855.8)	\$ (902.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT J

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31			% Increase/ Decrease
													2021	2020	\$ Increase/ (Decrease)	
Beginning Fund Balance	\$ 328.0	\$ 330.9	\$ 287.0	\$ 283.6									\$ 328.0	\$ 29.7	\$ 298.3	1,004.4%
RECEIPTS:																
Miscellaneous Receipts	261.8	200.8	211.8	257.9									932.3	22.3	910.0	4,080.7%
Federal Receipts	4,691.4	4,264.1	5,008.2	3,973.1									17,936.8	28,351.3	(10,424.5)	-36.5%
Unemployment Taxes	218.5	315.6	390.8	315.0									1,237.9	8,698.2	(7,460.3)	-85.8%
Total Receipts	5,171.7	4,778.5	5,610.8	4,545.6									20,107.0	37,081.8	(16,974.8)	-45.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	128.3	126.8	112.0	187.2									554.3	4.5	549.8	12,217.8%
Non-Personal Service	22.1	32.9	35.1	21.1									111.2	18.8	92.4	491.5%
General State Charges	54.3	58.7	50.8	47.9									211.7	0.6	211.1	35,183.3%
Unemployment Benefits	4,967.1	4,624.0	5,396.3	4,290.3									19,277.7	37,050.2	(17,772.5)	-48.0%
Total Disbursements	5,171.8	4,842.4	5,594.2	4,545.5									20,154.9	37,074.1	(16,919.2)	-45.6%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	16.6	(0.5)									(47.9)	7.7	(55.6)	-722.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	-	-	4.0									7.0	3.0	4.0	133.3%
Transfers to Other Funds	-	-	-	-									-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-	4.0									7.0	3.0	4.0	133.3%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(63.9)	16.6	3.5									(40.9)	10.7	(51.6)	-482.2%
Ending Fund Balance	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 287.1	\$ 40.4	\$ 246.7	610.6%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT K

	2021												4 Months Ended July 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increased (Decrease)	% Increase (Decrease)	
Beginning Fund Balance	\$ (363.5)	\$ (349.4)	\$ (398.2)	\$ (339.0)									\$ (363.5)	\$ (297.5)	\$ (66.0)	-22.2%	
RECEIPTS:																	
Miscellaneous Receipts	55.2	27.3	41.4	38.6									162.5	102.2	60.3	59.0%	
Total Receipts	55.2	27.3	41.4	38.6	-	-	-	-	-	-	-	-	162.5	102.2	60.3	59.0%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	9.7	11.7	3.9	11.4									36.7	48.9	(12.2)	-24.9%	
Non-Personal Service	31.1	61.6	5.8	32.1									130.6	132.3	(1.7)	-1.3%	
General State Charges	2.7	5.5	6.5	4.2									18.9	23.3	(4.4)	-18.9%	
Total Disbursements	43.5	78.8	16.2	47.7	-	-	-	-	-	-	-	-	186.2	204.5	(18.3)	-8.9%	
Excess (Deficiency) of Receipts over Disbursements	11.7	(51.5)	25.2	(9.1)	-	-	-	-	-	-	-	-	(23.7)	(102.3)	78.6	76.8%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	2.5	2.7	38.3	3.4									46.9	57.5	(10.6)	-18.4%	
Transfers to Other Funds	(0.1)	-	(4.3)	(0.1)									(4.5)	-	4.5	100.0%	
Total Other Financing Sources (Uses)	2.4	2.7	34.0	3.3	-	-	-	-	-	-	-	-	42.4	57.5	(15.1)	-26.3%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	14.1	(48.8)	59.2	(5.8)	-	-	-	-	-	-	-	-	18.7	(44.8)	63.5	141.7%	
Ending Fund Balance	\$ (349.4)	\$ (398.2)	\$ (339.0)	\$ (344.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (344.8)	\$ (342.3)	\$ (2.5)	-0.7%	

EXHIBIT L

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STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021			2022												4 Months Ended July 31		\$ Increase/ (Decrease)		% Increase
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$	\$				
	\$ 40.2	\$ 40.3	\$ 40.5	\$ 41.7									\$ 40.2	\$ 14.3	\$ 25.9	\$ 25.9	181.1%			
Beginning Fund Balance																				
RECEIPTS:																				
Miscellaneous Receipts	0.1	0.2	1.3	0.7	-	-	-	-	-	-	-	-	2.3	0.6	1.7	1.7	283.3%			
Total Receipts	0.1	0.2	1.3	0.7	-	-	-	-	-	-	-	-	2.3	0.6	1.7	1.7	283.3%			
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	-	-	-	0.1									0.1	0.1	-	-	0.0%			
Non-Personal Service	-	-	-	-									-	-	-	-	0.0%			
General State Charges	-	-	0.1										0.1	0.1	-	-	0.0%			
Total Disbursements	-	-	0.1	0.1	-	-	-	-	-	-	-	-	0.2	0.2	-	-	0.0%			
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	1.2	0.6	-	-	-	-	-	-	-	-	2.1	0.4	1.7	1.7	425.0%			
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-									-	-	-	-	0.0%			
Transfers to Other Funds	-	-	-	-									-	-	-	-	0.0%			
Total Other Financing Sources (Uses)	-	-	-	-									-	-	-	-	0.0%			
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.2	1.2	0.6	-	-	-	-	-	-	-	-	2.1	0.4	1.7	1.7	425.0%			
Ending Fund Balance	\$ 40.3	\$ 40.5	\$ 41.7	\$ 42.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 42.3	\$ 14.7	\$ 27.6	\$ 27.6	187.8%			

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JULY 2021
(amounts in millions)

	BALANCE JULY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.427	\$ 2,970.615	\$ 2,970.188	\$ -
10050-10099-State Operations Account	15,436.024	2,556.258	1,401.423	(1,017.614)	15,573.245
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	28,362	-	0.558	-	27,804
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	15,464.386	2,556.685	4,372.596	1,952.574	15,601.049
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.810	-	0.002	-	0.808
20100-20299-Combined Expendable Trust	63,339	0.483	0.741	-	63,081
20300-20349-New York Interest on Lawyer Account	115,464	2,960	1,472	-	116,952
20350-20399-NYS Archives Partnership Trust	0.239	-	0.032	(0.008)	0.199
20400-20449-Child Performer's Protection	0.664	0.009	0.036	-	0.637
20450-20499-Tuition Reimbursement	8,432	0.200	0.299	(0.057)	8,276
20500-20549-New York State Local Government Records Management Improvement	7,441	0.552	0.284	(0.058)	7,651
20550-20599-School Tax Relief	0.002	-	-	-	0.002
20600-20649-Charter Schools Stimulus	5,415	-	-	-	5,415
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	159,720	526,404	434,721	(6,348)	245,055
20850-20899-Dedicated Mass Transportation Trust	83,134	55,195	64,000	-	74,329
20900-20949-State Lottery	776,535	264,367	2,526	-	1,038,376
20950-20999-Combined Student Loan	15,321	1,890	0.127	-	17,084
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3,370)	-	0.035	-	(3,405)
21050-21149-Encon Special Revenue	(0,264)	5,324	10,436	-	(5,376)
21150-21199-Conservation	98,694	2,634	2,669	-	98,659
21200-21249-Environmental Protection and Oil Spill Compensation	12,517	3,472	1,423	(2,630)	11,936
21250-21299-Training and Education Program on OSHA	10,630	0.131	2,948	(0.018)	7,795
21300-21349-Lawyers' Fund for Client Protection	10,406	0.533	2,281	-	8,658
21350-21399-Equipment Loan for the Disabled	0.527	0.002	0.007	-	0.522
21400-21449-Mass Transportation Operating Assistance	568,874	153,796	173,963	0.020	548,727
21450-21499-Clean Air	(36,153)	3,214	1,339	-	(34,278)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12,594	0.071	0.088	-	12,577
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.468	-	-	-	0.468
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.611	-	-	-	0.613
21900-22499-Miscellaneous State Special Revenue	1,739,394	262,195	225,351	34,007	1,810,245
22500-22549-Court Facilities Incentive Aid	42,681	0.002	12,532	-	30,151

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JULY 2021
(amounts in millions)

	BALANCE JULY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,222.612	337.211	575.559	565.503	2,549.767
22700-22749-Chemical Dependence Service	6.078	0.417	0.018	-	6.477
22750-22799-Lake George Park Trust	1.065	-	0.269	-	0.796
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	44.214	-	0.546	-	43.668
22850-22899-New York Great Lakes Protection	0.564	-	0.018	-	0.546
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.539	0.001	-	-	10.540
23000-23049-NYS/DOT Highway Safety Program	(17.374)	-	0.259	-	(17.633)
23050-23099-Vocational Rehabilitation	0.064	-	0.018	-	0.046
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(37.175)	-	2.419	-	(39.594)
23200-23249-Judiciary Data Processing Offset	66.774	4.195	2.819	-	68.150
23500-23549-USOC Lake Placid Training	0.252	0.002	-	-	0.254
23550-23599-Indigent Legal Services	571.841	17.911	3.674	-	586.078
23600-23649-Unemployment Insurance Interest and Penalty	25.010	0.001	0.010	-	25.001
23650-23699-MTA Financial Assistance Fund	164.198	0.005	61.475	12.625	115.353
23700-23749-New York State Commercial Gaming Fund	47.699	16.227	0.983	-	62.943
23750-23799-Medical Marijuana Trust Fund	21.010	0.982	0.176	-	21.816
23800-23899-Dedicated Miscellaneous State Special Revenue	5.498	0.111	0.037	(0.009)	5.563
24800-24849-NYS Cannabis Revenue	-	-	-	-	-
24850-24899-Health Care Transformation	254.705	0.010	-	-	254.715
24900-24949-Charitable Gifts Trust Fund	0.005	-	-	-	0.005
24950-24999-Interactive Fantasy Sports	21.596	0.426	-	-	22.022
40350-40399-State University Dormitory Income	294.684	(2.898)	-	(18.822)	272.964
TOTAL SPECIAL REVENUE FUNDS-STATE	7,392.781	1,668.037	1,585.592	584.205	8,049.431
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(34.925)	224.514	318.902	(0.154)	(129.467)
25100-25199-Federal Health and Human Services	3,682.932	5,044.149	4,479.130	(338.582)	3,909.369
25200-25249-Federal Education	(27.421)	196.081	194.320	(3.175)	(28.833)
25300-25399, 25951-Federal Miscellaneous Operating Grants	16,005.030	201.474	856.849	(0.001)	15,349.654
25900-25949-Unemployment Insurance Administration	103.074	66.382	24.929	(0.260)	144.267
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.434)	0.001	0.036	-	(0.469)
26000-26049-Federal Employment and Training Grants	(5.018)	13.749	21.569	(0.080)	(12.918)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	19,723.238	5,746.350	5,895.735	(342.250)	19,231.603
TOTAL SPECIAL REVENUE FUNDS	27,116.019	7,404.387	7,481.327	241.955	27,281.034
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	127.987	23.798	-	(58.812)	92.973
40150-40199-General Debt Service	75.941	2,331.675	21.877	(1,970.835)	414.904
40250-40299-State Housing Debt Service	-	0.083	-	(0.083)	-
40300-40349-Department of Health Income	33.791	10.919	-	(9.708)	35.002
40400-40449-Clean Water/Clean Air	-	131.923	-	(128.686)	3.237
40450-40499-Local Government Assistance Tax	-	323.851	-	(323.851)	-
TOTAL DEBT SERVICE FUNDS	237.719	2,822.249	21.877	(2,491.975)	546.116

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JULY 2021
(amounts in millions)

	BALANCE JULY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	243,059	539,318	296,259	-
30050-30099-Dedicated Highway and Bridge Trust	140,860	179,938	137,528	(7,238)	176,032
30100-30299-SUNY Residence Halls Rehabilitation and Repair	125,797	0,005	1,425	-	124,377
30300-30349-New York State Canal System Development	14,176	0,001	-	-	14,177
30350-30399-Parks Infrastructure	(66,042)	-	8,846	-	(74,888)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	100,098	12,622	19,318	-	93,402
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,210	-	-	-	17,210
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,550	-	-	-	5,550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(855,815)	140,947	187,351	-	(902,219)
31450-31499-Forest Preserve Expansion	1,083	-	-	-	1,083
31500-31549-Hazardous Waste Remedial	(73,042)	1,068	5,970	(0,697)	(78,641)
31650-31699-Suburban Transportation	0,540	-	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(17,478)	-	0,454	-	(17,932)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(580,842)	-	53,468	-	(634,310)
31900-31949-Natural Resource Damage	17,979	0,006	0,147	-	17,838
31950-31999-Engineering Services	(11,952)	-	-	-	(11,952)
32200-32249-Miscellaneous Capital Projects	142,208	1,223	3,335	1,798	141,894
32250-32299-CUNY Capital Projects	0,058	-	-	-	0,058
32300-32349-Mental Hygiene Facilities Capital Improvement	(399,082)	(0,493)	7,870	-	(407,445)
32350-32399-Correction Facilities Capital Improvement	(249,073)	-	30,220	-	(279,293)
32400-32999-State University Capital Projects	101,818	0,004	7,658	-	94,164
33000-33049-NYS Storm Recovery Fund	(61,263)	0,045	0,660	-	(61,878)
33050-33099-Dedicated Infrastructure Investment Fund	84,394	-	9,454	-	74,940
TOTAL CAPITAL PROJECTS FUNDS	(1,561,705)	578,425	1,013,022	290,122	(1,706,180)
TOTAL GOVERNMENTAL FUNDS	\$ 41,256,419	\$ 13,361,746	\$ 12,888,822	\$ (7,324)	\$ 41,722,019

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF JULY 2021
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>JULY 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>JULY 31, 2021</u>
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	\$ 192.205	\$ 5.505	\$ 5.568	\$ -	\$ 192.142
50000-50049-Youth Commissary	0.108	0.003	0.003	-	0.108
50050-50099-State Exposition Special	2.958	0.830	0.453	4.000	7.335
50100-50299-Correctional Services Commissary	4.115	3.714	4.042	-	3.787
50300-50399-Agencies Enterprise	12.383	3.253	1.681	-	13.955
50400-50449-Sheltered Workshop	2.236	0.001	0.020	-	2.217
50450-50499-Patient Workshop	2.095	0.013	0.011	-	2.097
50500-50599-Mental Hygiene Community Stores	4.847	0.073	0.065	-	4.855
50650-50699-Unemployment Insurance	18.845	4,288.172	4,290.332	-	16.685
60850-60899-CUNY Senior College Operating	43.829	244.403	244.353	-	43.879
TOTAL ENTERPRISE FUNDS	283.621	4,545.967	4,546.528	4.000	287.060
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(74.858)	21.012	31.389	0.052	(85.183)
55050-55099-Agency Internal Service	(168.946)	8.853	8.882	3.332	(165.643)
55100-55149-Mental Hygiene Revolving	0.081	0.057	0.067	-	0.071
55150-55199-Youth Vocational Education	0.054	-	-	-	0.054
55200-55249-Joint Labor and Management Administration	0.578	-	0.089	-	0.489
55250-55299-Audit and Control Revolving	(48.484)	-	4.909	(0.060)	(53.453)
55300-55349-Health Insurance Revolving	(19.307)	6.148	1.250	-	(14.409)
55350-55399-Correctional Industries Revolving	(28.099)	2.567	1.230	-	(26.762)
TOTAL INTERNAL SERVICE FUNDS	(338.981)	38.637	47.816	3.324	(344.836)
TOTAL PROPRIETARY FUNDS	\$ (55.360)	\$ 4,584.604	\$ 4,594.344	\$ 7.324	\$ (57.776)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JULY 2021
(amounts in millions)

FUND TYPE	BALANCE JULY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2021
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (2.203)	\$ 8.548	\$ 11.135	\$ -	\$ (4.790)
TOTAL PENSION TRUST FUNDS	(2.203)	8.548	11.135	-	(4.790)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
22022-College Savings Account	26.969	0.465	0.091	-	27.343
66000-66049-Agriculture Producers' Security	3.221	-	0.023	-	3.198
66050-66099-Milk Producers' Security	11.496	0.305	0.013	-	11.788
TOTAL PRIVATE PURPOSE TRUST FUNDS	41.686	0.770	0.127	-	42.329
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	17.175	0.246	-	-	17.421
60150-60199-Child Performer's Holding	0.573	-	-	-	0.573
60200-60249-Employees Health Insurance	1,093.433	908.695	1,005.472	-	996.656
60250-60299-Social Security Contribution	15.098	119.955	119.945	-	15.108
60300-60399-Employee Payroll Withholding	15.820	456.767	429.967	-	42.620
60400-60449-Employees Dental Insurance	38.912	5.847	6.610	-	38.149
60450-60499-Management Confidential Group Insurance	1.366	0.709	0.759	-	1.316
60500-60549-Lottery Prize	554.716	92.990	95.952	-	551.754
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	951.341	948.720	882.593	-	1,017.468
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	32.008	3.499	7.119	-	28.388
60900-60949-Medicaid Management Information System (MMIS) Escrow	1,728.636	6,004.044	7,634.341	-	98.339
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	120.847	(2.055)	-	-	118.792
61100-61999-State University Federal Direct Lending Program	(0.502)	26.151	38.303	-	(12.654)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	4,569.423	8,565.568	10,221.061	-	2,913.930
TOTAL FIDUCIARY FUNDS	\$ 4,608.906	\$ 8,574.886	\$ 10,232.323	\$ -	\$ 2,951.469

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022
FOR THE MONTH OF JULY 2021
(amounts in millions)

<u>FUND TYPE</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE JULY 1, 2021</u>	<u>BALANCE JULY 31, 2021</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ -	\$ -	2.890	\$ 2.890
70093, 70095, 70300-70301-MTA State Assistance	201.492	188.223	201.123	214.392
70050-70149-Sole Custody Investment (*)	1,877.037	1,747.997	2,278.983	2,408.023
70200-Comptroller's Refund Account	80.829	80.829	-	-
TOTAL ACCOUNTS	\$ 2,159.358	\$ 2,017.049	\$ 2,482.996	\$ 2,625.305

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of July 31, 2021, \$9,548,210.08 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(f)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2021-2022

PURPOSE	DEBT OUTSTANDING APRIL 1, 2021	DEBT ISSUED			DEBT MATURED			INTEREST DISBURSED		
		MONTH OF JULY	4 MONTHS ENDED JULY 31, 2021	MONTH OF JULY	4 MONTHS ENDED JULY 31, 2021	DEBT OUTSTANDING JULY 31, 2021	MONTH OF JULY			
							4 MONTHS ENDED JULY 31, 2021			
GENERAL OBLIGATION BONDED DEBT:										
Accelerated Capacity and Transportation Improvements	\$ 9,992,174	\$ -	\$ -	\$ -	\$ 785,635	\$ 9,206,539	\$ -	\$ -	\$ 83,011	-
Clean Water/Clean Air:										
Air Quality	1,321,445	-	-	-	-	-	1,321,445	-	-	509
Safe Drinking Water	-	-	-	-	-	-	-	-	-	-
Clean Water	277,661,899	-	-	-	9,125,472	288,536,427	-	-	1,138,976	-
Solid Waste	11,083,965	-	-	-	163,906	10,920,049	-	-	23,593	-
Environmental Restoration	36,701,555	-	-	-	165,000	36,536,555	-	-	31,299	-
Energy Conservation Through Improved Transportation:										
Rapid Transit and Rail Freight	1,022,456	-	-	-	263,713	758,743	-	-	22,550	-
Environmental Quality (1972):										
Air	-	-	-	-	-	-	-	-	-	-
Land and Wetlands	4,267,512	-	-	-	25,000	4,242,512	-	-	2,123	-
Water	5,538,761	-	-	-	715,000	4,823,761	-	-	42,875	-
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests	2,692,870	-	-	-	202,816	2,490,054	-	-	11,673	-
Solid Waste Management	83,003,304	-	-	-	7,383,811	75,619,493	-	-	676,659	-
Housing:										
Low Income	4,115,000	-	-	-	-	4,115,000	-	-	-	-
Middle Income	1,795,000	-	-	-	-	1,795,000	-	-	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	-	-
Pure Waters	13,485,946	-	-	-	833,700	12,652,246	-	-	108,734	-
Rail Preservation Development	-	-	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:										
Highway Facilities	562,450,682	-	-	-	-	562,450,682	-	-	161,706	-
Canals and Waterways	7,620,311	-	-	-	-	7,620,311	-	-	13,313	-
Aviation	40,464,691	-	-	-	-	40,464,691	-	-	-	-
Rail and Port	90,201,189	-	-	-	-	90,201,189	-	-	-	-
Mass Transit - Dept. of Transportation	13,929,540	-	-	-	-	13,929,540	-	-	-	-
Mass Transit - Metropolitan Transportation Authority	691,109,424	-	-	-	-	691,109,424	-	-	144,362	-
Rebuild New York Transportation Infrastructure Renewal:										
Highways, Parkways, and Bridges	357,668	-	-	-	-	357,668	-	-	436	-
Rapid Transit, Rail and Aviation	1,563,392	-	-	-	393,136	1,170,256	-	-	37,391	-
Smart Schools Bond Act	306,942,604	-	-	-	-	306,942,604	-	-	-	-
Transportation Capital Facilities:										
Aviation	1,648,621	-	-	-	837,811	810,810	-	-	40,662	-
Mass Transportation	-	-	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,168,969,999	\$ -	\$ -	\$ -	\$ 20,895,000	\$ 2,148,074,999	\$ -	\$ -	\$ 2,539,872	\$ -

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE FOUR MONTHS ENDED JULY 31, 2021

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (*) (40100-40149)	REVENUE BOND TAX (*) (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								4 MONTHS ENDED JULY 31 2021	2020	
Special Contractual Financing Obligations:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Payments to Public Authorities:										
City University Construction	-	21,210,856	-	-	-	-	-	21,210,856	-	21,210,856
Domitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	(42,277,500)	-	(42,277,500)	-	(42,277,500)
Department of Health Facilities	-	-	12,067,914	-	-	-	-	12,067,914	12,802,026	(734,112)
Mental Health Facilities	-	-	-	-	(144,167)	-	-	(144,167)	1,723,959	(1,868,126)
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	10,879,525	-	-	-	-	-	10,879,525	8,347,200	2,532,325
SUNY Educational Facilities	-	92,082,231	-	-	-	-	-	92,082,231	-	92,082,231
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	430,631	(430,631)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	28,887,000	-	-	-	-	-	28,887,000	42,818,542	(13,931,542)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	2,180,971	-	-	-	-	-	2,180,971	3,068,008	(887,037)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	11,603	(11,603)
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 155,240,583	\$ 12,067,914	\$ -	\$ (144,167)	\$ (42,277,500)	\$ -	\$ 124,886,830	\$ 69,201,969	\$ 55,684,861

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose) Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JULY 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF JULY 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 47,299.3	\$ 37,910.0	\$ 25,223.3
AVERAGE YIELD (**)	0.046%	0.056%	0.348%
TOTAL INVESTMENT EARNINGS	\$ 1,788	\$ 7,160	\$ 29,553

Month-End Portfolio Balances

DESCRIPTION	JULY 2021 PAR AMOUNT	JULY 2020 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 26,597.8	\$ 16,002.4
REPURCHASE AGREEMENTS	107.6	21.2
GOVT. SPONSORED AGENCIES	615.0	715.5
COMMERCIAL PAPER	16,336.2	13,793.7
CERTIFICATES OF DEPOSIT/SAVINGS	2,956.2	2,913.3
0% COMPENSATING BALANCE CDs	923.0	48.0
	\$ 47,535.8	\$ 33,494.1

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

	2021												4 Months Ended July 31, 2021
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
STATE OF NEW YORK													
HCRA RESOURCES FUND													
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT													
FISCAL YEAR 2021-2022													
OPENING CASH BALANCE	\$ 15,894,357	\$ 114,747,009	\$ 145,654,657	\$ 159,720,053									\$ 15,894,357
RECEIPTS:													
Cigarette Tax	68,708,697	51,733,707	64,925,784	58,829,808									246,197,697
State Share of NYC Cigarette Tax	1,648,000	1,459,000	1,274,000	1,676,000									6,057,000
Vapor Excise Tax	195,233	36,284	6,603,432	121,491									6,956,440
STP Interest	25,976	16,935	19,037	14,171									76,119
Public Asset Transfers	-	-	-	-									-
Appointments	414,749,174	457,225,416	477,819,714	458,852,724									1,808,645,828
Fees	279,000	75,000	757,098	887,000									2,008,088
Rebates	4,640,808	263,285	6,694,298	5,005,057									16,573,444
Restitution and Settlements	-	-	-	-									-
Miscellaneous	-	1,104	3,028,622	8,171									3,035,897
Total Receipts	497,246,076	518,810,731	567,089,984	526,403,723									2,099,550,516
DISBURSEMENTS:													
Grants	386,370,867	471,920,457	537,645,318	431,140,487									1,830,077,129
Interest - Late Payments	47	108	157	1,060									1,372
Personal Service	927,493	929,434	521,245	742,438									3,120,578
Non-Personal Service	952,452	6,137,767	6,694,728	2,667,862									16,472,809
Employment and Contract Costs	1,000,000	1,000,000	1,000,000	1,000,000									4,000,000
Total Disbursements	391,827,923	478,588,566	545,589,248	434,720,788									1,851,717,535
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-									-
Transfers to General Fund	-	1,104	602,000	6,229,171									6,832,275
Transfers to Revenue Bond Tax Fund	-	-	-	-									-
Transfers to Revenue Bond Special Revenue Fund	-	-	-	-									-
Transfers to Statewide Judicial Administration Program Account	326,891	-	340,322	-									667,213
Empire State Stem Cell Trust Account	-	-	-	-									-
Transfers to SUNY Income Fund	208,610	332,413	483,018	119,280									1,143,331
Total Operating Transfers	535,501	333,517	1,425,340	6,348,461									8,542,810
Total Disbursements and Transfers	392,363,424	478,922,083	547,014,588	441,069,249									1,860,360,354
CLOSING CASH BALANCE	\$ 114,747,009	\$ 145,654,657	\$ 159,720,053	\$ 245,054,517									\$ 245,054,517

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2021-2022

Program/Purpose	Appropriation Amount (*)	July	4 Months Ended July 31st, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,827,000.00	137,230.19	\$ 717,931.57
CENTER FOR COMMUNITY HLTH	8,827,000.00	137,230.19	717,931.57
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	30,874,628.36	203,084,779.74
CHILD HEALTH INSURANCE	1,901,406,000.00	30,874,628.36	203,084,779.74
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	6,153,995.88	32,928,865.23
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	6,153,995.88	32,928,865.23
HEALTH CARE REFORM ACT PROGRAM	1,240,915,059.03	17,736,776.99	92,334,457.42
AIDS DRUG ASSISTANCE	82,100,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	-	-
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	4,782,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIPI)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	590,295.00	1,383,295.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	9,600,000.00
INFERTILITY SERVICES GRANTS	5,733,000.00	-	(190.10)
MEDICAL INDEMNITY FUND	52,000,000.00	38,768.22	56,456.76
PART 405.4 HOSPITAL AUDITS NYCR	2,200,000.00	-	52,000,000.00
PHYSICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00	289,283.89	289,283.89
PHYSICIAN LOAN REPAYMENT	36,260,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	974,000.00	195,123.88	1,054,720.79
POISON CONTROL CENTERS	8,720,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	89,426,000.00	258,516.58	863,520.48
RURAL HEALTH CARE ACCESS	50,000.00	16,241,000.00	25,732,000.00
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	15,960,000.00	-	-
RURAL HEALTH CARE GRANTS	18,820,000.00	123,789.42	1,355,370.60
RURAL HEALTH NETWORK	2,200,000.00	-	-
SCHOOL BASED HEALTH CENTERS	11,610,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	4,230,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,798,805,000.00	378,357,855.12	1,511,995,603.97
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,387,400,000.00	53,367,855.12	211,995,603.97
MEDICAL ASSISTANCE	23,129,205,000.00	325,000,000.00	1,300,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (**)	67,200,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (***)	85,091,000.00	-	-
NEW YORK STATE OF HEALTH	85,091,000.00	1,273,830.32	8,616,831.65
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	305,770.84	2,719,281.42
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	305,770.84	2,719,281.42
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	465,111.20
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	465,111.20
TOTAL	32,316,313,059.03	434,840,087.70	1,852,860,865.20
Redass of SUNY Hospital Disprop Share to Transfer	-	(119,290.19)	(1,143,331.18)
Redass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Redass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	-	-
TOTAL REPORTED AMOUNT	\$ 32,316,313,059.03	\$ 434,720,797.51	\$ 1,851,717,535.02

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2021 JULY	2021-2022
OPENING CASH BALANCE	\$ 293,876,869.61	\$ 340,535,703.17	\$ 293,876,869.61
RECEIPTS:			
Patient Services	989,188,683.61	338,440,078.85	1,327,628,762.46
Covered Lives	249,351,336.48	80,837,002.88	330,188,339.36
Provider Assessments	26,801,341.23	9,201,453.33	36,002,794.56
1% Assessments	119,091,702.00	37,196,732.00	156,288,434.00
DASNY- MOE/Recast receivables	-	-	-
Interest Income	3,690.30	1,226.45	4,916.75
Unassigned	(357,587.66)	(955,771.00)	(1,313,358.66)
Total Receipts	1,384,079,165.96	464,720,722.51	1,848,799,888.47
PROGRAM DISBURSEMENTS:			
Poison Control Centers	-	-	-
School Based Health Center Grants	-	-	-
ECRIP Distributions	-	-	-
Total Program Disbursements	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,384,079,165.96	464,720,722.51	1,848,799,888.47
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,373,155.00	4,725,578.00	17,098,733.00
Transfers From State Funds:			
HCRA Resources Fund	-	-	-
Total Other Financing Sources	12,373,155.00	4,725,578.00	17,098,733.00
Transfers To Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund	(1,349,793,487.40)	(458,852,194.66)	(1,808,645,682.06)
Indigent Care Fund - Matched	-	-	-
Indigent Care Fund - Unmatched	-	-	-
Total Other Financing Uses	(1,349,793,487.40)	(458,852,194.66)	(1,808,645,682.06)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	46,658,833.56	10,594,105.85	57,252,939.41
CLOSING CASH BALANCE	\$ 340,535,703.17	\$ 351,129,809.02	\$ 351,129,809.02

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2021 JULY	2021-2022
OPENING CASH BALANCE	\$ 55,655.52	\$ 33,659.27	\$ 55,655.52
RECEIPTS:			
Interest Income	63.25	28.57	91.82
Total Receipts	63.25	28.57	91.82
PROGRAM DISBURSEMENTS:			
Indigent Care	(159,709,275.51)	(53,405,218.63)	(213,114,494.14)
High Need Indigent Care	-	-	-
Other	(162,011.93)	-	(162,011.93)
Total Program Disbursements	(159,871,287.44)	(53,405,218.63)	(213,276,506.07)
Excess (Deficiency) of Receipts over Disbursements	(159,871,224.19)	(53,405,190.06)	(213,276,414.25)
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers From State Funds:			
HCRA Resources Indigent Care - Matched	69,945,871.37	23,391,485.76	93,337,357.13
HCRA Resources Indigent Care - Unmatched	161,543.16	(17,851.81)	143,691.35
Federal DHHS Fund	89,747,898.87	30,013,732.87	119,761,631.74
Other	-	-	-
Total Other Financing Sources	159,855,313.40	53,387,366.82	213,242,680.22
Transfers To Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund Indigent Care Acct	(116.96)	(28.63)	(145.59)
CSRA Inc (eMedNY) General Fund	(5,968.50)	-	(5,968.50)
Total Other Financing Uses	(6,085.46)	(28.63)	(6,114.09)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(21,996.25)	(17,851.87)	(39,848.12)
CLOSING CASH BALANCE	\$ 33,659.27	\$ 15,807.40	\$ 15,807.40

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2021-2022
(amounts in thousands)

	2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	-	-	-	-	-	-	-	-	-
Education- EXCEL	34	2,058	1,281	-	-	-	-	-	-	-	-	-	3,373
Department of Health - All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	70	-	-	-	-	-	-	-	-	70
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)/RESTORE	70	181	554	125	-	-	-	-	-	-	-	-	930
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenNYSIS	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Brooklyn Court Officer Training Academy	21	-	42	-	-	-	-	-	-	-	-	-	63
TOTAL DORMITORY AUTHORITY	125	2,239	1,877	195	-	-	-	-	-	-	-	-	4,438
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 125	\$ 2,239	\$ 1,877	\$ 195	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,438

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2021	May 31, 2021	June 30, 2021	Change	July 31, 2021
10050	GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
	STATE OPERATIONS AND LOCAL ASSISTANCE	-	-	-	-	-
	TOTAL GENERAL FUND	-	-	-	-	-
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	-	-	-	-
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30118	D02RVE- BROOKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESIO	-	-	-	-	-
30126	D06RVE- GENESIO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D09RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR LUTICAIROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	44,344,122.55	52,788,638.26	66,042,173.20	8,846,037.05	74,888,210.25
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	112,538,894.40	116,450,882.14	111,388,431.64	5,454,703.87	116,843,135.51
31701	YOUTH FACILITIES IMPROVEMENT	15,254,626.20	16,049,720.35	17,477,826.11	454,398.16	17,932,224.27
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	246,990,070.47	297,002,529.47	411,069,588.87	30,786,243.00	441,855,811.87
31852	HOUSING PROG FD-AFFORD HSG CORP	42,069,810.72	44,300,432.72	46,318,347.02	681,372.00	46,999,719.02
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	116,146,517.14	118,046,517.14	123,746,517.14	22,000,000.00	145,746,517.14
31854	HOUSING PROG FD-HEA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,970,753.74	11,970,753.74	11,951,592.86	2.53	11,951,595.39

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2021	May 31, 2021	June 30, 2021	Change	July 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	7,836,672.15	8,112,431.99	6,528,054.87	81,442.10	6,609,496.97
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	74,197,329.52	73,714,789.24	74,666,090.73	637,508.31	75,303,599.04
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	187,018,065.14	187,514,640.21	187,964,640.21	(917,850.12)	187,046,790.09
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	4,369,852.32	7,893,352.32	7,893,352.32	-	7,893,352.32
32308	DASNY - OASAS ADMIN	1,483,213.09	2,089,088.09	2,089,088.09	-	2,089,088.09
32309	OMH-STATE FACILITIES	134,956,619.31	85,519,143.10	109,065,443.82	8,064,534.18	117,159,978.00
32310	OPWDD-STATE FACILITIES	40,308,539.77	23,803,234.64	29,210,987.12	85,918.22	29,296,905.34
32311	OASAS-STATE FACILITIES	-	1,908,201.77	2,572,582.78	-	2,572,582.78
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	186,857,753.51	218,757,324.00	249,072,740.98	30,220,213.09	279,292,954.07
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	54,211,590.81	55,103,285.40	61,263,748.10	614,170.10	61,877,918.20
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,293,680,167.90	1,334,120,681.64	1,531,446,902.92	107,008,692.49	1,638,455,595.41
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	31,613,536.11	68,982,649.25	-	2,010,736.06	2,010,736.06
20818	EPIC PREMIUM ACCOUNT	-	6,008,330.20	-	-	-
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,282,937.51	3,328,861.68	3,370,147.32	34,366.70	3,404,514.02
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	3,324,199.99	199.99	199.99	-	199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	290,145.42	975,534.74	2,215,821.04	831,254.57	3,047,075.61
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,427,258.46	3,641,613.59	3,845,719.60	165,302.85	4,011,022.45
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	62,613,090.42	67,483,108.01	67,530,934.74	3,896,571.90	71,429,506.64
21082	NATURAL RESOURCES ACCOUNT	15,578,428.14	15,810,342.77	15,847,704.98	(249,468.91)	15,598,236.07
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	380.00	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	11,279.96	(11,279.96)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	-	-	46,785.85	(41,420.00)	5,365.85
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21461	OPERATING PERMIT PROGRAM	34,889,721.83	35,472,561.11	36,121,060.76	500,743.41	36,621,804.17
21462	MOBILE SOURCE	-	-	31,799.56	(31,799.56)	-
21463	HEALTH-SPARC'S	-	-	-	-	-
21902	THRUWAY AUTHORITY ACCT	6,011,299.08	10,730,951.71	9,386,771.55	(7,437,342.90)	1,949,428.65
21905	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21907	FINANCIAL CONTROL BOARD	-	-	-	-	-
21909	RACING REGULATION ACCOUNT	160,199.90	276,738.02	509,423.54	(306,300.27)	203,123.27
21911	SU DORM INCOME REIMBURSE	4,334,865.74	298,255.24	4,973,721.60	(1,025,985.06)	3,947,736.54
21912	CRIMINAL JUSTICE IMPROVEMENT	325,193.12	-	215,711.72	-	597,460.05
21945	ENV LAB REF FEE	-	-	-	-	-
21959	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	544,350.50	590,137.13	540,292.31	(89,908.59)	450,383.72
21961	INDIRECT COST RECOVERY	9,264,614.23	9,167,591.59	9,993,560.00	279,292.75	10,272,852.75
21962	CLINICAL LAB FEE	-	-	35,364.34	(35,364.34)	-
21978	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
21989	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22003	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22004	REAL PROPERTY DISPOSITION	-	-	-	-	-
22006	PARKING ACCOUNT	693,940.12	761,775.90	610,893.40	(166,004.98)	444,888.42
22007	COURTS SPECIAL GRANTS	-	-	-	-	-
22008	ASBESTOS SAFETY TRAINING	-	-	-	-	-
22009	CAMP SMITH BULLETING ACCOUNT	21,675.06	25,893.13	18,000.51	(2,418.92)	15,581.59
22017	BATAVIA SCHOOL FOR THE BLIND	-	-	-	-	-
22032	INVESTMENT SERVICES	6,948,191.08	7,384,116.02	7,665,544.01	(1,652,985.95)	6,012,558.06
22034	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22036	FINANCIAL OVERSIGHT	265,113.54	544,925.19	930,669.96	(545,328.86)	385,341.10
22039	REGULATION INDIAN GAMING	99,027,328.85	100,110,631.33	100,974,321.14	447,860.27	101,422,181.41

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2021	May 31, 2021	June 30, 2021	Change	July 31, 2021
22053	ROME SCHOOL FOR THE DEAF	2,552,311.18	2,955,381.27	3,331,812.71	(704,424.47)	2,627,388.24
22054	DSP-SEIZED ASSETS	415,160.10	250,177.10	64,545.50	(64,545.50)	-
22055	ADMINISTRATIVE ADJUDICATION	45,684,184.26	44,471,672.44	46,585,537.84	(509,028.29)	46,076,509.55
22056	FEDERAL SALARY SHARING	143,371.44	254,619.77	492,332.40	110,994.42	603,328.82
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	2,019,940.87	497,945.06	-	-	-
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	2,314,374.97	2,510,434.63	2,713,150.85	188,473.84	2,901,624.69
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	11,184,434.94	11,568,966.01	12,662,867.45	224,312.89	12,886,980.34
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	175,763.99	227,833.71	119,000.23	69,225.84	188,226.07
22144	MONTROSE VETERAN'S HOME	-	1,037,121.41	-	-	-
22151	DEFERRED COMPENSATION ADMIN	-	-	-	-	-
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	TAX REVENUE	-	-	-	-	-
22168	TAX REVENUE APPEARANCE ACCOUNT	-	-	-	-	-
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	1,380,848.23	1,487,294.56	1,597,471.15	141,537.94	1,739,009.09
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	20,679,183.84	20,680,573.21	20,681,638.25	814.53	20,682,452.78
22654	S.U. NON-RESIDENT REV / OFFSET	-	-	-	-	-
22751	JAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE/MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	17,103,285.73	17,418,324.64	17,373,720.04	259,457.43	17,633,177.47
23102	DOH-DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	30,964,112.48	33,788,325.41	37,175,468.65	2,418,137.44	38,593,606.09
23702	COMMERCIAL GAMING REGULATION	22,578,280.41	22,955,448.38	23,330,986.14	332,070.29	23,663,056.43
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	79,187.93	86,742.51	86,832.85	-	86,832.85
	TOTAL STATE SPECIAL REVENUE FUNDS	446,222,589.17	501,731,375.90	436,441,841.64	(578,705.10)	433,863,136.54
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	47,831,392.71	32,164,293.16	37,672,612.47	96,107,981.58	133,780,594.05
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	2,773,688,560.10	207,401,245.20	1,198,046,246.39	(700,133,381.49)	497,912,864.90
25200-25249	FEDERAL EDUCATION GRANTS FUND	113,713,765.02	29,688,984.62	31,582,575.77	14,251,481.44	45,834,057.21
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	478,024,709.09	551,180,523.29	538,608,482.41	25,871,121.92	564,479,604.33
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	586,763,381.82	684,512,322.53	832,053,228.03	45,829,899.28	877,883,127.31
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	104,663,927.22	107,943,021.16	134,776,501.88	(21,222,811.44)	113,553,690.44
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	68,159,548.45	100,378,038.83	104,420,128.28	(39,864,011.78)	64,556,111.78
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	376,654.50	397,088.50	432,562.15	35,962.59	468,525.14
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	9,238,550.43	8,230,458.71	5,017,803.97	7,900,215.14	12,918,019.11
	TOTAL FEDERAL FUNDS	4,190,534,442.00	1,730,649,902.66	2,891,364,075.01	(571,223,548.08)	2,320,140,526.93
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	1,011,732.28	1,099,108.96	1,098,830.91	25,439.79	1,124,270.70
50327	EMPIRE PLAZA GIFT SHOP	286,117.64	298,898.22	306,403.29	3,677.64	310,080.93
	TOTAL ENTERPRISE FUND	1,297,849.92	1,398,007.18	1,405,234.20	29,117.43	1,434,351.63
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	756,614.45	877,066.91	813,075.59	(25,403.94)	787,671.65
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	56,453.59	78,397.74	37,385.82	759.08	38,144.90
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,177,143.91	2,263,304.75	2,063,927.81	(84,211.37)	1,979,716.44
55008	CENTRALIZED SERVICES-PASNY	-	13,467,362.65	15,703,765.46	1,847,332.77	17,551,098.23
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	7,291,826.24	8,451,571.70	8,935,889.21	1,574,397.64	10,510,286.85
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	4,501,300.48	4,846,317.21	5,174,413.72	(1,506,997.20)	3,667,416.52
55011	CENTRALIZED SERVICES-SECURITY CARD ACCESS	162,615.71	139,114.32	176,261.32	21,411.00	197,672.32
55012	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55013	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55014	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55015	CENTRALIZED SERVICES-MMICS	1,196,562.70	1,053,944.34	1,030,741.49	177,265.20	1,208,006.69
55016	CENTRALIZED SERVICES-WAREHOUSE	327,653.49	388,346.36	234,618.33	30,793.36	265,411.69

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2021	May 31, 2021	June 30, 2021	Change	July 31, 2021
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	73,742,950.64	77,587,460.35	76,090,731.73	4,827,965.12	80,918,696.85
55021	NYS MEDIA CENTER	12,097,551.92	12,069,820.87	11,386,522.31	293,069.83	11,679,592.14
55022	BUSINESS SERVICES CENTER	33,631,143.23	36,012,277.83	7,182,232.43	2,235,859.37	9,418,091.80
55052	ARCHIVES RECORD MGMT I.S.	-	-	70,888.26	58,392.37	129,280.63
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	727,271.32	68,236.67	15,764.35	(10,300.41)	5,463.94
55058	CULTURAL RESOURCE SURVEY	3,736,110.47	4,025,778.66	4,359,448.91	300,520.14	4,659,969.05
55059	NEIGHBOR WORK PROJECT	11,651,447.84	11,436,704.24	11,296,993.88	(27,590.77)	11,269,402.91
55060	AUTOMATIC/PRINT CHARGEBACKS	1,124,459.62	2,494,974.17	3,656,916.87	(2,228,388.55)	1,428,528.32
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	91,579,457.26	91,579,457.26	90,994,888.51	-	90,994,888.51
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	123,410.05	147,132.11	73,887.50	(13,709.47)	60,178.03
55069	CENTRALIZED TECHNOLOGY SERVICES	107,734,772.69	116,663,485.82	88,661,165.73	(236,549.24)	88,424,616.49
55071	LABOR CONTACT CENTER ACCT	1,591,719.03	234,617.22	976,318.57	265,719.33	1,242,037.90
55072	HUMAN SERVICES CONTACT CNTR ACCT	3,905,882.21	4,566,820.46	-	429,957.26	429,957.26
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,950,573.49	9,182,130.07	9,484,210.33	316,836.04	9,801,046.37
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	33,325,587.25	35,742,442.95	33,999,924.75	4,652,195.91	43,652,120.66
55300	HEALTH INSURANCE INTERNAL SERVICE	7,207,558.93	7,994,425.28	10,175,309.44	(5,042,395.00)	5,132,914.44
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	6,933,164.83	7,006,964.72	9,131,455.80	144,685.22	9,276,141.02
55350	CORR INDUSTRIES INTERNAL SERVICE	33,239,184.94	35,214,467.23	28,098,676.34	(1,336,292.17)	26,762,384.17
	TOTAL INTERNAL SERVICE FUNDS	448,993,920.56	484,884,209.26	426,086,978.33	6,665,321.54	432,752,300.07
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 6,380,788,869.55	\$ 4,052,784,176.64	\$ 5,286,745,032.30	\$ (458,098,121.72)	\$ 4,828,645,910.58

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part JJJ, Section 1, of the Laws of 2021-22.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the "reported" cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND(*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2021-2022												APPENDIX G	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31, 2021
OPENING CASH BALANCE	\$ 96,722,524	\$ 83,609,252	\$ 39,831,404	\$ 84,394,170									\$ 96,722,524
RECEIPTS:													
Transfers from General Fund (**)	-	-	70,000,000	-	-	-	-	-	-	-	-	-	70,000,000
Other	1,407	-	-	-	-	-	-	-	-	-	-	-	1,407
Total Receipts	1,407	-	70,000,000	-	-	-	-	-	-	-	-	-	70,001,407
DISBURSEMENTS:													
Affordable and Homeless Housing	731,174	1,659,409	2,836,458	1,124,218									6,351,259
Broadband Initiative	4,076,555	25,384,346	8,629,425	1,424,023									39,514,349
Downtown Revitalization	379,374	1,452,328	407,573	26,085									2,288,358
Empire State Poverty Reduction Initiatives	-	1,446,891	415,672	632,962									2,495,125
Health Care / Hospital Initiatives	192,187	415,645	-	672,776									1,280,608
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-									-
Infrastructure Initiatives	-	-	-	-									-
Jacob Javits Center Expansion	-	276,017	1,910,168	28,159									2,214,344
Life Sciences Initiative	-	-	-	-									-
Municipal Restructuring / Consolidation Competition	606,923	2,912,098	984,530	1,437,634									2,432,164
Penn Station Access	-	-	843,903	12,017									4,374,911
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-									-
Southern Tier / Hudson Valley Farm Initiative	(101,562)	-	338,083	-									236,521
Thruway Stabilization Program	886,444	1,946,402	2,911,422	1,491,653									7,218,221
Transformative Economic Development Projects	-	-	-	-									-
Transportation Capital Plan	6,363,584	8,182,744	6,250,000	2,601,624									23,397,952
Upstate Revitalization Program	-	-	-	-									-
Total Disbursements	13,114,679	43,677,848	25,537,234	9,454,051	-	-	-	-	-	-	-	-	91,783,812
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-									-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	9,454,051	-	-	-	-	-	-	-	-	91,783,812
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,831,404	\$ 84,394,170	\$ 74,940,119	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 74,940,119

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

APPENDIX H

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾
FISCAL YEAR 2021-2022

	JULY 2021			4 MONTHS ENDED JULY 31		
	Department of Health	Other State Agencies	July	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ -	\$ -	\$ -	\$ 66,135,005.00	\$ 66,135,005.00
State Share Medicaid	-	(14,447,260.10)	(14,447,260.10)	41,824,204.00	(3,077,007.79)	38,747,196.21
Medical Assistance (OPWDD)	-	-	-	-	510,401,749.79	510,401,749.79
Medical Assistance Administration	4,833,022.82	82,127,227.00	86,960,249.82	21,919,628.80	143,974,551.00	165,894,179.80
Population Health Improvement	-	-	-	-	-	-
Traumatic Brain Injury Services	976,668.42	-	976,668.42	3,743,045.40	-	3,743,045.40
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	-	-	-	17,380.46	-	17,380.46
New York Connects	-	326,686.82	326,686.82	938,626.47	3,354,539.04	3,354,539.04
Facilitated Enrollment	-	-	-	-	-	938,626.47
Emergency Medical Transportation	-	-	-	-	-	-
Managed Long-Term Care Ombudsman	1,125,782.57	-	1,125,782.57	1,875,086.41	-	1,875,086.41
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-	-
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	669,301.73	-	669,301.73	4,442,877.59	-	4,442,877.59
Fluoridation Systems	-	-	-	-	-	-
Expanding Caregiver Support Services	3,882,247.19	-	3,882,247.19	7,215,815.97	-	7,215,815.97
Provide Affordable Housing	2,032,714.07	435,886.82	2,468,600.89	8,083,563.79	4,440,340.20	12,523,903.99
Health Homes Establishment	-	-	-	-	-	-
Community Provider Network	2,688,819.00	-	2,688,819.00	4,832,506.50	-	4,832,506.50
Inpatient Services	56,571,558.06	-	56,571,558.06	201,146,787.84	-	201,146,787.84
Patient Centered Medical Homes	-	-	-	578,400.75	-	578,400.75
Outpatient & Emergency Room Services	10,972,822.01	-	10,972,822.01	57,982,960.20	-	57,982,960.20
Clinic Services	13,436,492.77	-	13,436,492.77	76,003,213.25	-	76,003,213.25
Nursing Home Services	84,136,562.85	-	84,136,562.85	387,059,154.79	-	387,059,154.79
Other Long Term Care Services	292,308,025.88	-	292,308,025.88	1,757,849,869.99	-	1,757,849,869.99
Managed Care Services	323,853,984.66	-	323,853,984.66	1,979,783,750.44	-	1,979,783,750.44
Pharmacy Services	13,158,993.50	-	13,158,993.50	55,125,916.34	-	55,125,916.34
Transportation Services	7,984,431.48	-	7,984,431.48	43,427,320.33	-	43,427,320.33
Dental Services	265,618.68	-	265,618.68	1,190,491.33	-	1,190,491.33
Non-Institutional & Other	110,051,526.03	-	111,164,276.03	1,672,780,529.11	1,607,054.00	1,674,387,583.11
Medical Services State Facilities	79,821,167.51	-	79,821,167.51	389,797,876.39	-	389,797,876.39
CSEA Family Health Plus Buy In	-	-	-	-	-	-
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	325,000,000.00	-	325,000,000.00	1,300,000,000.00	-	1,300,000,000.00
Indigent Care	53,357,855.12	-	53,357,855.12	211,995,603.97	-	211,995,603.97
Provider Assessments	62,873,000.00	-	62,873,000.00	200,419,000.00	-	200,419,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL⁽¹⁾	1,615,662,266.71	69,555,290.54	1,685,117,557.25	8,595,605,272.48	726,836,231.24	9,322,441,503.72
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.						
	(248,337,120.01)	-	(248,337,120.01)	(582,019,698.28)	-	(582,019,698.28)
TOTAL REPORTED MEDICAID	\$ 1,367,225,146.70	\$ 69,555,290.54	\$ 1,436,780,437.24	\$ 8,013,585,574.20	\$ 726,836,231.24	\$ 8,740,421,805.44

⁽¹⁾ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.
⁽²⁾Source: Statewide Financial System

APPENDIX I

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS⁽¹⁾
FISCAL YEAR 2021-2022

	JULY 2021				4 MONTHS ENDED JULY 31			
	Department of Health		Other State Agencies		Department of Health		Other State Agencies	
	\$		\$		\$		\$	Year to Date
Medical Assistance & Survey Certification Program	10,854,964.91		-		50,355,590.11		-	50,355,590.11
Medical Assistance Administration	46,643.05		66,798,555.00		240,005.84		119,554,921.00	119,794,926.84
Partnership Plan								
Inpatient Services	231,478,967.47		-		1,363,800,280.66		-	1,363,800,280.66
Outpatient & Emergency Room Services	28,748,485.36		-		138,711,203.03		-	138,711,203.03
Clinic Services	45,408,662.99		-		220,514,065.64		-	220,514,065.64
Nursing Home Services	120,469,533.36		-		511,168,351.50		-	511,168,351.50
Other Long Term Care Services	1,403,939,387.52		-		6,242,336,472.52		-	6,242,336,472.52
Managed Care Services	1,498,780,209.29		-		6,181,550,466.64		-	6,181,550,466.64
Pharmacy Services	32,562,862.29		-		140,618,084.97		-	140,618,084.97
Transportation Services	38,564,170.46		-		165,969,613.14		-	165,969,613.14
Dental Services	774,449.53		-		3,542,188.10		-	3,542,188.10
Non-Institutional & Other	(62,546,787.34)		8,978,422.00		(22,298,463.54)		15,263,852.00	(7,034,611.54)
Medical Services State Facilities	(23,959,444.00)		-		26,515,301.51		-	26,515,301.51
Additional DSH Payments SUNY	212,433,000.64		-		212,433,000.64		-	212,433,000.64
TOTAL⁽¹⁾	3,537,556,105.53		75,776,977.00		15,235,456,160.76		134,818,773.00	15,370,274,933.76
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.								
	(351,035,642.03)		-		(667,481,892.49)		-	(667,481,892.49)
TOTAL REPORTED MEDICAID^{(1)(*)}	\$ 3,186,519,463.50		\$ 75,776,977.00		\$ 14,567,974,268.27		\$ 134,818,773.00	\$ 14,702,793,041.27

⁽¹⁾ Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

^(*) Source: Statewide Financial System

^(**) Reported Medicaid spending does not include the Basic Health Plan.



State of New York

Interim Financial Report Governmental Funds

For the three months ended June 30, 2021
(Pursuant to Section 8(9a) of the State Finance Law)

New York State Comptroller
THOMAS P. DiNAPOLI



STATE OF NEW YORK
Combined Balance Sheet
Governmental Funds
June 30, 2021
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects	Eliminations	Total
ASSETS:							
Cash and investments.....	\$ 22,904,364	\$ 20,479,686	\$ 6,747,097	\$ 3,600,924	\$ 5,307,723	\$ -	\$ 59,039,794
Receivables, net of allowances for uncollectibles:							
Taxes.....	5,045,317	-	447,914	4,818,895	53,947	-	10,366,073
Due from Federal government.....	-	8,131,782	-	3,701	878,469	-	9,013,952
Other.....	2,089,031	786,940	1,108,022	87,426	251,508	-	4,322,927
Due from other funds.....	3,577,376	95,624	59,991	279,027	892,548	(1,058,855)	3,845,711
Other assets.....	2,107,644	241,397	48	-	133,934	-	2,483,023
Total assets.....	\$ 35,723,732	\$ 29,735,429	\$ 8,363,072	\$ 8,789,973	\$ 7,518,129	\$ (1,058,855)	\$ 89,071,480
LIABILITIES:							
Tax refunds payable.....	\$ 4,542,445	\$ -	\$ 377,747	\$ 3,352,073	\$ 23,885	\$ -	\$ 8,296,150
Accounts payable.....	776,632	35,056	4,982	2	340,988	-	1,157,660
Accrued liabilities.....	3,565,542	3,271,276	20,973	7,934	69,468	-	6,935,193
Payable to local governments.....	5,640,484	4,007,728	2,191,026	593,198	208,161	-	12,640,597
Due to other funds.....	869,170	1,012,305	111,547	531,173	2,294,659	(1,058,855)	3,759,999
Pension contributions payable.....	46,267	-	-	-	-	-	46,267
Unearned revenues.....	93,750	20,885,910	-	1,826	20	-	20,981,506
Total liabilities.....	15,534,290	29,212,275	2,706,275	4,486,206	2,937,181	(1,058,855)	53,817,372
DEFERRED INFLOWS OF RESOURCES.....	848,945	522,990	323,949	349,608	22,660	-	2,068,152
FUND BALANCES:							
Restricted.....	4,680,594	164	885,409	3,361,557	142,816	-	9,070,540
Committed.....	7,665,638	-	2,955,937	592,602	5,435,867	-	16,650,044
Assigned.....	6,994,265	-	3,600,269	-	17,729	-	10,612,263
Unassigned.....	-	-	(2,108,767)	-	(1,038,124)	-	(3,146,891)
Total fund balances.....	19,340,497	164	5,332,848	3,954,159	4,558,288	-	33,185,956
Total liabilities, deferred inflows of resources and fund balances.....	\$ 35,723,732	\$ 29,735,429	\$ 8,363,072	\$ 8,789,973	\$ 7,518,129	\$ (1,058,855)	\$ 89,071,480

See accompanying notes to the financial statements.

STATE OF NEW YORK Combined Statement of Revenues, Expenditures and Changes in Fund Balance Governmental Funds For the Three Months Ended June 30, 2021 (Amounts in thousands)(Unaudited)						
	General	Federal Special Revenue	Special Revenue	Debt Service		
REVENUES:						
Taxes.....	\$ 5,347,988	\$ -	\$ 1,271,132	\$ 14,785,629		
Federal grants.....	270	18,838,134	-	-		
Miscellaneous.....	17,930,320	12,718	1,465,877	129,033		
Total revenues.....	23,278,578	18,850,852	2,737,009	14,914,662		
EXPENDITURES:						
Local assistance grants.....	16,803,538	17,557,341	5,966,694	-		
State operations.....	21,180,127	583,861	153,523	2,042		
Debt service, including payments on financing arrangements.....	-	-	-	223,299		
Capital construction.....	-	-	-	-		
Total expenditures.....	37,983,665	18,141,202	6,120,217	225,341		
Excess (deficiency) of revenues over expenditures.....	(14,705,087)	709,650	(3,383,208)	14,689,321		
OTHER FINANCING SOURCES (USES):						
Transfers from other funds.....	15,283,127	-	1,012,037	459,504		
Transfers to other funds.....	(3,410,421)	(709,567)	(13,503)	(15,336,678)		
Financing arrangements issued.....	1,857,785	-	-	-		
Premiums on bonds issued.....	2,789	-	-	-		
Net other financing sources (uses).....	13,733,280	(709,567)	998,534	(14,877,174)		
Net change in fund balances.....	(971,807)	83	(2,384,674)	(187,853)		
Fund balances at beginning of period, as restated.....	20,312,304	81	7,717,522	4,142,012		
Fund balances at June 30, 2021.....	\$ 19,340,497	\$ 164	\$ 5,332,848	\$ 3,954,159		

See accompanying notes to the financial statements

STATE OF NEW YORK
Notes to Financial Statements
June 30, 2021 (Unaudited)

NOTE 1

The accounting policies and methods of estimating and accumulating financial data for preparation of the June 30, 2021 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2021 governmental fund financial statements.

NOTE 2

Within the governmental funds, transfers to other funds exceeded transfers from other funds by \$1,278 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling \$2,117 million are reported as transfers to other funds offset by \$839 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3

The following table presents a reconciliation of the budgetary cash basis operating results for the three months ended June 30, 2021 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	<u>General</u>	<u>Federal Special Revenue</u>	<u>Special Revenue</u>	<u>Debt Service</u>	<u>Capital Projects</u>
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses	\$ 6,303,555	\$ 14,762,564	\$ 1,486,674	\$ 172,712	\$ (417,708)
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan	(326,797)	(205,318)	11,509	(513,864)	(181,546)
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing for funds treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting.....	142,415	-	(142,415)	-	-
Receipts and other financing sources over (under) disbursements and other financing for funds treated as Fiduciary Funds in the financial plan and as part of the General Fund for GAAP reporting	(1,683,507)	-	(4,999)	-	-
College and University Funds	-	-	(191,646)	-	9,727
Lottery Fund	-	-	(574,411)	-	-
Temporary interfund cash loans.....	(615,650)	32,241	15,589	-	567,820
Basis of accounting differences:					
To adjust for revenue accruals	(7,615,442)	(15,794,729)	(965,647)	(4,534,503)	636,217
To adjust for expenditure accruals.....	2,823,619	1,205,325	(2,019,328)	4,687,802	(684,528)
Net change in fund balances	\$ (971,807)	\$ 83	\$ (2,384,674)	\$ (187,853)	\$ (70,018)

STATE OF NEW YORK Notes to Financial Statements June 30, 2021 (Unaudited) (cont'd)

NOTE 4

During the three months ended June 30, 2021, there were no debt refunding issues.

Office of the New York State Comptroller

Thomas P. DiNapoli, State Comptroller

Office of Operations – Division of Payroll, Accounting and Revenue Services

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Sharon M. Buck, Assistant Comptroller

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Christine Wemette, Associate Accountant
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Charonda Parker, Senior Accountant
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